

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM682717

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER		
EFFECTIVE DATE:	06/30/2019		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ACA TECHNOLOGY SURVEILLANCE, LLC		06/27/2019	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	ADVISER COMPLIANCE ASSOCIATES, L.L.C.		
Street Address:	8401 Colesville Road, Suite 700		
City:	Silver Springs		
State/Country:	MARYLAND		
Postal Code:	20910		
Entity Type:	Limited Liability Company: D.C.		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4768260	DECRYPTEX	
CORRESPONDENCE DATA			
Fax Number:	2142000853		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2109787404		
Email:	lori.lapidario@haynesboone.com		
Correspondent Name:	Haynes and Boone, LLP - IP Section		
Address Line 1:	2323 Victory Avenue, Suite 700		
Address Line 4:	Dallas, TEXAS 75219		
NAME OF SUBMITTER:	Scott E. Benfield		
SIGNATURE:	/Scott E. Benfield/		
DATE SIGNED:	10/21/2021		
Total Attachments: 5			
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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"BROKER-DEALER COMPLIANCE ASSOCIATES, L.L.C.", A DELAWARE LIMITED LIABILITY COMPANY,

"CORDIUM US HOLDCO, LLC", A DELAWARE LIMITED LIABILITY COMPANY,

"ACA TECHNOLOGY SURVEILLANCE, LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "ADVISER COMPLIANCE ASSOCIATES, L.L.C." UNDER THE NAME OF "ADVISER COMPLIANCE ASSOCIATES, L.L.C.", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DISTRICT OF COLUMBIA, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF JUNE, A.D. 2019, AT 10:24 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTIETH DAY OF JUNE, A.D. 2019 AT 11:59 O`CLOCK P.M.




Jeffrey W. Bullock, Secretary of State

7489214 8100M
SR# 20195694581

You may verify this certificate online at corp.delaware.gov/authver.shtml

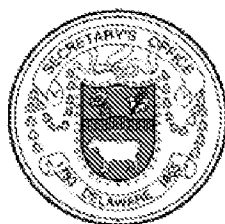
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Date: 06-27-19

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Jeffrey W. Bullock, Secretary of State

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SR# 20195694581

Authentication: 203114191
Date: 06-27-19

You may verify this certificate online at corp.delaware.gov/authver.shtml

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**CERTIFICATE OF MERGER
OF
CORDIUM US HOLDCO, LLC
(a Delaware limited liability company)**

**ACA TECHNOLOGY SURVEILLANCE, LLC
(a Delaware limited liability company)**

**BROKER-DEALER COMPLIANCE ASSOCIATES, L.L.C.
(a Delaware limited liability company)**

**WITH AND INTO
ADVISER COMPLIANCE ASSOCIATES, L.L.C.
(a District of Columbia limited liability company)**

*In accordance with the provisions of Section 18-209 of the
Delaware Limited Liability Company Act*

FIRST: The name of the surviving limited liability company is Adviser Compliance Associates, L.L.C., a District of Columbia limited liability company (the "Surviving Entity").

SECOND: The jurisdiction in which the Surviving Entity was formed is the District of Columbia.

THIRD: The name and jurisdiction of each limited liability company (the "Constituent Entities") being merged into the Surviving Entity is as follows:

<u>Constituent Entity</u>	<u>Jurisdiction</u>
Cordium US HoldCo, LLC	a Delaware limited liability company
ACA Technology Surveillance, LLC	a Delaware limited liability company
Broker-Dealer Compliance Associates, L.L.C.	a Delaware limited liability company

FOURTH: An Agreement and Plan of Merger (the "Merger Agreement"), dated June 27, 2019 among the Surviving Entity and each of the Constituent Entities has been approved, adopted, certified, executed and acknowledged by the Surviving Entity and each constituent entity, in accordance with the requirements of Section 18-209 of the Delaware Code and the laws of the District of Columbia.

FIFTH: The name of the surviving company of the merger is Adviser Compliance Associates, L.L.C., a District of Columbia limited liability company .

SIXTH: The Merger shall be effective at 11:59 pm New York time on June 30, 2019.


SIXTH: An executed copy of the Merger Agreement is on file at the office of the Surviving Entity, 8401 Colesville Road, Suite 700, Silver Springs, MD 20910, and will be furnished by the Surviving Entity, on request and without cost, to any member of any domestic limited liability company or any person holding an interest in any other business entity which is to merge or consolidate.

SEVENTH: The Surviving Entity agrees that it may be served with process in the State of Delaware in any action, suit or proceedings for the enforcement of any obligation of any domestic limited liability company which is to merge or consolidate, irrevocably appointing the Secretary of State of Delaware as its agent to accept service of process in any such action, suit or proceeding and the address to which a copy of such process shall be mailed to by the Secretary of State of Delaware is c/o General Counsel, 8401 Colesville Road, Suite 700, Silver Springs, MD 20910.

[Signature Page to Follow]

IN WITNESS WHEREOF, the Surviving Entity has caused this Certificate of Merger to be executed this 27th day of June, 2019.

**ADVISER COMPLIANCE
ASSOCIATES, L.L.C.,**
a District of Columbia limited liability
company

By: 
Name: Matthew Steinhilber
Its: General Counsel and Secretary