

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM699012

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
REDLINE TRADING SOLUTIONS, INC.		12/31/2021	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	WESTERN ALLIANCE BANK		
Street Address:	55 Almaden Boulevard, Suite 100		
City:	San Jose		
State/Country:	CALIFORNIA		
Postal Code:	95113		
Entity Type:	Corporation: ARIZONA		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3931508	REDLINE TRADING SOLUTIONS	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Email:	jgu@cooley.com		
Correspondent Name:	Jennifer Gu		
Address Line 1:	3175 Hanover Street		
Address Line 4:	Palo Alto, CALIFORNIA 94304		
ATTORNEY DOCKET NUMBER:	305983-1142		
NAME OF SUBMITTER:	Jennifer Gu		
SIGNATURE:	/Jennifer Gu/		
DATE SIGNED:	12/31/2021		
Total Attachments: 6			
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INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement, dated as of December 31, 2021 (the "Agreement") between **WESTERN ALLIANCE BANK**, an Arizona corporation ("Lender"), and **REDLINE TRADING SOLUTIONS, INC.**, a Delaware corporation ("Grantor") is made with reference to the Loan and Security Agreement dated as of February 7, 2020 (as amended from time to time, the "Loan Agreement"), between Lender, Grantor, and the other parties thereto. Terms defined in the Loan Agreement have the same meaning when used in this Agreement.

For good and valuable consideration, receipt of which is hereby acknowledged, Grantor hereby covenants and agrees as follows:

To secure the Obligations under the Loan Agreement, Grantor grants to Lender a security interest in all right, title, and interest of Grantor in any of the following, whether now existing or hereafter acquired or created in any and all of the following property (collectively, the "Intellectual Property Collateral"):

(a) copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held (collectively, the "Copyrights"), including the Copyrights described in Exhibit A;

(b) trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks (collectively, the "Trademarks"), including the Trademarks described in Exhibit B;

(c) patents, patent applications and like protections including without limitation improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same (collectively, the "Patents"), including the Patents described in Exhibit C;

(g) claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(h) licenses or other rights to use any of the Intellectual Property Collateral, and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(i) amendments, renewals and extensions of any of the Intellectual Property Collateral; and

(j) proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

The rights and remedies of Lender with respect to the security interests granted hereunder are in addition to those set forth in the Loan Agreement, and those which are now or hereafter available to Lender as a matter of law or equity. Each right, power and remedy of Lender provided for herein or in the Loan Agreement, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein, and the exercise by Lender of any one or more of such rights, powers or remedies does not preclude the simultaneous or later exercise by Lender of any other rights, powers or remedies. Upon the payment in full of the obligations under the Loan Agreement (other than unasserted contingent indemnification obligations and unasserted expense reimbursement obligations) and termination of the Loan Agreement, Lender shall execute, acknowledge, and deliver to Grantor an instrument in writing in recordable form releasing the lien and security interest in the Intellectual Property Collateral under this Agreement.

[Remainder of page left intentionally blank.]

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

GRANTOR:

REDLINE TRADING SOLUTIONS, INC.

By: 

Name: Mark Skalabrin

Title: Chief Executive Officer

Address for Notices to Grantor:

c/o Pico Quantitative Trading Holdings LLC
32 Old Slip, Suite 1601
New York, NY 10005
Attn: General Counsel
EMAIL: kristen.keller@picotrading.com

LENDER:

WESTERN ALLIANCE BANK, an Arizona
corporation

By: _____

Name: _____

Title: _____

Address for Notices:

Attn: 55 Almaden Boulevard, Suite 100
San Jose, California 95113
Tel: (408) 556-6501
Fax: (408) 282-1681

[SIGNATURE PAGE TO INTELLECTUAL PROPERTY SECURITY AGREEMENT]

800019324

TRADEMARK
REEL: 007546 FRAME: 0879

WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

GRANTOR:

REDLINE TRADING SOLUTIONS, INC.

By: _____

Name: _____

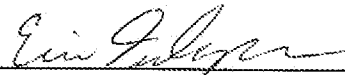
Title: _____

Address for Notices to Grantor:

© Pico Quantitative Trading Holdings LLC
32 Old Slip, Suite 1601
New York, NY 10005
Attn: General Counsel
EMAIL: kristen.keller@picotrading.com

LENDER:

WESTERN ALLIANCE BANK, an Arizona
corporation

By:  _____

Name: Eric Tulipano

Title: Vice President

Address for Notices:

Attn: 55 Almaden Boulevard, Suite 100
San Jose, California 95113
Tel: (408) 556-6501
Fax: (408) 282-1681

EXHIBIT A
COPYRIGHTS

Please Check if No Copyrights Exist

Type of Work:	Title:	International Standard Serial Number (ISSN):	Registration Number:	Filing Date:	Pre - registered ?

EXHIBIT B
TRADEMARKS

Please Check if No Trademarks Exist

Trademarks:

Trademark	Registration No.	Registration Date	Jurisdiction
REDLINE TRADING SOLUTIONS REDLINE TRADING SOLUTIONS	3931508	March 15, 2011	USPTO

Trademark Applications:

Trademark	Application Number	Application Date	Owner/Applicant

EXHIBIT C

PATENTS

Please Check if No Patents Exist

Patents:

Patent No.	Filing Date	Title	Owner

Patent Applications:

Patent Application No.	Filing Date	Title	Owner