

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM700952

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
SIX Swiss Exchange AG		12/22/2021	Corporation: SWITZERLAND
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	SIX Index AG		
<b>Street Address:</b>	Hardturmstrasse 201		
<b>City:</b>	Zurich		
<b>State/Country:</b>	SWITZERLAND		
<b>Postal Code:</b>	8050		
<b>Entity Type:</b>	Corporation: SWITZERLAND		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	2608847	SMI	
<b>Registration Number:</b>	1952155	SWISS MARKET INDEX (SMI)	
<b>Registration Number:</b>	1941957	SWISS PERFORMANCE INDEX (SPI)	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	212-813-5900		
<b>Email:</b>	anicolescu@fzlz.com		
<b>Correspondent Name:</b>	Allison Strickland Ricketts		
<b>Address Line 1:</b>	Fross Zelnick Lehrman & Zissu, P.C.		
<b>Address Line 2:</b>	151 West 42nd Street, 17th Floor		
<b>Address Line 4:</b>	New York, NEW YORK 10036		
<b>ATTORNEY DOCKET NUMBER:</b>	SIXI 2201458		
<b>NAME OF SUBMITTER:</b>	Anca Nicolescu		
<b>SIGNATURE:</b>	/anca nicolescu/		
<b>DATE SIGNED:</b>	01/10/2022		
<b>Total Attachments: 8</b>			
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## TRADE MARK ASSIGNMENT

THIS ASSIGNMENT is made this 22 day of December 2021

BETWEEN

- (1) SIX Swiss Exchange AG (a corporation organised and existing under the laws of Switzerland), with Company No. CHE-106.787.008 and address at Hardturmstrasse 201, 8005 Zürich, Switzerland (hereinafter called "THE ASSIGNOR") of the one part.

AND

- (2) SIX Index AG (a corporation organised and existing under the laws of Switzerland), with Company No. CHE-360.642.913 and address at Hardturmstrasse 201, 8005 Zürich, Switzerland (hereinafter called "THE ASSIGNEE") of the other part.

WHEREAS

- (a) THE ASSIGNOR is the registered proprietor and beneficial owner of the trademarks detailed in the Schedule (hereinafter called "THE TRADEMARKS").
- (b) THE ASSIGNOR has agreed to assign THE TRADEMARKS to THE ASSIGNEE and to execute this Assignment.

In consideration of the sum of CHF 1.00 paid by the Assignee receipt of which is acknowledged by THE ASSIGNOR:

- (1) THE ASSIGNOR HEREBY ASSIGNS and transfers with full title guarantee to THE ASSIGNEE
- 1.1 all right title and interest in THE TRADEMARKS
- 1.2 together with statutory and possible common law rights and the right to sue for damages and other remedies in respect of any infringement of such rights prior to

- the first date mentioned above and to retain any damages obtained as a result of such action.
- 1.3 together with the right to apply for prosecute and obtain any further applications for registered trademark or similar protection or claim priority from THE TRADEMARKS throughout the world in the intent that the resulting grant of any registered trademark shall be in the name of and vest to THE ASSIGNEE.
- (2) THE ASSIGNOR confirms that this Assignment is made with the goodwill attaching to THE TRADEMARKS.
- (3) THE ASSIGNOR WARRANTS to THE ASSIGNEE
- 3.1 that THE ASSIGNOR has neither entered into any agreement nor appointed any registered user of THE TRADEMARKS nor given any other person any permission to use THE TRADEMARKS.
- 3.2 that THE ASSIGNOR is unaware of any infringement of THE TRADEMARKS.
- 3.3 that there are no circumstances known to the Assignor arising out of this or any earlier Assignment which may result in the use of THE TRADEMARKS being liable to mislead the public.
- 3.4 that any possible previous Assignments of THE TRADEMARKS are valid and that THE ASSIGNOR is properly entered on to the Register of THE TRADEMARKS as the proprietor.
- (4) THE ASSIGNOR further COVENANTS that at the request and cost of THE ASSIGNEE it will do all such further acts and execute all such documents as may be reasonably necessary or desirable both to secure the proper vesting in THE ASSIGNEE of all rights assigned to THE ASSIGNEE and to assist in the resolution of any question concerning THE TRADEMARKS or other rights assigned.

Signed for and on behalf of Assignor

By SIX Swiss Exchange AG

DocuSigned by:

*Christian Reuss*

788677606CD7483...

Christian Reuss

Head SIX Swiss Exchange

Signature

DocuSigned by:

*Werner Bürki*

A73C27BF902E43C...

Werner Bürki

Managing Director

Signature

Signed for and on behalf of Assignee

By SIX Index AG

DocuSigned by:

*Dr. Christian Bahr*

24BC84CDE7A0493...

Dr. Christian Bahr

Head Index Services

Signature

DocuSigned by:

*Denis Meier Fehr*

954A23A57C344C1...

Denis Meier Fehr

Head Index Sales, Global Sales Distribu

Signature

## Schedule

Trademark	Country	Trademark No.
SMI	Bonaire, Saint Eustatius und Saba	2558
SMI INDICES (Logo)	Canada	849140
SMI	European Union	2562395
SMI	European Union	3073574
SPI	European Union	3466497
SAION	Great Britain	UK00801020917
SAR	Great Britain	UK00801020918
SARON	Great Britain	UK00801023796
SBI	Great Britain	UK00801003807
SBI SWISS BOND INDEX	Great Britain	UK00810821722
SCRON	Great Britain	UK00801020915
SIX STRUCTURED PRODUCTS CAPITAL PROTECTION INDEX - SSPC	Great Britain	UK00801217451
SIX STRUCTURED PRODUCTS PARTICIPATION INDEX - SSPP	Great Britain	UK00801216195
SIX STRUCTURED PRODUCTS YIELD ENHANCEMENT INDEX - SSPY	Great Britain	UK00801216197
SMI	Great Britain	1524410
SMI	Great Britain	UK00810863313
SMI	Great Britain	UK00902562395
SMI	Great Britain	UK00903073574
SMI INDICES (Logo)	Great Britain	UK00801099711
SMI MID (SMIM)	Great Britain	UK00800857599
SMI SWISS MARKET INDEX	Great Britain	UK00800947957
SMIM	Great Britain	UK00800857705
SPI	Great Britain	UK00801009806
SPI	Great Britain	UK00903466497
SPI	Great Britain	1524408
SPI EXPANDED	Great Britain	UK00800857597
SPI EXTRA	Great Britain	UK00800840275
SPI Low Risk Premium	Great Britain	UK00801338936
SPI Momentum Premium	Great Britain	UK00801338935
SPI Multi Premia	Great Britain	UK00801338939
SPI Multi Premia Index	Great Britain	UK00801338940
SPI Quality Premium	Great Britain	UK00801338937
SPI Residual Momentum Premium	Great Britain	UK00801334724
SPI Reversal Premium	Great Britain	UK00801338938

Trademark	Country	Trademark No.
SPI Single Premia Indices	Great Britain	UK00801338932
SPI Size Premium	Great Britain	UK00801338934
SPI Value Premium	Great Britain	UK00801338933
SSPC	Great Britain	UK00801217829
SSPP	Great Britain	UK00801219014
SSPY	Great Britain	UK00801217828
SXI	Great Britain	UK00800836672
SXI LIFE SCIENCES	Great Britain	UK00800836674
SXI SWITZERLAND SUSTAINABILITY 25	Great Britain	UK00801216216
SXI-BIO+MEDTECH	Great Britain	UK00800836673
瑞市 (Chinese Characters (rui shi))	Hong Kong	302754324
瑞市指數 瑞市指數 (Chinese Characters (rui shi zhi shu) in series)	Hong Kong	302754333
SAION	International Register	1020917
SAR	International Register	1020918
SARON	International Register	1020917
SBI	International Register	1003807
SBI SWISS BOND INDEX	International Register	821722
SCRON	International Register	1020915
SIX STRUCTURED PRODUCTS CAPITAL PROTECTION INDEX - SSPC	International Register	1217451
SIX STRUCTURED PRODUCTS PARTICIPATION INDEX - SSPP	International Register	1216195
SIX STRUCTURED PRODUCTS YIELD ENHANCEMENT INDEX - SSPY	International Register	1216197
SLCI	International Register	707413
SLI	International Register	935567
SLI - SWISS LIQUID INDEX	International Register	831080
SLI SWISS LEADER INDEX	International Register	935568
SMCI	International Register	707412
SMI	International Register	681638
SMI	International Register	863313
SMI	International Register	1010190
SMI (Chinese characters, rui shi zhi shu)	International Register	1192960
SMI (Chinese characters, rui shi)	International Register	1192959
SMI INDICES (Logo)	International Register	1099711
SMI MID (SMIM)	International Register	857599
SMI SWISS MARKET INDEX	International Register	947957
SMIC	International Register	707418
SMIM	International Register	857705

<b>Trademark</b>	<b>Country</b>	<b>Trademark No.</b>
SNCI	International Register	707415
SOCI	International Register	707417
SPI	International Register	681832
SPI	International Register	1009806
SPI EXPANDED	International Register	857597
SPI EXTRA	International Register	840275
SPI Low Risk Premium	International Register	1338936
SPI Momentum Premium	International Register	1338935
SPI Multi Premia	International Register	1338939
SPI Multi Premia Index	International Register	1338940
SPI Quality Premium	International Register	1338937
SPI Residual Momentum Premium	International Register	1334724
SPI Reversal Premium	International Register	1338938
SPI Single Premia Indices	International Register	1338932
SPI Size Premium	International Register	1338934
SPI Value Premium	International Register	1338933
SPIX	International Register	706599
SSCI	International Register	707411
SSPC	International Register	1217829
SSPP	International Register	1219014
SSPY	International Register	1217828
SWISS MARKET INDEX (SMI)	International Register	609342
SWISS PERFORMANCE INDEX (SPI)	International Register	609343
SXI	International Register	836672
SXI LIFE SCIENCES	International Register	522555
SXI SWITZERLAND SUSTAINABILITY 25	International Register	1216216
SXI-BIO+MEDTECH	International Register	836673
SWISS MARKET INDEX (SMI)	Japan	3324504
SWISS PERFORMANCE INDEX (SPI)	Japan	3324503
SMI	South Korea	104047
SAION	Switzerland	587938
SAR	Switzerland	589118
SAR SWISS AVERAGE RATE	Switzerland	588284
SARON	Switzerland	587938
SBI	Switzerland	474172
SBI SWISS BOND INDEX	Switzerland	516232
SCION SWISS CURRENT INDEX ON	Switzerland	614056
SCR	Switzerland	587936
SCR SWISS CURRENT RATE	Switzerland	589594
SCRON	Switzerland	587937



Trademark	Country	Trademark No.
SIX STRUCTURED PRODUCTS CAPITAL PROTECTION INDEX - SSPC	Switzerland	657714
SIX STRUCTURED PRODUCTS PARTICIPATION INDEX - SSPP	Switzerland	657712
SIX STRUCTURED PRODUCTS YIELD ENHANCEMENT INDEX - SSPY	Switzerland	657713
SLCI	Switzerland	457124
SLI	Switzerland	557843
SLI - SWISS LIQUID INDEX	Switzerland	517047
SLI SWISS LEADER INDEX	Switzerland	557844
SMCI	Switzerland	457123
SMI	Switzerland	404822
SMI	Switzerland	501599
SMI	Switzerland	580670
SMI (Chinese characters, rui shi zhi shu)	Switzerland	650927
SMI (Chinese characters, rui shi)	Switzerland	650926
SMI EXPANDED	Switzerland	538305
SMI INDICES (Logo)	Switzerland	612951
SMI MID (SMIM)	Switzerland	529232
SMI SUPPLY CHAIN MANAGEMENT INSTITUTE (Logo)	Switzerland	580881
SMI SWISS MARKET INDEX	Switzerland	557842
SMIC	Switzerland	457127
SMILER	Switzerland	498391
SMIM	Switzerland	523994
SNCI	Switzerland	457125
SOCI	Switzerland	457126
SPI	Switzerland	404823
SPI	Switzerland	583711
SPI ex SLI	Switzerland	561828
SPI EXPANDED	Switzerland	528946
SPI EXTRA	Switzerland	522564
SPI Low Risk Premium	Switzerland	693005
SPI Momentum Premium	Switzerland	691129
SPI Multi Premia	Switzerland	693009
SPI Multi Premia Index	Switzerland	693660
SPI Quality Premium	Switzerland	693006
SPI Residual Momentum Premium	Switzerland	693007
SPI Reversal Premium	Switzerland	693008
SPI Single Premia Indices	Switzerland	691123
SPI Size Premium	Switzerland	691128

Trademark	Country	Trademark No.
SPI Value Premium	Switzerland	691127
SPIX	Switzerland	457121
SSCI	Switzerland	457122
SSPC	Switzerland	659159
SSPP	Switzerland	659158
SSPY	Switzerland	659157
SWISS BOND INDEX DOMESTIC GOVERNMENT	Switzerland	515160
SWISS BOND INDEX FOREIGN	Switzerland	515159
SWISS MARKET INDEX (SMI)	Switzerland	404821
SWISS PERFORMANCE INDEX (SPI)	Switzerland	404824
SXI	Switzerland	522424
SXI BIOTECH	Switzerland	522567
SXI HEALTH CARE	Switzerland	522565
SXI LIFE SCIENCES	Switzerland	836674
SXI MEDTECH	Switzerland	522566
SXI REAL ESTATE	Switzerland	590317
SXI SWISS REAL ESTATE	Switzerland	590312
SXI SWITZERLAND SUSTAINABILITY 25	Switzerland	658423
SXI-BIO+MEDTECH	Switzerland	522556
VSMI	Switzerland	512184
ZinSMI (logo)	Switzerland	557259
ZinSMI (Wortmarke)	Switzerland	551278
SMI (Chinese characters, rui shi zhi shu)	Taiwan	01689056
SMI (Chinese characters, rui shi)	Taiwan	01689055
SMI	USA	2608847
SWISS MARKET INDEX (SMI)	USA	1952155
SWISS PERFORMANCE INDEX (SPI)	USA	1941957