

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM644166

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	Release of Security Interest in Trademarks at R/F 5999/0772		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Guggenheim Credit Services, LLC, as Collateral Agent		05/03/2021	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Advicent Solutions, LP		
Street Address:	10700 West Research Drive		
Internal Address:	Suite 1		
City:	MILWAUKEE		
State/Country:	WISCONSIN		
Postal Code:	53226		
Entity Type:	Limited Partnership: DELAWARE		
PROPERTY NUMBERS Total: 8			
Property Type	Number	Word Mark	
Registration Number:	4421077	ADVISOR BRIEFCASE	
Registration Number:	2522646	EISI	
Registration Number:	2393496	NAVIPLAN	
Registration Number:	4587582	NAVIPLAN	
Registration Number:	4593675	NAVIPRO	
Registration Number:	5008818	FIGLO	
Registration Number:	5018582	NARRATOR	
Serial Number:	86095760	ADVICENT	
CORRESPONDENCE DATA			
Fax Number:	2127514864		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2129061209		
Email:	JESSICA.BAJADA-SILVA@LW.COM		
Correspondent Name:	LATHAM & WATKINS LLP, C/O JESSICA BAJADA		
Address Line 1:	1271 Avenue of the Americas		
Address Line 4:	New York, NEW YORK 10020		
ATTORNEY DOCKET NUMBER:	047049-0012		

OP \$215.00 4421077

NAME OF SUBMITTER:	Jessica Bajada-Silva
SIGNATURE:	/s/ Jessica Bajada-Silva
DATE SIGNED:	05/04/2021
Total Attachments: 3 source=Advicent - Trademark Release (2021) [Executed]#page1.tif source=Advicent - Trademark Release (2021) [Executed]#page2.tif source=Advicent - Trademark Release (2021) [Executed]#page3.tif	

RELEASE OF SECURITY INTEREST IN TRADEMARKS

This **RELEASE OF SECURITY INTEREST IN TRADEMARKS** (this “Release”), dated as of May 3, 2021 (the “Effective Date”), is made by GUGGENHEIM CREDIT SERVICES, LLC, as collateral agent for the secured parties (in such capacity, the “Collateral Agent”) in favor of ADVICENT SOLUTIONS, LP (the “Grantor”). All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed to them in the Trademark Security Agreement (whether defined therein or by reference to another agreement).

WHEREAS, pursuant to that certain Security Agreement, dated as of February 28, 2017, by and among the Grantor, the Collateral Agent and certain other parties (as may have been amended, restated, supplemented or otherwise modified from time to time, the “Security Agreement”), the Grantor executed and delivered to GUGGENHEIM CORPORATE FUNDING, LLC (the “Original Agent”) a Trademark Security Agreement, dated as of February 28, 2017 (the “Trademark Security Agreement”), which was recorded in the United States Patent and Trademark Office (“USPTO”) on March 1, 2017 at Reel/Frame 5999/0772;

WHEREAS, pursuant to the Security Agreement and the Trademark Security Agreement, the Grantor pledged and granted to the Original Agent for the benefit of the Secured Parties, a Lien on and security interest in (the “Security Interest”) all of its right, title and interest in, to and under (a) all Trademarks of the Grantor, including, without limitation, the United States and Canadian registered Trademarks and applications for Trademark registration, listed on Schedule 1 attached hereto; (b) all Goodwill associated with such Trademarks; and (c) all Proceeds of any and all of the foregoing, but excluding any Excluded Property (collectively, the “Trademark Collateral”);

WHEREAS, on August 7, 2019 the Original Agent resigned and was succeeded by the Collateral Agent, and accordingly, the Original Agent assigned the Trademark Security Agreement to the Collateral Agent, notice of which was recorded in the USPTO on August 9, 2019 at Reel/Frame 6716/0783;

WHEREAS, the Collateral Agent and the Grantor acknowledge that the requirements for releasing the Security Interest in the Trademark Collateral have been met.

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Collateral Agent, hereby (a) terminates and cancels the Trademark Security Agreement, (b) releases, discharges, terminates and cancels the Security Interest in, to and under the Trademark Collateral, and (c) re-assigns to the Grantor any right, title or interest it may have in the Trademark Collateral, in each case without recourse to the Collateral Agent and without representation or warranty of any kind.

The Grantor, or any successor to the Grantor (including any person or entity hereafter having any right, title or interest in or to the Trademark Collateral), is hereby authorized to record this Release in the USPTO.

[Signature Page Follows]

IN WITNESS WHEREOF, the Collateral Agent has caused this Release to be executed and delivered by its duly authorized representative as of the Effective Date.

GUGGENHEIM CREDIT SERVICES, LLC, as
Collateral Agent

By: 
Name: Kevin M Robinson
Title: Attorney-in-Fact

[Signature Page to Trademark Release]

TRADEMARK
REEL: 007279 FRAME: 0157

SCHEDULE 1

TRADEMARKS

UNITED STATES AND CANADIAN TRADEMARK REGISTRATIONS
AND APPLICATIONS

United States Trademark Registrations:

OWNER	REGISTRATION NUMBER	TITLE
Advicent Solutions, L.P.	4,421,077	ADVISOR BRIEFCASE
Advicent Solutions, L.P.	2,522,646	EISI
Advicent Solutions, L.P.	2,393,496	NAVIPLAN
Advicent Solutions, L.P.	4,587,582	NAVIPLAN
Advicent Solutions, L.P.	4,593,675	NAVIPRO
Advicent Solutions, L.P.	5008818	Figlo
Advicent Solutions, L.P.	5018582	Narrator

United States Trademark Applications:

OWNER	TITLE	APPLICATION NUMBER
Advicent Solutions, L.P.	ADVICENT	86/095,760

Canadian Trademark Registrations:

OWNER	REGISTRATION NUMBER	TITLE
Advicent Solutions, L.P.	TMA538,807	EISI
Advicent Solutions, L.P.	TMA491,550	NAVIPLAN
Advicent Solutions, L.P.	TMA491312	Planning Assistant
Advicent Solutions, L.P.	TMA491662	Strategy Assistant

Canadian Trademark Applications:

OWNER	TITLE	APPLICATION NUMBER
Advicent Solutions, L.P.	Advicent	1673276
Advicent Solutions, L.P.	Advicent Solutions	1673149
Advicent Solutions, L.P.	Figlo	1712215
Advicent Solutions, L.P.	Narrator	1712217