

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM671913

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Western Alliance Bank		09/01/2021	National Banking Association: ARIZONA
RECEIVING PARTY DATA			
Name:	PTS Financial Technology, LLC		
Street Address:	1001 AVENUE OF THE AMERICAS		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10018		
Entity Type:	Limited Liability Company: DELAWARE		
Name:	Professional Trading Solutions, Inc..		
Street Address:	1001 AVENUE OF THE AMERICAS		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10018		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Registration Number:	2635488	STERLING TRADER	
Registration Number:	2697878	STERLING TRADER	
Registration Number:	5221769	LIGHTSPEED TRADER	
CORRESPONDENCE DATA			
Fax Number:	2159882757		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2159883303		
Email:	robert.cannuscio@faegredrinker.com		
Correspondent Name:	Faegre Drinker Biddle & Reath LLP		
Address Line 1:	One Logan Square		
Address Line 2:	Suite 2100		
Address Line 4:	Philadelphia, PENNSYLVANIA 19103		

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ATTORNEY DOCKET NUMBER:	209304-585957
NAME OF SUBMITTER:	Robert E. Cannuscio
SIGNATURE:	/Robert E. Cannuscio/
DATE SIGNED:	09/01/2021
Total Attachments: 3 source=Release by Western Alliance of Security Interest in IP - PTS 9.1.21#page1.tif source=Release by Western Alliance of Security Interest in IP - PTS 9.1.21#page3.tif source=Release by Western Alliance of Security Interest in IP - PTS 9.1.21#page4.tif	

RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

This RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY (this “Release”), dated September 1, 2021, is made by Western Alliance Bank, an Arizona corporation (“Secured Party”), and Professional Trading Solutions, Inc., a Delaware corporation, and PTS Financial Technology, LLC, a Delaware limited liability company (collectively, “Debtors”).

WHEREAS, Debtors and Secured Party are parties to a certain Loan and Security Agreement, dated as of July 15, 2015 (as same may have been amended, restated and otherwise modified) (“Loan Agreement”), in connection with which Debtors entered into a certain Intellectual Property Security Agreement, dated as of July 15, 2015 (as same may have been amended, restated and otherwise modified) (collectively, the “Security Agreement”) by which Debtors granted a security interest in favor of Secured Party in certain intellectual property assets owned by Debtors and defined in the Security Agreement (the “Intellectual Property Collateral, including the copyrights listed in Schedule A, the trademarks listed in Schedule B, and the patents listed in Schedule C;

WHEREAS, the Security Agreement was recorded with the United States Patent and Trademark Office on July 17, 2015 at Reel Frame 036125/0044, on July 17, 2015 Reel/Frame 5581/0108, on February 6, 2017 at Reel/Frame 5983/0566, and on August 8, 2018 at Reel/Frame 6407/0974, and the United States Copyright Office on July 21, 2015 at V9907D552, and on July 14, 2015 at V9929D057; and

WHEREAS, on August 26, 2021 (the “Effective Date”) Debtors paid off all its loans under the Loan Agreement and Secured Party wishes to acknowledge and confirm the release all security interests held by Secured Party in Debtors’ intellectual property, including the Intellectual Property Collateral.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Secured Party does hereby release and acknowledge and confirm the release of all of its security interests in and to all of Debtors intellectual property, including the Intellectual Property Collateral, and discharges, quit claims, relinquishes and re-assigns to the Debtors and any party that has rights under such intellectual property (in each case without recourse and without any representation or warranty) any and all rights, title and interest it has in, to and under such intellectual property, effective as of the Effective Date.

IN WITNESS WHEREOF, Secured Party has caused this Release to be duly executed and delivered by its officer thereunto duly authorized as of the day and year first above written.

WESTERN ALLIANCE BANK



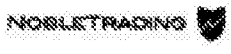

By: William Robinson

Name: William Robinson

Title: Market Leader

SCHEDULE B

Trademarks

Mark	U.S. Serial No.	U.S. Reg. No.	Reg. Date	Status	Owner
	77/495,431	3,564,244	1/20/2009	Cancelled	PTS Financial Technology, LLC
	77/530,093	3,564,633	1/20/2009	Cancelled	PTS Financial Technology, LLC
GREENMOOR	78/770,083	3,596,627	3/24/2009	Cancelled	PTS Financial Technology, LLC
LIGHTS PEED	78/830,671	3,684,545	9/15/2009	Cancelled	Professional Trading Solutions, Inc.
LIGHTS PEED	78/830,673	3,624,756	5/19/2009	Cancelled	Professional Trading Solutions, Inc.
LIGHTS PEED	78/830,674	3,829,692	8/3/2012	Cancelled	Professional Trading Solutions, Inc.
NOBLETRADING	85/090,877	3,931,089	3/15/2011	Cancelled	Professional Trading Solutions, Inc.
	85/090,980	3,931,097	3/15/2011	Cancelled	Professional Trading Solutions, Inc.
	78/207,256	3,115,909	7/18/2006	Cancelled	Professional Trading Solutions, Inc.
TRADER TRIPLE CROWN	77/487,486	3,938,301	3/29/2011	Cancelled	Professional Trading Solutions, Inc.
TRADIENT	77/403,484	3,551,609	12/23/2008	Cancelled	PTS Financial Technology, LLC
STERLING TRADER	78/101,031	2,635,488	10/15/2002	Registered	PTS Financial Technology, LLC
STERLING TRADER	78/100,777	2,697,878	3/18/2003	Registered	PTS Financial Technology, LLC
LIGHTSPEED TRADER	87/178,717	5221769	13-Jun-2017	Registered	Professional Trading Solutions, Inc.

Foreign

	Trademark	Application No.	Registration No.	Registration Date	Status	Owner Name
Europe	STERLING TRADER	947126	947126	03-Dec-2007	Registered	PTS Financial Technology, LLC
UK	STERLING TRADER	00810947126	00810947126	12-Nov-2010	Registered	PTS Financial Technology, LLC
International	STERLING TRADER	947126	947126	03-Dec-2007	Registered	PTS Financial Technology, LLC
Europe	STERLING TRADER	947127	947127	03-Dec-2007	Registered	PTS Financial Technology, LLC
UK	STERLING TRADER	00810947127	00810947127	12-Nov-2010	Registered	PTS Financial Technology, LLC
International	STERLING TRADER	947127	947127	03-Dec-2007	Registered	PTS Financial Technology, LLC
Canada	STERLING TRADER	TMA741529	1372887	05-Jun-2009	Registered	PTS Financial Technology, LLC
China	STERLING TRADER (in Chinese)	6554037	6554037	28-Sept-2010	Registered	PTS Financial Technology, LLC
China	STERLING TRADER (in Chinese)	6554038	6554038	21-Apr-2010	Registered	PTS Financial Technology, LLC