

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM677342

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
RMA BROKERAGE, LLC d/b/a Caitlin Morgan Insurance Services		09/01/2021	Limited Liability Company: INDIANA
RECEIVING PARTY DATA			
Name:	DOXA ALTERNATIVE RISK MANAGEMENT, LLC		
Street Address:	6920 Pointe Inverness Way		
Internal Address:	Suite 140		
City:	Fort Wayne		
State/Country:	INDIANA		
Postal Code:	46804		
Entity Type:	Limited Liability Company: VERMONT		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	6199108	CAITLIN MORGAN INSURANCE SERVICES	
Registration Number:	6199106	CAITLIN MORGAN INSURANCE SERVICES	
CORRESPONDENCE DATA			
Fax Number:	2604238920		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2604238806		
Email:	jlf@barrettlaw.com		
Correspondent Name:	George Pappas		
Address Line 1:	215 E Berry Street		
Address Line 4:	Fort Wayne, INDIANA 46802		
NAME OF SUBMITTER:	George Pappas		
SIGNATURE:	/George Pappas/		
DATE SIGNED:	09/28/2021		
Total Attachments: 3			
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OP \$65.00 6199108

TRADEMARK ASSIGNMENT

This TRADEMARK ASSIGNMENT (this “**Trademark Assignment**”) is made effective as of the 1st day of September, 2021, by RMA BROKERAGE, LLC, an Indiana limited liability company d/b/a Caitlin Morgan Insurance Services (the “**Assignor**”), for the benefit of DOXA ALTERNATIVE RISK MANAGEMENT, LLC, a Vermont limited liability company (the “**Assignee**”).

WITNESSETH

WHEREAS, the Assignor and the Assignee, among others, are party to a certain Asset Purchase Agreement of even date herewith (the “**Purchase Agreement**”);

WHEREAS, the Assignor is the record owner of the following trademark(s) registered with the United States Patent and Trademark Office (together, the “**Trademarks**”):

Trademark	Registration Number	Date of Registration
CAITLIN MORGAN INSURANCE SERVICES (Logo)	6,199,108	November 17, 2020
CAITLIN MORGAN INSURANCE SERVICES	6,199,106	November 17, 2020

WHEREAS, in connection with the consummation of the transactions contemplated by the Purchase Agreement, the Assignor desires to assign to the Assignee the Trademarks and all rights, benefits, privileges and proceeds associated with the Trademarks, including without limitation any and all common law rights and registration(s) thereof and any and all claims by the Assignor against any third party for past, present or future infringement, misappropriation, misuse or other violation of the Trademarks, together with the goodwill of the business symbolized by the Trademarks (the “**Trademark Rights**”).

NOW, THEREFORE, in consideration of the mutual covenants contained in the Purchase Agreement, the sum of ten dollars (\$10) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor agrees as follows:

TRADEMARK ASSIGNMENT

1. Incorporation of Recitals. The foregoing recitals are hereby incorporated within, and shall be considered part of the body of, this Trademark Assignment.

2. Assignment of Trademarks. The Assignor hereby sells, assigns, conveys, transfers and delivers to the Assignee all of the Assignor’s right, title and interest in, to and under the Trademarks and the Trademark Rights, to have and hold and to be used and enjoyed by the Assignee, its heirs, successors and assigns, as fully and entirely as the same would have been enjoyed by the Assignor had this Trademark Assignment not been made.

3. Recordation. The Assignor hereby authorizes the Commissioner for Trademarks in the United States Patent and Trademark Office to record and register this Trademark Assignment upon the request of the Assignee. The Assignor shall, at the Assignee's request, take such steps and further actions, including executing and delivering any affidavits, declarations, oaths, exhibits, assignments or other documents, as may be reasonably necessary to effectuate, evidence or perfect the assignment of the Trademarks or the Trademark Rights to the Assignee.

4. Relationship to Purchase Agreement. This Trademark Assignment is executed and delivered pursuant to, is in furtherance of, and is subject to the terms and conditions of, the Purchase Agreement. In the event of any conflict between the terms of the Purchase Agreement and the terms of this Trademark Assignment, the terms of the Purchase Agreement shall prevail. Nothing contained in this Trademark Assignment shall be deemed to alter, modify, expand or diminish the terms or provisions of the Purchase Agreement.

[signature page follows]

