

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM678253

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ANTARES CAPITAL LP		09/30/2021	Limited Partnership: DELAWARE
RECEIVING PARTY DATA			
Name:	FORESIDE FINANCIAL GROUP, LLC		
Street Address:	Three Canal Plaza		
Internal Address:	Suite 100		
City:	Portland		
State/Country:	MAINE		
Postal Code:	04101		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 6			
Property Type	Number	Word Mark	
Registration Number:	5457713	FORESIDE	
Registration Number:	5515381	FORESIDE ADCOMPLIANCE	
Registration Number:	5535677	FORESIDEXCHANGE	
Registration Number:	4733165	FINTRAX	
Registration Number:	4787128	FINTRAX	
Registration Number:	4377304	REGULATORY COMPLIANCE	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-728-8000		
Email:	ipdept@willkie.com		
Correspondent Name:	Heather Schneider		
Address Line 1:	787 Seventh Avenue		
Address Line 4:	New York, NEW YORK 10019		
NAME OF SUBMITTER:	Heather Schneider		
SIGNATURE:	/Heather Schneider/		
DATE SIGNED:	09/30/2021		

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Total Attachments: 3

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TRADEMARK RELEASE AND REASSIGNMENT

THIS TRADEMARK RELEASE AND REASSIGNMENT is made as of September 30, 2021, by ANTARES CAPITAL LP (“Agent”). Capitalized terms used but not defined herein shall have the same meanings assigned to such terms in the Trademark Security Agreement (as defined below).

WITNESSETH:

WHEREAS, FORESIDE FINANCIAL GROUP, LLC (“Grantor”) and Agent were parties to that certain Trademark Security Agreement dated as of January 11, 2019 (the “Trademark Security Agreement”) pursuant to which Grantor granted to Agent for the benefit of the Secured Parties, a Lien on and security interest in certain Trademarks and Trademark Collateral (as defined below) as security for certain obligations owing by Grantor to Agent, including the Trademarks set forth on Schedule I hereto;

WHEREAS, the Trademark Security Agreement was recorded by the United States Patent and Trademark Office on January 11, 2019, at Reel 6522, Frame 0499;

WHEREAS, Grantor has requested that Agent release its Lien on and security interest in the Trademarks and Trademark Collateral and reassign any and all rights in the same to Grantor;

WHEREAS, Grantor has satisfied and fulfilled all of its obligations to release the Agent’s security interest in the Trademarks and Trademark Collateral.

NOW THEREFORE, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged:

1. Agent hereby releases, terminates and discharges all of its security interests in and Liens on all of Grantor’s right, title and interest in, to, and under the following (collectively the “Trademark Collateral”):

(i) each Trademark listed on Schedule I annexed hereto, together with an reissues, continuations or extensions thereof, and all of the goodwill of the business connected with the use of, and symbolized by, each such Trademark; and

(ii) all products and proceeds of the foregoing, including without limitation, any claim by Grantor against third parties for past, present or future (i) infringement or dilution of each such Trademark, or (ii) injury to the goodwill associated with each such Trademark.

2. Agent hereby reassigns, grants and conveys to Grantor, without any representation, recourse or undertaking by Agent, any and all of Agent’s right, title and interest in, to and under the Trademarks and the Trademark Collateral.

3. Governing Law. This Trademark Release and Reassignment and the rights and obligations of the parties hereto shall be governed by, and construed and interpreted in accordance with, the law of the State of New York.

[Signature Page Follows]

IN WITNESS WHEREOF, Agent has caused this Trademark Release and Reassignment to be executed as of the day and year first above written.

ANTARES CAPITAL LP

By: *Kirk E. Sonnefeld*
Name: Kirk Sonnefeld
Title: Duly Authorized Signatory

SCHEDULE I

Trademark Registrations

Trademark	Registration Number	Registration Date	Jurisdiction
Foreside®	5,457,713	May 1, 2018	USPTO
Foreside AdCompliance®	5,515,381	July 10, 2018	USPTO
ForesideXchange®	5,535,677	August 7, 2018	USPTO
FINTRAX (word mark)	4,733,165	May 5, 2015	USPTO
FINTRAX (plus Design)	4,787,128	August 4, 2015	USPTO
Regulatory Compliance LLC (plus Design)	4,377,304	September 30, 2013	USPTO