#### TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM662211

**SUBMISSION TYPE: NEW ASSIGNMENT** 

**NATURE OF CONVEYANCE: ENTITY CONVERSION** 

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Creative Planning, Inc.		01/07/2020	Corporation: MISSOURI

#### **RECEIVING PARTY DATA**

Name:	Creative Planning, LLC	
Street Address:	5454 W. 110th Street	
City:	Overland Park	
State/Country:	KANSAS	
Postal Code:	66211	
Entity Type:	Limited Liability Company: MISSOURI	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	Word Mark
Registration Number:	4224634	OPTIFOUR

#### CORRESPONDENCE DATA

Fax Number: 3038393838

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3038393800

Email: sfaction@spencerfane.com

**Correspondent Name:** Spencer Fane LLP Address Line 1: 1700 Lincoln Street

Address Line 2: Suite 2000

Address Line 4: Denver, COLORADO 80203

ATTORNEY DOCKET NUMBER:	5028265-55 DNV
NAME OF SUBMITTER:	Michael E. Broms
SIGNATURE:	/Michael E. Broms/
DATE SIGNED:	07/23/2021

#### **Total Attachments: 4**

source=Certificate of Conversion\_Creative Planning#page1.tif source=Certificate of Conversion Creative Planning#page2.tif source=Certificate of Conversion Creative Planning#page3.tif source=Certificate of Conversion\_Creative Planning#page4.tif

> **TRADEMARK** REEL: 007363 FRAME: 0700

# STATE OF MISSOURI CERTIFICATE OF CONVERSION FROM A CORPORATION TO A LIMITED LIABILITY COMPANY PURSUANT TO SECTION 351.409 OF THE MISSOURI GENERAL AND BUSINESS CORPORATION LAW

January 7, 2020

1. The present name of the corporation is: Creative Planning, Inc.

The name under which it was originally incorporated in the State of Missouri was: Creative Planning, Inc.

- 2. The date of filing of the corporation's original articles of incorporation was: October 14, 1982.
- 3. The corporation shall be converted to Creative Planning, LLC, which shall be a Missouri limited liability company, and, upon the effectiveness of the conversion, all of the shares of stock of the corporation shall be converted to membership interests in such limited liability company.
- 4. The conversion has been approved in accordance with the provisions of Section 351.409 of The General and Business Corporation Law of Missouri.
- 5. The corporation hereby agrees that it may be served with process in the State of Missouri in any action, suit or proceeding for enforcement of any obligation of the corporation arising while it was a corporation of the State of Missouri and that the corporation irrevocably appoints the Secretary of State of Missouri as its agent to accept service of process in any such action, suit or proceeding. Said copy of any service shall be mailed to the corporation's registered agent, provided to:

Jim Williams
1645 NE Woodland Shores Drive
Lee's Summit, Missouri 64086

6. The effective date of this conversion is to be the date this certificate of conversion is filed with the Secretary of State of Missouri.

[Signature Page Follows]

ORI-01072020-1032 State of Missouri No of Pages 3 Pages

Conversion - LLC/LP/LIP/LIP

TRADEMARK REEL: 007363 FRAME: 0701

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion effective as of the date first written above.

CREATIVE PLANNING, INC.

By:
Name: Peter A. Mallouk
Title: President

TRADEMARK **REEL: 007363 FRAME: 0702** 

### ARTÍCLÉS OF ORGANIZATION OF <u>CREATIVE PLANNING, LLC</u>

- 1. The name of the limited liability company formed hereby is Creative Planning, LLC.
- 2. The purpose for which the limited liability company is organized is to transact any or all lawful business for which a limited liability company may be organized under and pursuant to the Missouri Limited Liability Company Act.
- 3. The name and address of the limited liability company's registered agent in the State of Missouri is:

Jim Williams 1645 NE Woodland Shores Drive Lee's Summit, Missouri 64086

4.	The management of	the	limited liability	company is	vested in:
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X	MANAGERS	***************************************	MEMBERS

- 5. The duration of the limited liability company shall be perpetual.
- 6. The name and address of the organizer are as follows:

Street	City and State
5454 110th Street	Overland Park, KS 66211

IN AFFIRMATION THEREOF, the facts stated above are true and correct. (The undersigned understands that false statements made in this filing are subject to the penalties provided under Section 575.040, RSMo).

Dated: January 7, 2020

Peter A. Mallouk, Organizer

REEL: 007363 FRAME: 0703



## John R. Ashcroft Secretary of State

CERTIFICATE OF CONVERSION

WHEREAS, a Certificate of Conversion of the following entity:

CREATIVE PLANNING, INC. - 00245708 CONVERTING INTO: Creative Planning, LLC - LC001683594

Organized and existing under the laws of Missouri have been received, found to conform to Law and filed.

NOW, THEREFORE, I, Missouri, Secretary of State of the State of Missouri, issue the Certificate of Conversion, certifying that the conversion of the aforenamed entity is effected, with

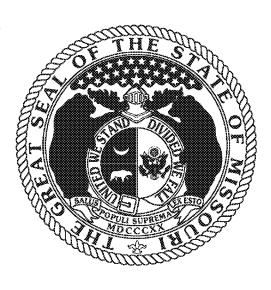
Creative Planning, LLC - LC001683594

As the newly formed entity, pursuant to Chapter 351.409 RSMO.

IN TESTIMONY WHEREOF, I hereunto set my hand and cause to be affixed the GREAT SEAL of the State of Missouri. Done at the City of Jefferson, this 7th day of January, 2020.



RECORDED: 07/23/2021



TRADEMARK REEL: 007363 FRAME: 0704