

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

ETAS ID: TM668808

SUBMISSION TYPE:	RESUBMISSION
NATURE OF CONVEYANCE:	SECURITY INTEREST
RESUBMIT DOCUMENT ID:	900627275

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
VENUS PEARL ACQUISITION CO LIMITED		07/01/2021	Exempted Company Incorporated with limited liability: CAYMAN ISLANDS
SOURCE PHOTONICS HOLDING (CAYMAN) LIMITED		07/01/2021	Exempted Company Incorporated with limited liability: CAYMAN ISLANDS
SOURCE PHOTONICS TAIWAN, INC.		07/01/2021	Company limited by shares: TAIWAN
MAGNOLIA SOURCE (CAYMAN) LIMITED		07/01/2021	Exempted Company Incorporated with limited liability: CAYMAN ISLANDS
SOURCE PHOTONICS USA, INC.		07/01/2021	Corporation: DELAWARE
SOURCE PHOTONICS SANTA CLARA, LLC		07/01/2021	Limited Liability Company: DELAWARE
SOURCE PHOTONICS, LLC		07/01/2021	Limited Liability Company: DELAWARE
SOURCE PHOTONICS HOLDINGS LIMITED		07/01/2021	Business company: VIRGIN ISLANDS, BRITISH
SOURCE PHOTONICS, INC.		07/01/2021	Corporation: DELAWARE

RECEIVING PARTY DATA

Name:	EAST WEST BANK
Street Address:	2350 Mission College Boulevard
Internal Address:	Suite 988
City:	Santa Clara
State/Country:	CALIFORNIA
Postal Code:	95054
Entity Type:	State Banking association: CALIFORNIA

PROPERTY NUMBERS Total: 6

Property Type	Number	Word Mark
Registration Number:	5795271	SP SOURCE PHOTONICS
Registration Number:	5978725	SP SOURCE PHOTONICS

TRADEMARK

Property Type	Number	Word Mark
Registration Number:	4662748	SOURCE PHOTONICS
Registration Number:	4662746	S SOURCE PHOTONICS
Registration Number:	3551126	S SOURCE PHOTONICS
Registration Number:	3551104	SOURCE PHOTONICS

CORRESPONDENCE DATA

Fax Number: 2158325619

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2155695619

Email: pecsenye@blankrome.com

Correspondent Name: Timothy D. Pecsénye (142552-01049)

Address Line 1: One Logan Square

Address Line 2: 8th floor

Address Line 4: Philadelphia, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER: 142552-01049

NAME OF SUBMITTER: Timothy D. Pecsénye

SIGNATURE: /Timothy D. Pecsénye/

DATE SIGNED: 08/19/2021

Total Attachments: 18

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INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this “Agreement”) is made as of this 1st day of July 2021, by VENUS PEARL ACQUISITION CO LIMITED, an exempted company incorporated in the Cayman Islands with limited liability (“Venus Pearl”), SOURCE PHOTONICS HOLDING (CAYMAN) LIMITED, an exempted company incorporated in the Cayman Islands with limited liability (“Source Photonics Holding”), SOURCE PHOTONICS TAIWAN, INC., a Taiwan company limited by shares (“Source Photonics Taiwan”), SOURCE PHOTONICS, INC., a Delaware corporation (“Source Photonics Inc.”), MAGNOLIA SOURCE (CAYMAN) LIMITED, an exempted company incorporated in the Cayman Islands with limited liability (“Magnolia Source Cayman”), SOURCE PHOTONICS USA, INC., a Delaware corporation (“Source Photonics USA”), SOURCE PHOTONICS SANTA CLARA, LLC, a Delaware limited liability company (“Source Photonics Santa Clara”), SOURCE PHOTONICS, LLC, a Delaware limited liability company (“Source Photonics LLC”), and SOURCE PHOTONICS HOLDINGS LIMITED, a British Virgin Islands business company (“Source Photonics BVI”; together with Venus Pearl, Source Photonics Holding, Source Photonics Taiwan, Source Photonics Inc., Magnolia Source Cayman, Source Photonics USA, Source Photonics Santa Clara and Source Photonics LLC, collectively, the “Grantors” and each, a “Grantor”), in favor of EAST WEST BANK, a California banking corporation (“East West Bank”), in its capacity as administrative agent for the Lenders defined below.

WHEREAS, pursuant to that certain Credit and Security Agreement dated as of the date hereof (as amended, restated, supplemented or otherwise modified from time to time, including all exhibits and schedules thereto, the “Credit Agreement”) among Grantors, the other Borrowers from time to time party thereto, the other Guarantors from time to time party thereto, the lenders from time to time party thereto (the “Lenders”), and East West Bank, as administrative agent for Lenders (“Agent”), the Lenders agreed to make certain financial accommodations available to Borrowers from time to time pursuant to the terms and conditions thereof; and

WHEREAS, pursuant to the Credit Agreement, each Grantor is required to execute and deliver to Agent, for the benefit of the Lenders, this Agreement.

NOW, THEREFORE, in consideration of the premises and mutual covenants herein contained and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each Grantor hereby agrees as follows:

1. DEFINED TERMS. All capitalized terms used but not otherwise defined herein have the meanings given to them in the Credit Agreement.
2. GRANT AND REAFFIRMATION OF SECURITY INTEREST. To secure the payment and performance of the Obligations under the Loan Agreement, each Grantor hereby grants to Agent for its benefit and the benefit of the Lenders, and hereby reaffirms its prior grant pursuant to the Loan Agreement of a continuing security interest in and Lien on all of such Grantor’s right, title and interest in, to and under the following, whether presently existing or hereafter created or acquired (collectively, the “IP Collateral”), with power of sale to the extent permitted by law:

(a) all of such Grantor's copyrights, copyright applications and mask works (collectively, "Copyrights") and all of the goodwill of the business connected with the use of, and symbolized by, each Copyright, including without limitation those referred to on Schedule 1 hereto;

(b) all of such Grantor's patents and patent applications (collectively, "Patents"), and all of the goodwill of the business connected with the use of, and symbolized by, each Patent, including without limitation those referred to on Schedule 1 hereto;

(c) all of such Grantor's trademarks, trademark applications, service marks, trade names (collectively, "Trademarks"), and all of the goodwill of the business connected with the use of, and symbolized by, each Trademark, including without limitation those referred to on Schedule 1 hereto;

(d) all reissues, continuations or extensions of the foregoing; and

(e) all products and proceeds of the foregoing, including without limitation any claim by such Grantor against third parties for past, present or future infringement or dilution of any Copyright, any Patent, or any Trademark.

3. CERTAIN LIMITED EXCLUSIONS. Notwithstanding anything herein to the contrary, in no event shall the IP Collateral include or the security interest granted hereunder attach to any "intent-to-use" application for registration of a trademark filed in the United States pursuant to Section 1(b) of the Lanham Act, 15 U.S.C. § 1051, prior to the first use thereof, whether by actual use in commerce or the filing of a "Statement of Use" pursuant to Section 1(d) of the Lanham Act or an "Amendment to Allege Use" pursuant to Section 1(c) of the Lanham Act, but only to the extent the granting of a security interest in such "intent-to-use" applications would be contrary to applicable law or would impair the validity or enforceability of any registration that issues from such intent-to-use application under applicable federal law.

4. SECURITY FOR OBLIGATIONS. This Agreement and the security interest created hereby secure the payment and performance of all the Obligations under the Credit Agreement, whether now existing or arising hereafter. Without limiting the generality of the foregoing, this Agreement secures the payment of all amounts which constitute part of the Obligations and would be owed by any Grantor to Agent, the Lenders or any of them pursuant to the Credit Agreement.

5. CREDIT AGREEMENT. The security interests granted pursuant to this Agreement are granted in conjunction with the security interests granted to Agent, for the benefit of the Lenders, pursuant to the Credit Agreement. Each Grantor hereby acknowledges and affirms that the rights and remedies of Agent with respect to the security interest in the IP Collateral made and granted hereby are more fully set forth in the Credit Agreement, the terms and provisions of which are incorporated by reference herein as if fully set forth herein.

6. REPRESENTATIONS, WARRANTIES AND AGREEMENTS. Each Grantor hereby represents and warrants to, and agrees with Agent and Lenders as follows: Schedule 1 hereto accurately lists all registered IP Collateral as of the date hereof.

7. AUTHORIZATION TO SUPPLEMENT. If any Grantor shall obtain rights to any new IP Collateral, this Agreement shall automatically apply thereto. Each Grantor shall give prompt notice in writing to Agent with respect to any such new IP Collateral. Without limiting

any Grantor's obligations under this Section 6, each Grantor hereby authorizes Agent unilaterally to modify this Agreement by amending Schedule 1 to include any such new IP Collateral of such Grantor identified in such written notice provided by such Grantor. Notwithstanding the foregoing, no failure to so modify this Agreement or amend Schedule 1 shall in any way affect, invalidate or detract from Agent's continuing security interest in all IP Collateral, whether or not listed on Schedule 1.

8. GOVERNING LAW. This Agreement and the transactions contemplated hereby, and all disputes between the parties under or relating to this Agreement or the facts or circumstances leading to its execution, whether in contract, tort or otherwise shall be construed in accordance with and governed by the laws (including statutes of limitation) of the State of California, without regard to conflicts of law principles that would require the application of the laws of another jurisdiction.


9. COUNTERPARTS. This Agreement may be executed in any number of counterparts, each of which shall be deemed to be an original, but all such separate counterparts shall together constitute but one and the same instrument. Any signatures delivered by a party by facsimile transmission or by e-mail transmission shall be deemed an original signature hereto.

10. CONSTRUCTION. Unless the context of this Agreement clearly requires otherwise, the term "or" has, except where otherwise indicated, the inclusive meaning represented by the phrase "and/or." Section, subsection, clause, schedule, and exhibit references herein are to this Agreement unless otherwise specified. Any reference herein to any Person shall be construed to include such Person's successors and assigns.


signature page follows]

Each of the parties has signed this Agreement as of the day and year first above written.

EXECUTED as a DEED by **VENUS PEARL ACQUISITION CO LIMITED**,
a Cayman Islands exempted company,
as Initial Borrower and, upon consummation
of the Borrower Assumption

By: 
Name: Wang Hongyu
Title: Director

EXECUTED as a DEED by **SOURCE PHOTONICS HOLDING (CAYMAN) LIMITED**, a Cayman Islands exempted company, initially as a Guarantor and, upon consummation of the Borrower Assumption

By: 
Name: Wang Hongyu
Title: Director

SOURCE PHOTONICS TAIWAN, INC.,
a Taiwan corporation

By: _____
Name: Yu-heng Chen
Title: Chairman

SOURCE PHOTONICS, INC.,
a Delaware corporation

By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

Each of the parties has signed this Agreement as of the day and year first above written.

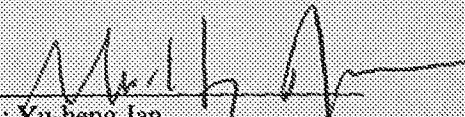
EXECUTED as a DEED by **VENUS PEARL
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a Cayman Islands exempted company,
as Initial Borrower and, upon consummation
of the Borrower Assumption

By: _____
Name: Wang Hongyu
Title: Director

EXECUTED as a DEED by **SOURCE
PHOTONICS HOLDING (CAYMAN)
LIMITED**, a Cayman Islands exempted
company, initially as a Guarantor and, upon
consummation of the Borrower Assumption

By: _____
Name: Wang Hongyu
Title: Director

SOURCE PHOTONICS TAIWAN, INC.,
a Taiwan corporation

By: 
Name: Yu-heng Jan
Title: Chairman
SOURCE PHOTONICS, INC.,
a Delaware corporation

By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

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Name: Wang Hongyu
Title: Director


SOURCE PHOTONICS TAIWAN, INC.,
a Taiwan corporation

By: _____
Name: Yu-heng Chen
Title: Chairman

SOURCE PHOTONICS, INC.,
a Delaware corporation

By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

EXECUTED as a DEED by **MAGNOLIA
SOURCE (CAYMAN) LIMITED**,
a Cayman Islands exempted company

By: 
Name: Wang Hongyu
Title: Director

SOURCE PHOTONICS USA, INC.,
a Delaware corporation

By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

**SOURCE PHOTONICS SANTA CLARA,
LLC**,
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region

SOURCE PHOTONICS, LLC,
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region


**SOURCE PHOTONICS HOLDINGS
LIMITED**,
a British Virgin Islands business company

By: _____
Name: Guodong Zhang
Title: Director

EXECUTED as a DEED by **MAGNOLIA
SOURCE (CAYMAN) LIMITED**,
a Cayman Islands exempted company

By: _____
Name: Wang Hongyu
Title: Director

SOURCE PHOTONICS USA, INC.,
a Delaware corporation

By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

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LLC**,
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region

SOURCE PHOTONICS, LLC,
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region

**SOURCE PHOTONICS HOLDINGS
LIMITED**,
a British Virgin Islands business company

By: _____
Name: Guodong Zhang
Title: Director

EXECUTED as a DEED by **MAGNOLIA
SOURCE (CAYMAN) LIMITED**,
a Cayman Islands exempted company

By: _____
Name: Wang Hongyu
Title: Director

SOURCE PHOTONICS USA, INC.,
a Delaware corporation

By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

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By: _____
Name: Jianshi Wang
Title: President North America Region

SOURCE PHOTONICS, LLC,
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region

**SOURCE PHOTONICS HOLDINGS
LIMITED**,
a British Virgin Islands business company

By: _____
Name: Guodong Zhang
Title: Director

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a Cayman Islands exempted company

By: _____
Name: Wang Hongyu
Title: Director

SOURCE PHOTONICS USA, INC.,
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By: _____
Name: Muhammad Wadood
Title: Chief Financial Officer

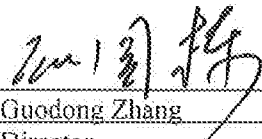
**SOURCE PHOTONICS SANTA CLARA,
LLC,**
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region

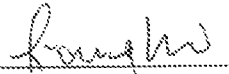
SOURCE PHOTONICS, LLC,
a Delaware limited liability company

By: _____
Name: Jianshi Wang
Title: President North America Region

**SOURCE PHOTONICS HOLDINGS
LIMITED,**
a British Virgin Islands business company

By:  _____
Name: Guodong Zhang
Title: Director

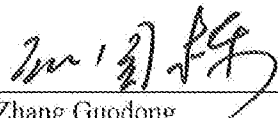
SOURCE PHOTOTONICS (MACAO
COMMERCIAL OFFSHORE) LIMITED,
a Macao limited company

By: 
Name: Jiang Run
Title: Director

By: _____
Name: Zhang Guodong
Title: Director

SOURCE PHOTOTONICS (MACAO
COMMERCIAL OFFSHORE) LIMITED,
a Macao limited company

By: _____
Name: Jiang Run
Title: Director

By:  _____
Name: Zhang Guodong
Title: Director

SCHEDULE 1
TO
INTELLECTUAL PROPERTY SECURITY AGREEMENT

I. Copyrights and Copyright Applications

None.

II. United States Patents and Patent Applications

#	Grantor	Title	Application/ Patent Number
1.	Source Photonics, Inc.	MULTICHANNEL OPTICAL TRANSMITTER AND METHOD OF ALIGNING COMPONENTS IN THE SAME	9829638
2.	Source Photonics, Inc.	MULTICHANNEL OPTICAL TRANSMITTER AND METHOD OF ALIGNING COMPONENTS IN THE SAME	9720179
3.	Source Photonics, Inc.	METHODS, APPARATUSES, AND SYSTEMS FOR MONITORING SIGNAL STRENGTH IN AN OPTICAL NETWORK	9252873
4.	Source Photonics, Inc.	STATUS MONITORING, STORAGE AND REPORTING FOR OPTICAL TRANSCEIVERS BY TRACKING OPERATING PARAMETER VARIATIONS	9251689
5.	Source Photonics, Inc.	WDM MULTIPLEXING/DE-MULTIPLEXING SYSTEM AND THE MANUFACTURING METHOD THEREOF	9229167
6.	Source Photonics, Inc.	Optical Receiver with Reduced Cavity Size and Methods of Making and Using the Same	9213156
7.	Source Photonics, Inc.	Circuits, Architectures, Apparatuses, Methods and Algorithms for Determining a DC Bias in an AC or AC-Coupled Signal	9201103
8.	Source Photonics, Inc.	Bi-Directional Fiber Optic Transceivers, Housings Therefor, and Methods for Making and Using the Same	9195015
9.	Source Photonics, Inc.	APPARATUSES FOR REDUCING THE SENSITIVITY OF AN OPTICAL SIGNAL TO POLARIZATION AND METHODS OF MAKING AND USING THE SAME	9164247
10.	Source Photonics, Inc.	A Method and Algorithm for Stabilizing the Output State of an Optical Transceiver	9059834
11.	Source Photonics, Inc.	LATCHABLE MODULE HOUSINGS AND METHODS OF MAKING AND USING THE SAME	9028155
12.	Source Photonics, Inc.	OPTICAL ISOLATOR CAPABLE OF CREATING A LARGE BUFFER AREA FOR OPTICAL BEAMS AND METHODS OF	9019605

		MANUFACTURING AND USING THE SAME	
13.	Source Photonics, Inc.	Power-Saving Driver Circuit for Providing a Bias Current or Driving a Current-Driven Load	8989598
14.	Source Photonics, Inc.	Operational State Information Generation in an Optical Transceiver	8989587
15.	Source Photonics, Inc.	Data Signal Threshold Detection and/or Recovery in Optical and/or Optoelectronic Receivers and/or Transceivers	8948610
16.	Source Photonics, Inc.	ISOLATED MODULATOR ELECTRODES FOR LOW POWER CONSUMPTION	8948227
17.	Source Photonics, Inc.	Optical Transceiver Integrated with Optical Time Domain Reflectometer Monitoring	8942556
18.	Source Photonics, Inc.	Small Form-Factor Pluggable Optical Transceiver	8939658
19.	Source Photonics, Inc.	Operational Status Indicators in an Optical Transceiver Using Dynamic Thresholds	8934779
20.	Source Photonics, Inc.	TRIPLEXER AND/OR OPTICAL LINE TERMINAL COMPATIBLE WITH A 10G ETHERNET PASSIVE OPTICAL NETWORK AND A HOUSING THEREFOR	8931966
21.	Source Photonics, Inc.	Differential Driver, Circuits and Devices Including the Same, and Method(s) of Manufacturing the Same	8929746
22.	Source Photonics, Inc.	Low Power Consumption, Long Range, Pluggable Transceiver, Circuits and Devices Therefor, and Method(s) of Using the Same	8903254
23.	Source Photonics, Inc.	ENHANCED RECEIVED SIGNAL POWER INDICATORS FOR OPTICAL RECEIVERS AND TRANSCEIVERS, AND METHODS OF MAKING AND USING THE SAME	8901474
24.	Source Photonics, Inc.	Data Signal Detection in Optical and/or Optoelectronic Receivers and/or Transceivers	8891686
25.	Source Photonics, Inc.	Enhanced Status Monitoring, Storage and Reporting for Optical Transceivers	8886033
26.	Source Photonics, Inc.	Circuits and Methods for Monitoring Power Parameters in an Optical Transceiver	8879909
27.	Magnolia Source (Cayman) Limited	OPERATIONAL STATUS FLAG GENERATION IN AN OPTICAL TRANSCEIVER	8842993
28.	Magnolia Source (Cayman) Limited	DYNAMIC MEMORY ALLOCATION IN AN OPTICAL TRANSCEIVER	8798475
29.	Magnolia Source (Cayman) Limited	Circuit for Monitoring the Receiver of an Optical Transceiver and the Method for Monitoring Burst Mode Optical Power Thereof	8792785
30.	Magnolia Source (Cayman) Limited	Circuit and Method for Limiting Current to Prevent Roll-Over in Laser Diodes	8670473
31.	Magnolia Source (Cayman) Limited	CIRCUITS, ARCHITECTURES, APPARATUSES, SYSTEMS, AND METHODS FOR MERGING OF MANAGEMENT AND	8666255





[Intellectual Property Security Agreement)]

Schedule 1 – Page 5

		DATA SIGNALS, AND FOR RECOVERY OF A MANAGEMENT SIGNAL	
32.	Magnolia Source (Cayman) Limited	METHOD, CIRCUITRY AND APPARATUS FOR OUTPUTTING A STABLE OPTICAL SIGNAL IN A DENSE WAVELENGTH DIVISION MULTIPLEXING DEVICE DURING FAST CHANGES OF OPERATING CONDITIONS	8606111
33.	Magnolia Source (Cayman) Limited	Ramped, Variable Power UV Adhesive Cure Process for Improved Alignment	8486214
34.	Magnolia Source (Cayman) Limited	Apparatus and Methods for Controlling a Laser Output and Improving Laser Safety Using a Proximity Sensor	8847161
35.	Source Photonics, Inc.	Tunable Dense Wavelength Division Multiplexing Transceiver, Circuits and Devices Therefor, and Methods for Making and Using Such Transceivers, Circuits and Devices	8929748
36.	Magnolia Source (Cayman) Limited	MULTI-DATA-RATE OPTICAL TRANSCEIVER	7200336
37.	Magnolia Source (Cayman) Limited	OPTICAL ADD/DROP MODULE	6931174
38.	Source Photonics, Inc.	Operational Status Indicators in an Optical Transceiver Using Dynamic Thresholds	9391718
39.	Source Photonics, Inc.	Optical Receiver With Reduced Cavity Size and Methods of Making and Using the Same	9568680
40.	Magnolia Source (Cayman) Limited	MULTI-DATA-RATE OPTICAL TRANSCEIVER	7650077
41.	Magnolia Source (Cayman) Limited	DYNAMIC MEMORY ALLOCATION IN AN OPTICAL TRANSCEIVER	9485027
42.	Magnolia Source (Cayman) Limited	SMALL FORM FACTOR PLUGGABLE OPTICAL TRANSCEIVER HAVING AUTOMATIC-RESTORING UNLOCKING MECHANISM AND MECHANISM FOR LOCATING OPTICAL TRANSCEIVER COMPONENTS	7255490
43.	Magnolia Source (Cayman) Limited	APPARATUS FOR MEASURING PHOTO DIODES' TEMPERATURE DEPENDENCE	7183540
44.	Magnolia Source (Cayman) Limited	OPTICAL TRANSCEIVER HAVING IMPROVED PRINTED CIRCUIT BOARD	7153043
45.	Magnolia Source (Cayman) Limited	OPTICAL TRANSCEIVER HAVING IMPROVED UNLOCKING MECHANISM	7507037
46.	Magnolia Source (Cayman) Limited	REMOVABLE KEY TO BE USED TO UNLOCK AN OPTICAL TRANSCEIVER MODULE	D594455
47.	Source Photonics, Inc.	VIRTUAL MASK SYSTEM AND METHOD FOR OPERATING THE SAME	13/009,513/ 8,860,799
48.	Source Photonics, Inc.	OPTICAL TRANSCEIVER INCLUDING A HEAT SINK AND METHODS OF MAKING AND USING THE SAME	201810577718.5

49.	Source Photonics, Inc.	Optical modulator with reduced size and improved efficiency	16/076,645
50.	Source Photonics, Inc.	OPTICAL TRANSMITTER INCLUDING A GRADED OR SLOPED PASS BAND FILTER, AND METHODS OF MAKING AND USING THE SAME	16/069,493
51.	Source Photonics, Inc.	SYSTEM AND METHOD FOR TRANSFERRING OPTICAL SIGNALS IN PHOTONIC DEVICES AND METHOD OF MAKING THE SYSTEM	16/338,096
52.	Source Photonics, Inc.	MULTI-LAYER P-N JUNCTION BASED PHASE SHIFTER AND METHODS OF MANUFACTURING AND USING THE SAME	201910698352.1
53.	Source Photonics, Inc.	MULTI-LAYER P-N JUNCTION BASED PHASE SHIFTER AND METHODS OF MANUFACTURING AND USING THE SAME	16/343,991
54.	Source Photonics, Inc.	An integrated heat sink design for optical transceivers	29/693,543
55.	Source Photonics, Inc.	CIRCUITS, ARCHITECTURES, APPARATUSES, METHODS AND ALGORITHMS FOR DETERMINING A DC BIAS IN AN AC OR AC-COUPLED SIGNAL	13/206,285
56.	Source Photonics, Inc.	TUNABLE DENSE WAVELENGTH DIVISION MULTIPLEXING TRANSCEIVER, CIRCUITS AND DEVICES THEREFOR, AND METHODS FOR MAKING AND USING SUCH TRANSCEIVERS, CIRCUITS AND DEVICES	13/050,787
57.	Source Photonics, Inc.	OPTICAL RECEIVER WITH REDUCED CAVITY SIZE AND METHODS OF MAKING AND USING THE SAME	13/212,137
58.	Source Photonics, Inc.	Operational Status Indicators in an Optical Transceiver Using Dynamic Thresholds	13/684,047
59.	Magnolia Source (Cayman) Limited	Multi-Data-Rate Optical Transceiver	12/961,270/ RE44107
60.	Source Photonics Taiwan, Inc.	WDM Multiplexing/De-Multiplexing System and the Manufacturing Method Thereof	15/698,580

III. United States Trademarks and Trademark Applications

#	Grantor	Mark	Serial/ Registration Number
1.	Source Photonics Santa Clara, LLC and Source Photonics, Inc.		5795271
2.	Source Photonics Santa Clara, LLC and Source Photonics, Inc.		5978725
3.	Source Photonics, Inc. and Source Photonics Santa Clara, LLC	SOURCE PHOTONICS	4662748
4.	Source Photonics, Inc. and Source Photonics Santa Clara, LLC		4662746
5.	Source Photonics, Inc. and Source Photonics Santa Clara, LLC		3551126
6.	Source Photonics, Inc. and Source Photonics Santa Clara, LLC	SOURCE PHOTONICS	3551104