

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM705163

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
ProEquities, Inc.		05/28/2021	Corporation: ALABAMA
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Concourse Financial Group Securities, Inc.		
<b>Street Address:</b>	2801 US-280 South		
<b>City:</b>	Birmingham		
<b>State/Country:</b>	ALABAMA		
<b>Postal Code:</b>	35223		
<b>Entity Type:</b>	Corporation: ALABAMA		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	90850499	CONCOURSE FINANCIAL GROUP ADVISORS	
<b>Serial Number:</b>	90850436	CONCOURSE FINANCIAL GROUP SECURITIES	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2052541999		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2052541036		
<b>Email:</b>	tebbert@maynardcooper.com		
<b>Correspondent Name:</b>	C. BRANDON BROWNING		
<b>Address Line 1:</b>	1901 6th Ave North		
<b>Address Line 2:</b>	Suite 1700		
<b>Address Line 4:</b>	Birmingham, ALABAMA 35203		
<b>ATTORNEY DOCKET NUMBER:</b>	02956.0228		
<b>NAME OF SUBMITTER:</b>	C. Brandon Browning		
<b>SIGNATURE:</b>	/C. Brandon Browning/		
<b>DATE SIGNED:</b>	01/27/2022		
<b>Total Attachments: 4</b>			
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CH \$65.00 90850499



**CERTIFICATE OF AMENDMENT TO THE  
CERTIFICATE OF INCORPORATION  
OF  
PROEQUITIES, INC.,  
an Alabama corporation**

Pursuant to the provisions of Sections 10A-2A-10.01 through 10A-2A-10.09 of the Alabama Business Corporation Law, as amended, (the "Law"), the undersigned corporation adopts the following Certificate of Amendment to its Certificate of Incorporation:

**FIRST:** The name of the corporation is ProEquities, Inc. (the "Corporation"). The Alabama Entity ID Number of the Corporation is 099-166.

**SECOND:** The Corporation has previously amended its Certificate of Corporation via a Certificate of Amendment of Articles of Incorporation of Protective Equity Services, Inc. filed on June 14, 1994 in the County of Jefferson, State of Alabama, and via a Certificate of Amendment of Articles of Incorporation of Protective Equity Services, Inc. filed on March 2, 1995 in the County of Jefferson, State of Alabama.

**THIRD:** The Certificate of Incorporation of the Corporation is hereby amended effective as of the date set forth in Section Seventh below to effect a change to the name of the Corporation. Section 1.1 of the Certificate of Incorporation of the Corporation is hereby deleted in its entirety and the following shall be substituted in lieu thereof:

1.1: The name of the Corporation is Concourse Financial Group Securities, Inc. (hereinafter referred to as the "Corporation").

**FOURTH:** The amendment to the Certificate of Incorporation was duly approved and adopted by written consent of the board of directors of the Corporation and the sole stockholder of the Corporation in the manner required under the Law and the Certificate of Incorporation.

**FIFTH:** A copy of the Name Reservation form issued by the Office of the Secretary of State is attached hereto as Exhibit A.

**SIXTH:** Except as amended hereby, the Certificate of Incorporation of the Corporation remains in full force and effect.

**SEVENTH:** This Certificate of Amendment shall be effective as of 12:01 AM on June 1, 2021, in accordance with Section 10A-1-4.12 of the Alabama Business and Nonprofit Entity Code.

(signature page follows)

Alabama  
Sec. Of State

Entity Change  
099-166 D/C  
Date 5/28/2021  
Time 19:02  
210528 4 Pg

File \$100.00  
County \$.00

Total \$100.00  
08/010

RECEIVED DATE

MAY 28 2021

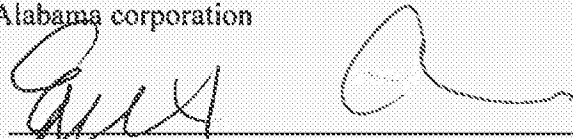
SECRETARY OF STATE  
OF ALABAMA 0441

**TRADEMARK  
REEL: 007570 FRAME: 0857**

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to the Certificate of Incorporation of the Corporation to be executed in its name and on its behalf as of this the 28 day of May, 2021.

**CORPORATION:**

PROEQUITIES, INC.  
an Alabama corporation

By:   
NAME: Elizabeth Anderson  
TITLE: President

This instrument prepared by:

John P. Dulin, Esq.  
Maynard, Cooper & Gale, P.C.  
1901 Sixth Avenue North  
Suite 1700  
Birmingham, AL 35203  
(205) 254-1000