

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM638999

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Ramius LLC		05/15/2017	Limited Liability Company:
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Cowen Investment Management LLC		
<b>Street Address:</b>	599 Lexington Avenue		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10022		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3421792	RAMIUS	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	212-728-8000		
<b>Email:</b>	ipdept@willkie.com		
<b>Correspondent Name:</b>	Spencer Simon		
<b>Address Line 1:</b>	787 Seventh Avenue		
<b>Address Line 4:</b>	New York, NEW YORK 10019		
<b>NAME OF SUBMITTER:</b>	Spencer Simon		
<b>SIGNATURE:</b>	/Spencer Simon/		
<b>DATE SIGNED:</b>	04/13/2021		
<b>Total Attachments: 7</b>			
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# Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED ARE TRUE AND CORRECT COPIES OF ALL DOCUMENTS ON FILE OF "COWEN INVESTMENT MANAGEMENT LLC" AS RECEIVED AND FILED IN THIS OFFICE.

THE FOLLOWING DOCUMENTS HAVE BEEN CERTIFIED:

CERTIFICATE OF FORMATION, FILED THE FIRST DAY OF JUNE, A.D. 2009, AT 11:21 O`CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "PARK EXCHANGE LLC" TO "RAMIUS LLC", FILED THE SECOND DAY OF NOVEMBER, A.D. 2009, AT 11:52 O`CLOCK A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "RAMIUS LLC" TO "COWEN INVESTMENT MANAGEMENT LLC", FILED THE FIFTEENTH DAY OF MAY, A.D. 2017, AT 12:12 O`CLOCK P.M.

CERTIFICATE OF CHANGE OF REGISTERED AGENT, FILED THE FOURTH DAY OF MAY, A.D. 2018, AT 6:26 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE



  
Jeffrey W. Bullock, Secretary of State

4693010 8100H  
SR# 20210784554

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202642273  
Date: 03-03-21

TRADEMARK  
REEL: 007254 FRAME: 0229

# Delaware

The First State

Page 2

*AFORESAID LIMITED LIABILITY COMPANY, "COWEN INVESTMENT  
MANAGEMENT LLC".*



  
Jeffrey W. Bullock, Secretary of State

4693010 8100H  
SR# 20210784554

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202642273  
Date: 03-03-21

**TRADEMARK**  
**REEL: 007254 FRAME: 0230**

**CERTIFICATE OF FORMATION**

**OF**

**PARK EXCHANGE LLC**

This Certificate of Formation of PARK EXCHANGE LLC (the "Company"), dated as of June 1, 2009, is being duly executed and filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del.C. § 18-101, et seq.)

**FIRST.** The name of the limited liability company formed hereby is Park Exchange LLC.

**SECOND.** The address of its registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, City of Wilmington, County of New Castle, Delaware 19801. The name of its registered agent at such address is The Corporation Trust Company.

**IN WITNESS WHEREOF**, the undersigned has executed this Certificate of Formation as of the date first above written.

/s/ Manuel A. Miranda  
Manuel A. Miranda  
Authorized Person

CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF FORMATION  
OF  
PARK EXCHANGE LLC

PURSUANT TO SECTION 18-202 OF THE  
DELAWARE LIMITED LIABILITY COMPANY ACT

\* \* \* \*

- 1) The name of the Limited Liability Company is Park Exchange LLC.
- 2) The Certificate of Formation of the Company is hereby amended to change Article "FIRST" of the Certificate of Formation to read as follows:

"FIRST. The name of the limited liability company formed hereby is Ramius LLC."

The undersigned, an authorized person the limited liability company, executes this Certificate of Amendment as of November 2, 2009.

/s/ Peter A. Cohen

Peter A. Cohen

Authorized Person


*Signature Page to Certificate of Amendment of Certificate of Formation of Park Exchange LLC*

**TRADEMARK**  
**REEL: 007254 FRAME: 0233**

STATE OF DELAWARE  
CERTIFICATE OF AMENDMENT  
OF  
RAMIUS LLC

1. The name of the limited liability company is Ramius LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:  
  
"FIRST: The name of the limited liability company is Cowen Investment Management LLC (the "Company")."
3. This filing is to become effective when filed.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment as of the 15<sup>th</sup> day of May, 2017.

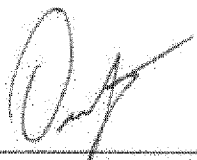
  
\_\_\_\_\_  
Name: Michael Benwitt  
Title: Authorized Person



STATE OF DELAWARE  
CERTIFICATE OF AMENDMENT CHANGING ONLY THE  
REGISTERED OFFICE OR REGISTERED AGENT OF A  
LIMITED LIABILITY COMPANY

The limited liability company organized and existing under the Limited Liability Company Act of the State of Delaware, hereby certifies as follows:

1. The name of the limited liability company is  
Cowen Investment Management LLC
2. The Registered Office of the limited liability company in the State of Delaware is changed to  
850 New Burton Road, Suite 201  
(street), in the City of Dover  
Zip Code 19904. The name of the Registered Agent at such address upon whom process against this limited liability company may be served is  
COGENCY GLOBAL INC.

By:   
Authorized Person

Name: Owen Littman  
Print or Type