# OP \$115.00 5521299

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM687195

| SUBMISSION TYPE:      | NEW ASSIGNMENT                                     |
|-----------------------|----------------------------------------------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL |

### **CONVEYING PARTY DATA**

| Name               | Formerly | Execution Date | Entity Type                              |
|--------------------|----------|----------------|------------------------------------------|
| Aiwa Holdings, LLC |          | 10/27/2021     | Limited Liability Company:<br>CALIFORNIA |

### **RECEIVING PARTY DATA**

| Name:           | Aiwa Corporation        |
|-----------------|-------------------------|
| Street Address: | 965 West Chicago Avenue |
| City:           | Chicago                 |
| State/Country:  | ILLINOIS                |
| Postal Code:    | 60642                   |
| Entity Type:    | Corporation: DELAWARE   |

### **PROPERTY NUMBERS Total: 4**

| Property Type        | Number   | Word Mark |
|----------------------|----------|-----------|
| Registration Number: | 5521299  | AIWA      |
| Registration Number: | 5521300  | AIWA      |
| Registration Number: | 4685532  | AIWA      |
| Serial Number:       | 90001760 | AIWA      |

# **CORRESPONDENCE DATA**

**Fax Number:** 2123368001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 2123368000

Email: ptodocket@arelaw.com
Correspondent Name: Douglas A. Miro, Esq.

Address Line 1: 90 Park Avenue

Address Line 2: 21st Floor

Address Line 4: New York, NEW YORK 10016

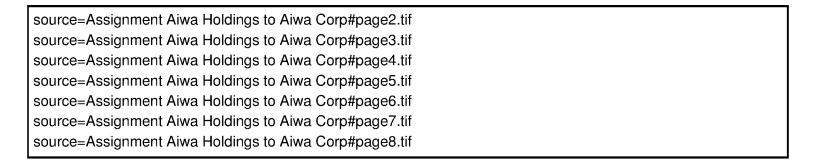
| NAME OF SUBMITTER: | Douglas A. Miro, Esq.   |
|--------------------|-------------------------|
| SIGNATURE:         | /Douglas A. Miro, Esq./ |
| DATE SIGNED:       | 11/10/2021              |

**Total Attachments: 8** 

source=Assignment Aiwa Holdings to Aiwa Corp#page1.tif

TRADEMARK REEL: 007490 FRAME: 0133

900655522



# TRADEMARK ASSIGNMENT

THIS TRADEMARK ASSIGNMENT (this "Assignment") is made and entered into as of this 27 day of October, 2021 (the "Effective Date"), by AIWA HOLDINGS, LLC, a California limited liability company ("Assignor"), in favor of AIWA CORPORATION, a Delaware corporation ("Assignee"). Assignee and Assignor are referred to collectively herein as the "Parties."

# RECITALS

WHEREAS, on June 7, 2021, Assignor received an executed assignment of right, title and interest in and to all trademarks relating to the Aiwa brand and the business operations of the Assignee (collectively, the "Marks") and all associated intellectual property rights (the "Intellectual Property Rights") in connection with the proposed sale of the Assignors operating assets in the context of Texas state-court receivership case (the "Texas Case").

WHEREAS, on June 22, 2021 (the "Petition Date"), the Assignee filed a voluntary petition for bankruptcy protection in the United States Bankruptcy Court for the Northern District of Illinois (the "Bankruptcy Court").

WHEREAS, on July 28, 2021, the Bankruptcy Court entered a memorandum opinion, determining that the proposed sale in the Texas Case and the assignment of the Marks and Intellectual Property Rights had not yet been effectuated as of the Petition Date and belong to the Assignee's bankruptcy estate.

WHEREAS Assignor now wishes to convey, assign and transfer all of right, title and interest in and to the Marks and Intellectual Property Rights, to the Assignee subject to any and all existing liens, claims, encumbrances, and/or interests, to the extent existing on, or prior to, June 7, 2021 (collectively, "Interests").

# **AGREEMENT**

NOW, THEREFORE, for and in consideration of the foregoing and of the mutual promises and covenants contained herein, the receipt and sufficiency of which are hereby acknowledged, the parties, agreeing to be legally bound, agree as follows:

I. Assignor hereby perpetually, irrevocably and unconditionally conveys, transfers, assigns, delivers and contributes to Assignee and its successors and assigns the entire worldwide right, title and interest, if any, of whatever kind to the Marks, including, without limitation, those Marks as set forth on Schedule 1 attached hereto, including, without limitation, (a) all filings and registrations associated with the Marks as set forth on Schedule 1. (b) all common law rights, along with the goodwill of the business symbolized by the Marks, (c) all technology and intellectual property, regardless of form, including without limitation published and unpublished works of authorship, including without limitation audiovisual

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works, collective works, computer programs, compilations, databases, derivative works, literary works, maskworks, and sound recordings, (d) inventions and discoveries, including without limitation articles of manufacture, business methods, compositions of matter, improvements, machines, methods, and processes and new uses for any of the preceding items, (e) words, names, symbols, devices, designs, and other designations, and combinations of the preceding items, used to identify or distinguish a business, good, group, product, or service or to indicate a form of certification, including without limitation logos, product designs, and product features, and (f) all rights in, arising out of, or associated with the Marks in any jurisdiction, including without limitation: rights in, arising out of, or associated with trademarks, and further including, without limitation, all rights granted under the Lanham Act.

- 2. Without limiting the generality of the foregoing, Assignor further hereby perpetually, irrevocably and unconditionally conveys, transfers, assigns, delivers and contributes to Assignee and its successors and assigns all claims for past, present and future infringement or misappropriation of the rights set forth above included in the Marks, including, without limitation, all rights to sue for and to receive and recover all profits and damages accruing from an infringement misappropriation prior to date of this Assignment as well as the right to grant releases for past infringements. Assignor hereby waives and agrees not to enforce all rights of attribution and integrity and other moral rights of any author associated with the Marks, and all rights of personality, privacy and publicity associated with the Marks, that Assignor may have in the Marks.
- 3. Assignor further hereby agrees that Assignee shall have the right to record this instrument of assignment in the United States Patent and Trademark Office as well as any other registry or filing office of any country or agency so as to establish Assignee as the owner of record of the Marks, and in connection therewith, Assignor hereby authorizes the Commissioner of Patents and Trademarks of the United States, and any official of any other country registry, agency or filing office empowered to issue trademarks, to record this Assignment Agreement, and to issue or transfer the said trademark record(s) to Assignee as owner of all right, title and interest therein, or otherwise as Assignee may direct, in accordance with the terms of this Assignment.
- 4. Assignor hereby appoints Assignee and its successors and assigns as Assignor's attorney-in-fact with full power of substitution (without imposing any obligations on Assignee), solely to perform all acts which Assignee deems appropriate to perpetually, irrevocably and unconditionally convey, transfer, assign, deliver and contribute to Assignee and its successors and assigns all rights in and to the Marks as set forth herein, including, without limitation, the power to execute, file, record and deliver all documents, instruments, certificates, agreements, transfer documents, transfer powers and/or other third party consents required to transfer the Marks. The power of attorney granted herein is coupled with an interest and is irrevocable.

- 5. Assignor further hereby revokes all previous powers of attorney related to the Marks and hereby appoints Assignee with full power of substitution and revocation vested in Assignee to prepare and execute on Assignor's behalf, any documents, instruments, certificates or agreements necessary or required by the United States Patent and Trademark Office as well as any other agency, registry or filing office of any country in connection with and to demonstrate Assignor's consent to use, register and/or attempt to register the Marks, including, without limitation, the trademarks as set forth on <a href="Schedule 1">Schedule 1</a> and all rights conveyed hereby in connection therewith, by Assignee and its successors and assigns.
- 6. Assignor further agrees, at the reasonable request of Assignee, but without expense to Assignor, to promptly (a) execute and have executed any and all other documents, instruments, certificates or agreements of any kind whatsoever, and to provide whatever information may be required, to carry out the terms and conditions and intent of this Assignment, and (b) fully cooperate with Assignee as reasonably required, to enable Assignee to duly record this instrument of assignment with the United States Patent and Trademark Office as well as any other agency, registry or filing office of any country so as to establish Assignee as the owner of record of the Marks and that Assignee's ownership of the Marks is duly made of record.
- 7. Notwithstanding anything contained herein to the contrary, Assignor is not waiving, and shall not be deemed to have waived, any claim (as defined in the United States Bankruptcy Code) or Interests with respect to the Marks, the Intellectual Property Rights, the Assignee, the Assignee's bankruptcy case, the Texas Case, or otherwise.
- 8. This Assignment shall be binding upon Assignor and inure to the benefit of Assignee and its successors and assigns.
- 9. This Assignment may be executed in one or more counterparts, each of which shall be deemed an original, and all of which shall represent one and the same instrument. For purposes hereof, electronic (email) and facsimile signature pages shall be deemed originals.

[SIGNATURE PAGE IMMEDIATELY FOLLOWING]

IN WITNESS WHEREOF, Assignor has executed this Assignment as of the date first hereinabove written.

ASSIGNOR:

rrs: //

(AIWA/001/00062330.DOCX/)

SCHEDULE 1
List of Marks

UNITED STATES

{AIM\$28\JI/00062330.DOCX/}

| Mark | Class(es) | Filing Date Serial #        | Date Issued Registration # | Status<br>§ 8 Due<br>§15 Due                       |
|------|-----------|-----------------------------|----------------------------|----------------------------------------------------|
| alwa |           | Jan. 23, 2017<br>87/977,686 | Jul. 17, 2018<br>5,521,299 | Renewal Due Registered  §8 & §15 Due Jul. 17, 2024 |
| AIWA | 9         | Jan. 11, 2017<br>87/977,687 | Jul. 17, 2018<br>5,521,300 | Registered<br>§8 Due& §15 Due<br>Jul. 17, 2024     |
| AIWA | 9         | Aug. 22, 2013<br>86/044,675 | Feb. 10, 2015<br>4,685,532 | Registered Renewal Due Feb. 10, 2025               |
| AWA  | 7, 11     | June15, 2020<br>90/001,760  |                            | Suspended                                          |

Foreign Jurisdictions

| Country Mark Class Filing Date Serial # | Date Issued<br>Registration # | Status<br>Renewal Due |
|-----------------------------------------|-------------------------------|-----------------------|
|-----------------------------------------|-------------------------------|-----------------------|

| EUTM | AIWA | 37 | 18-Jun-2020 | 1 -Feb- 2021 | Registered                      |
|------|------|----|-------------|--------------|---------------------------------|
|      |      |    | 018256222   | 018256222    | Next Renewal Due<br>18-Jun-2030 |
|      |      |    |             |              |                                 |

| EUTM                          | AIWA | 9  | 30-Oct-2015<br>014755037     | 01 -Mar 2016<br>14755037         | Registered<br>Next Renewal Due<br>30-Oct-2025  |
|-------------------------------|------|----|------------------------------|----------------------------------|------------------------------------------------|
| Poland                        | AIWA | 9  | 17-May-2018<br>Z.486037      |                                  | Published                                      |
| United<br>Kingdom             | AIWA | 37 | 18-Jun-2020<br>UK00003502011 | 18-Jun-2020<br>UK00003502011     | Registered Next Renewal Due 18-Jun-2030        |
| United<br>Kingdom             | AIWA | 9  | 11-Jul-2017<br>00003242803   | 11-Jul-2017<br>00003242803       | Registered<br>Next Renewal Due<br>11-Jul-2027  |
| United<br>Kingdom<br>(Brexit) | AIWA | 9  | 30-Oct-2015<br>UK00914755037 | 1-March-<br>2016<br>UK0091475503 | Registered Next Renewal Due 30-Oct-202S        |
| France                        | AIWA | 9  | 18-Aug-2017<br>4383190       | 08-Dec-2017<br>4383190           | Registered<br>First Renewal Due<br>18-Aug-2027 |
| Spain                         | AIWA | 9  | 17-Aug-2017<br>M3679799      | 15-Feb-2018<br>M3679799          | Registered<br>First Renewal Due<br>17-Aug-2027 |
| Czech<br>Republic             | AWA  | 9  | 06-Mar-2018<br>546288        | 14-Nov-2018<br>369586            | Registered<br>First Renewal Due<br>06-Mar-2028 |

| Czech Republic                                                                                                                                                                     | AWA  | 9 | 31-Jan-2018<br>545497     |                        | Pending                                  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|---|---------------------------|------------------------|------------------------------------------|
| Sweden                                                                                                                                                                             | AIWA | 9 | 04-Jan-2018<br>2018-00063 | 3 1-Aug-2020<br>551576 | Registered First Renewal Due 31-Aug-2030 |
| WIPO  Granted  Designated Countries:  Armenia, Benelux, Croatia, Hungary, Lichtenstein, Lithuania, Latvia, Moldova, Monaco, Norway, Portugal, Romania, Serbia, Slovenia, Slovenia, | AIWA | 9 | 18-Aug-2016<br>1320682    | 18-Aug-2016<br>1320682 | Registered First Renewal Due 18-Aug-2026 |

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**RECORDED: 11/10/2021**