

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM688994

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
TRAFFIC MANAGEMENT, INC.		11/02/2021	Corporation: CALIFORNIA
UTILITY TRAFFIC SERVICES, LLC		11/02/2021	Limited Liability Company: CALIFORNIA

RECEIVING PARTY DATA

Name:	BANK OF THE WEST, AS AGENT
Street Address:	12677 ALCOSTA BLVD
Internal Address:	SUITE 200, NC-B15-2H-K
City:	San Ramon
State/Country:	CALIFORNIA
Postal Code:	94583
Entity Type:	Corporation: CALIFORNIA

PROPERTY NUMBERS Total: 7

Property Type	Number	Word Mark
Serial Number:	90504368	UTS
Serial Number:	90504374	
Serial Number:	90504381	UTS UTILITY TRAFFIC SERVICES
Serial Number:	90504392	UTS UTILITY TRAFFIC SERVICES
Registration Number:	4065439	TMI
Registration Number:	4065440	TMI TRAFFIC MANAGEMENT INCORPORATED
Registration Number:	6517779	TMI

CORRESPONDENCE DATA

Fax Number: 2138915607

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2138915607

Email: crachina@buchalter.com

Correspondent Name: Corina Rachina

Address Line 1: 1000 Wilshire Blvd.

Address Line 2: 12th Floor

Address Line 4: Los Angeles, CALIFORNIA 90017

TRADEMARK

NAME OF SUBMITTER:	Corina Rachina
SIGNATURE:	/s/ Corina Rachina
DATE SIGNED:	11/18/2021
Total Attachments: 11 source=memorandum#page1.tif source=memorandum#page2.tif source=memorandum#page3.tif source=memorandum#page4.tif source=memorandum#page5.tif source=memorandum#page6.tif source=memorandum#page7.tif source=memorandum#page8.tif source=memorandum#page9.tif source=memorandum#page10.tif source=memorandum#page11.tif	

**MEMORANDUM AND NOTICE OF SECURITY INTEREST
IN INTELLECTUAL PROPERTY**

November 2, 2021

TRAFFIC MANAGEMENT, INC., a California corporation ("Borrower Agent"), each Subsidiary of Borrower Agent party to the Agreement from time to time (together with Borrower Agent, each a "Borrower" and, collectively, "Borrowers"), and **UTILITY TRAFFIC SERVICES, LLC**, a California limited liability company ("Guarantor"), whose address is 4900 Airport Drive, #300, Long Beach, California 90815, hereby acknowledge that they have granted to **BANK OF THE WEST**, as administrative agent and collateral agent for the Lenders (in such capacity, together with its successors and assigns in such capacity, "Agent"), whose address is 12677 Alcosta Blvd., Suite 200, NC-B15-2H-K, San Ramon, California 94583, a security interest in and to all of such Borrower's and Guarantor's right, title and interest in and to: (a) the trademarks and trademark registrations and applications therefor (other than intent to use trademark applications to the extent excluded in the Agreement (as defined below)) which are identified on Exhibit A attached hereto and herein incorporated by this reference owned by such Borrower and Guarantor ("Trademarks"), together with the goodwill and assets of the business.


Unless and until an Event of Default occurs and is continuing, Borrower and Guarantor shall retain the legal and equitable title to the Trademarks and shall have the right to use and register the Trademarks, as applicable, in the ordinary course of the business of Borrower and Guarantor. The terms and conditions of the aforementioned security interest are contained in that certain Amended and Restated Loan and Security Agreement dated as of November 2, 2021 (the "Agreement"), among Borrower parties to the Agreement from time to time, the financial institutions party to the Agreement from time to time as lenders (collectively, "Lenders"), and Agent, as security for the Secured Obligations under and as set forth in the Agreement and other agreements referred to therein. Nothing contained in this Memorandum and Notice of Security Interest in Intellectual Property shall be construed as a present or absolute assignment of any of the collateral nor as limiting any interest which Agent may have in any other collateral described in the Agreement or otherwise. Capitalized terms used but not defined herein shall have the meaning given to such terms in the Agreement.

[Remainder of Page Intentionally Blank]

[Signature Page to Follow]

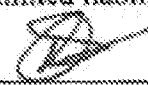
IN WITNESS WHEREOF, the undersigned has duly executed this document as of the date first written above.

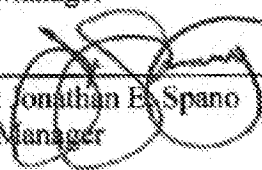
**TRAFFIC MANAGEMENT
PRODUCTS, INC.,** a Delaware corporation

By: 
Name: Christopher H. Spano
Title: Executive Chairman of the Board,
President, Treasurer and Secretary

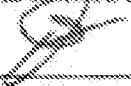
By: 
Name: Jonathan E. Spano
Title: Chief Executive Officer

VALLEY INDUSTRIES, LLC, a
California limited liability corporation

By: 
Name: Christopher H. Spano
Title: Manager

By: 
Name: Jonathan E. Spano
Title: Manager

UTILITY TRAFFIC SERVICES LLC, a
California limited liability corporation

By: 
Name: Christopher H. Spano
Title: Manager

By: 
Name: Jonathan E. Spano
Title: Manager

**UTILITY TRAFFIC SERVICES LLC
TRADEMARK STATUS REPORT**

As of October 22, 2021

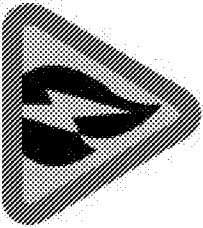

<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits \$8&15</u>	<u>Renewal Period</u>
UTS	Utility Traffic Services LLC	App. No. 90/504,368	App. Date 02/02/21	39, 42	App. Pending. Pub. on 10/5/21	39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways. 42: Traffic planning services; traffic planning services in the nature of route and flow control services; route and flow traffic control engineering services.		



TRADEMARK

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TRADEMARK

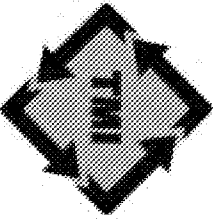
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<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits \$88&15</u>	<u>Renewal Period</u>
	Utility Traffic Services LLC	App. No. 90/504,374	App. Date 02/02/21	39, 42	App. Pending. 10/5/21	39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.		
	Utility Traffic Services LLC	App. No. 90/504,381	App. Date 02/02/21	39, 42	App. Pending. 10/12/21	39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands,		

<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits \$88&15</u>	<u>Renewal Period</u>
	Utility Traffic Services LLC	App. No. 90/504,392	App. Date 02/02/21	39, 42	App. Pending. Pub. on 10/12/21	<p>flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p> <p>42: Traffic planning services; traffic planning services in the nature of route and flow control services; route and flow traffic control engineering services.</p>		
						<p>39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p> <p>42: Traffic planning services; traffic planning services in the nature of route and flow control services; route and flow traffic control engineering services.</p>		

**TRAFFIC MANAGEMENT, INC.
TRADEMARK STATUS REPORT**


As of October 13, 2021

<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits \$8&15</u>	<u>Renewal Period</u>
	Traffic Management, Inc.	Reg. No. 4,065,439	Reg. Date 12/06/11	35, 39, 41, 42 and 45	Registered	35: Retail store and online retail store services featuring construction work zone safety products, roadway signs, temporary water-filled k-rails, customizable directional and informational signs, temporary roadway striping, striping tape, lighted signs, lighted trailers, lighted message boards, vehicular signs, warning signs, regulatory signs, guide signs, school signs, recreational and culture signs, roll up signs, flags for vehicle control, cone mounted signs, sign stands, sign posts, stop and slow paddles, cones for vehicle control, lane delineators, channelizers for vehicle control, vehicle control barrels and drums, rubber bases for vehicle control signs and channelizers, crowd and vehicle control barricades, crowd and vehicle control barriers, strobe lights, warning beacons, crash attenuators and barrels, attenuator trailers, safety fence, safety tapes and ribbons, first aid kits, personal safety equipment, namely, gloves, safety glasses, hard hats, ear plugs, reflective safety vests, shirts, sweatshirts, jackets, coats, wind resistant jackets, overalls.	Accepted	Second Renewal: 12/07/30-12/05/31
						39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and		

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						<p>bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p> <p>41: Educational services, namely, training and educational testing for certification of highway and roadway flaggers.</p> <p>42: Traffic planning services; traffic planning services in the nature of route and flow control services; route and flow traffic control engineering services.</p> <p>45: Crowd control services; special events crowd control services; consultation services in connection with obtaining vehicle and pedestrian control municipality permits; permit services, namely, obtaining governmental permits for control of vehicles, pedestrians, and bicycles; Rental of traffic safety equipment, namely, cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p>		

TRADEMARK

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<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits §§8&15</u>	<u>Renewal Period</u>
	Traffic Management, Inc.	Reg. No. 4,065,440	Reg. Date 12/06/11	35, 39, 41, 42 and 45	Registered	<p>35: Retail store and online retail store services featuring construction work zone safety products, roadway signs, temporary water-filled k-rails, customizable directional and informational signs, temporary roadway striping, striping tape, lighted signs, lighted trailers, lighted message boards, vehicular signs, warning signs, regulatory signs, guide signs, school signs, recreational and culture signs, roll up signs, flags for vehicle control, cone mounted signs, sign stands, sign posts, stop and slow paddles, cones for vehicle control, lane delineators, channelizers for vehicle control, vehicle control barrels and drums, rubber bases for vehicle control signs and channelizers, crowd and vehicle control barricades, crowd and vehicle control barriers, strobe lights, warning beacons, crash attenuators and barrels, attenuator trailers, safety fence, safety tapes and ribbons, first aid kits, personal safety equipment, namely, gloves, safety glasses, hard hats, ear plugs, reflective safety vests, shirts, sweatshirts, jackets, coats, wind resistant jackets, overalls.</p> <p>39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular</p>	Accepted	Second Renewal: 12/07/30-12/05/31

TRADEMARK

REEL: 007498 FRAME: 0450

<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits §§8&15</u>	<u>Renewal Period</u>
TMI	Traffic Management, Inc.	Reg. No. 6,517,779	Reg. Date 10/12/21	35, 39, 41, 42 and 45	Registered	<p>traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p> <p>41: Educational services, namely, training and educational testing for certification of highway and roadway flaggers.</p> <p>42: Traffic planning services; traffic planning services in the nature of route and flow control services; route and flow traffic control engineering services.</p> <p>45: Crowd control services; special events crowd control services; consultation services in connection with obtaining vehicle and pedestrian control municipality permits; permit services, namely, obtaining governmental permits for control of vehicles, pedestrians, and bicycles; Rental of traffic safety equipment, namely, cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p>	10/13/26-10/11/27	10/13/30-10/11/31
						35: Retail store and online retail store services featuring construction work zone safety products, roadway signs, temporary water-filled k-trails, customizable directional and informational signs, temporary roadway striping, striping tape, lighted signs, lighted		

TRADEMARK

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<u>Mark</u>	<u>Owner</u>	<u>App/Reg Number</u>	<u>App/Reg Date</u>	<u>Class</u>	<u>Status</u>	<u>Goods/Services</u>	<u>Affidavits §§8&15</u>	<u>Renewal Period</u>
						<p>trailers, lighted message boards, vehicular signs, warning signs, regulatory signs, guide signs, school signs, recreational and culture signs, roll up signs, flags for vehicle control, cone mounted signs, sign stands, sign posts, stop and slow paddles, cones for vehicle control, lane delineators, channelizers for vehicle control, vehicle control barrels and drums, rubber bases for vehicle control signs and channelizers, crowd and vehicle control barricades, crowd and vehicle control barriers, strobe lights, warning beacons, crash attenuators and barrels, attenuator trailers, safety fence, safety tapes and ribbons, first aid kits, personal safety equipment, namely, gloves, safety glasses, hard hats, ear plugs, reflective safety vests, shirts, sweatshirts, jackets, coats, wind resistant jackets, overalls; retail and online retail store services featuring a wide variety of traffic control and traffic management products.</p> <p>39: Traffic management services, namely, vehicle traffic flow management; traffic control services, namely, vehicle, pedestrian, and bicycle control services accomplished via lane and sidewalk closures or alterations; Traffic management services, namely, creating special event traffic plans for vehicles, pedestrians and bicycle traffic; providing information regarding traffic congestion via temporary road signs; flagging services, namely, directing vehicular traffic flow; traffic management services, namely, providing lane closure and removal services and flagging and roadway diversion services utilizing portable devices, such as cones, delineators, barricades, sign stands,</p>		

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						<p>flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p> <p>41: Educational services, namely, training and educational testing for certification of highway and roadway flaggers.</p> <p>42: Traffic planning services; traffic planning services in the nature of route and flow control services; route and flow traffic control engineering services.</p> <p>45: Crowd control services; special events crowd control services; consultation services in connection with obtaining vehicle and pedestrian control municipality permits; permit services, namely, obtaining governmental permits for control of vehicles, pedestrians, and bicycles; Rental of traffic safety equipment, namely, cones, delineators, barricades, sign stands, flashing beacons, flashing arrow trailers, and changeable message signs on roadways.</p>		

TRADEMARK

REEL: 007498 FRAME: 0453

RECORDED: 11/18/2021