

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM693248

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
PHH Investments, Ltd. aka Retirement Advisors of America, LP		12/06/2021	Limited Partnership: TEXAS
RECEIVING PARTY DATA			
Name:	Allworth Financial, L.P.		
Street Address:	340 Palladio Parkway, #501		
City:	Folsom		
State/Country:	CALIFORNIA		
Postal Code:	95630		
Entity Type:	Limited Partnership: DELAWARE		
PROPERTY NUMBERS Total: 4			
Property Type	Number	Word Mark	
Registration Number:	4430374	FINAL APPROACH PROGRAM	
Registration Number:	4430373	FINAL APPROACH	
Registration Number:	5329588	RAA	
Registration Number:	4904565	DISCIPLINED GUIDANCE IN AN UNPREDICTABLE	
CORRESPONDENCE DATA			
Fax Number:	2142064330		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	214-206-4300		
Email:	docket@richardlawgroup.com		
Correspondent Name:	Kimberlee A. Boyle		
Address Line 1:	13355 Noel Road, Suite 1350		
Address Line 2:	Richard Law Group, Inc.		
Address Line 4:	Dallas, TEXAS 75240		
NAME OF SUBMITTER:	Kimberlee A. Boyle		
SIGNATURE:	/kimberlee a boyle/		
DATE SIGNED:	12/08/2021		
Total Attachments: 2			

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CONFIRMATORY ASSIGNMENT

This Confirmatory Assignment is made by and between Allworth Financial, L.P., a Delaware limited partnership having a principal place of business at 340 Palladio Parkway, #501, Folsom, California 95630 ("Assignee") and PHH Investments, Ltd. aka Retirement Advisors of America, LP, 15725 Dallas Parkway, Suite 220, Addison, Texas 75001 ("Assignor"). Collectively Assignor and Assignee are the "Parties".

WHEREAS, Assignor and Assignee are parties to the Purchase Agreement of October 18, 2019 (the "Purchase Agreement") in which Assignee purchased all of Assignor's Interests including but not limited to Assignor's trademarks, service marks, trade dress, trade names, logos, slogans, corporate names and other indicia of source, and registrations and applications for registration thereof together with all of the goodwill associated therewith (the "Properties"); and

WHEREAS, Assignor is the record owner of U.S. Registration Nos. 4,430,374 (FINAL PROGRAM APPROACH); 4,430,373 (FINAL APPROACH); 5,329,588 (RAA); and 4,904,565 (DISCIPLINED GUIDANCE IN AN UNPREDICTABLE WORLD), all of which were identified in Schedule 6.9(a) to the Purchase Agreement as included of the Interests being purchased by Assignee;

NOW THEREFORE, Assignor agrees to assign and transfer, and hereby assigns and transfers, and Assignee accepts, for and in good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, to Assignee and its successors all right, title and interest Assignor has in and to the Properties, together with any goodwill of the business symbolized by the Properties, and U.S. Registration Nos. 4,430,374 (FINAL PROGRAM APPROACH); 4,430,373 (FINAL APPROACH); 5,329,588 (RAA); and 4,904,565 (DISCIPLINED GUIDANCE IN AN UNPREDICTABLE WORLD).

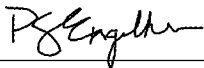
If Assignor has already assigned all of its right, title, and interest in the Properties to Assignee by operation of law or prior assignment, then this Confirmatory Assignment acts to memorialize and further document such assignment and transfer for the purpose of providing a document for recording and registering the change of ownership in the United States Patent and Trademark Office.

1. This Confirmatory Assignment, its terms and conditions, and all related negotiations shall be kept confidential and shall not be disclosed to any person or entity, with the exception that the Parties may disclose the terms and circumstances of this Confirmatory Assignment to their attorneys, to a court or government agency if required by law, to the extent necessary to execute or enforce the provisions herein, or to the extent information regarding such terms and circumstances becomes publicly available through means other than breach of this Confirmatory Assignment.

2. This Confirmatory Assignment may be executed by the Parties in counterparts. Each party may use facsimile or e-mail transmission to deliver an executed copy of this Confirmatory Assignment, and any copy so delivered shall be deemed an original.
3. Each party undertakes to perform all such further acts, execute and deliver all such further documents as may reasonably be requested by the other party in order to give full effect to the aforementioned assignment and transfer of the aforementioned rights from Assignor to Assignee.

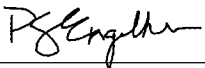
IN WITNESS WHEREOF, the Parties have caused this Confirmatory Assignment to be executed by their duly authorized representatives as of the dates set forth below:

ALLWORTH FINANCIAL, L.P.

By: 
Name: Pete Engelken
Title: Chief Operating Officer

Date: 12/6/2021

PHH INVESTMENTS, LTD. aka
RETIREMENT ADVISORS OF AMERICA,
LP

By: 
Name: Pete Engelken
Title: Chief Operating Officer

Date: 12/6/2021