# CH \$365.00 9711989

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM709305

| SUBMISSION TYPE:      | NEW ASSIGNMENT    |
|-----------------------|-------------------|
| NATURE OF CONVEYANCE: | SECURITY INTEREST |

#### **CONVEYING PARTY DATA**

| Name                            | Formerly | Execution Date | Entity Type           |
|---------------------------------|----------|----------------|-----------------------|
| FOOTPRINT<br>INTERNATIONAL, LLC |          | 02/18/2022     | Corporation: DELAWARE |

#### **RECEIVING PARTY DATA**

| Name:           | TRINITY CAPITAL INC., AS ADMINISTRATIVE AGENT |  |  |
|-----------------|---|--|--|
| Street Address: | 1 N. 1st Street, Floor 3                      |  |  |
| City:           | Phoenix                                       |  |  |
| State/Country:  | ARIZONA                                       |  |  |
| Postal Code:    | 85004   |  |  |
| Entity Type:    | Corporation: MARYLAND                         |  |  |

#### **PROPERTY NUMBERS Total: 14**

| Property Type  | Number   | Word Mark                                |  |
|----------------|--|--|--|
| Serial Number: | 97119892   | FOOTPRINT                                |  |
| Serial Number: | 97119889   | FOOTPRINT                                |  |
| Serial Number: | 97119872   | FOOTPRINT                                |  |
| Serial Number: | 97065720   | FOOTPRINT CENTER                         |  |
| Serial Number: | 97062900   | FOOTPRINT CENTER                         |  |
| Serial Number: | 90834136   | FOOTPRINT CENTER                         |  |
| Serial Number: | rial Number: 90597990 FOOTPRINT PRINT TECHNOLOGY |  |  |
| Serial Number: | 90459189   | FOOTPRINT HEALTHY PLANET. HEALTHY PEOPLE |  |
| Serial Number: | 90384923   | FOOTPRINT HEALTHY PEOPLE. HEALTHY PLANET |  |
| Serial Number: | 88575294   | FOOTPRINT BARRIER TECHNOLOGY             |  |
| Serial Number: | 88496846   |  |  |
| Serial Number: | 88496731   | FOOTPRINT                                |  |
| Serial Number: | 88407088   | RESPONSIBOWL                             |  |
| Serial Number: | 88404613   | FOOTPRINT                                |  |

### **CORRESPONDENCE DATA**

#### Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

TRADEMARK REEL: 007638 FRAME: 0768

900676628

**Phone:** 8585506412

Email: lortiz@cooley.com

Correspondent Name: LISA ORTIZ

Address Line 1: C/O COOLEY LLP

Address Line 2: 4401 EASTGATE MALL

Address Line 4: SAN DIEGO, CALIFORNIA 92121

ATTORNEY DOCKET NUMBER: 330658-115

NAME OF SUBMITTER: LISA ORTIZ

SIGNATURE: /LISA ORTIZ/

DATE SIGNED: 02/18/2022

**Total Attachments: 10** 

source=footprintipsa#page1.tif source=footprintipsa#page2.tif source=footprintipsa#page3.tif source=footprintipsa#page4.tif source=footprintipsa#page5.tif source=footprintipsa#page6.tif source=footprintipsa#page7.tif

source=footprintipsa#page8.tif source=footprintipsa#page9.tif source=footprintipsa#page10.tif

#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT ("Agreement") dated as of February 18, 2022, is made by FOOTPRINT INTERNATIONAL, LLC, a Delaware limited liability company (the "Grantor"), in favor of TRINITY CAPITAL INC., a Maryland corporation, as administrative agent and collateral agent ("Agent").

#### RECITALS

- A. Grantor has entered into a Loan and Security Agreement with Agent, dated as of the date hereof (as amended, restated, or otherwise modified from time to time, the "Loan Agreement"). All capitalized terms used but not defined herein shall have the respective meanings given to them in the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Agent for its benefit a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral (as defined in the Loan Agreement).
- NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

#### **AGREEMENT**

- 1. <u>Grant of Security Interest.</u> To secure its obligations under the Loan Agreement, Grantor grants and pledges to Agent for its benefit a security interest in all of Grantor's right, title and interest in, to and under its intellectual property constituting Collateral (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following (to the extent constituting Collateral):
- (a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");
- (d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions, re-examination certificates, utility models, and continuations-in-part of the same, including without limitation the patents and patent applications set forth on <u>Exhibit B</u> attached hereto (collectively, the "<u>Patents</u>");
- (e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on <a href="Exhibit C">Exhibit C</a> attached hereto (collectively, the "Trademarks");
- (f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on <a href="Exhibit D">Exhibit D</a> attached hereto (collectively, the "Mask Works");
- (g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (i) All amendments, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and

- (j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.
- 2. <u>Recordation</u>. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Agent. Grantor hereby authorizes Agent to (a) modify this Agreement unilaterally by amending the exhibits to this Agreement to include any Intellectual Property Collateral which Grantor obtains subsequent to the date of this Agreement and (b) file a duplicate original of this Agreement containing amended exhibits reflecting such new Intellectual Property Collateral.
- 3. <u>Loan Documents.</u> This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Agent with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.
- 4. <u>Execution in Counterparts</u>. This Agreement and any amendments, waivers, consents or supplements hereto may be executed in any number of counterparts, and by different parties hereto in separate counterparts, each of which when so delivered shall be deemed an original, but all of which counterparts shall constitute but one and the same instrument. Delivery of an executed counterpart of a signature page of this Agreement by facsimile, portable document format (.pdf) or other electronic transmission will be as effective as delivery of a manually executed counterpart hereof.
- 5. <u>Successors and Assigns</u>. The provisions of this Agreement shall inure to the benefit of the parties hereto and their respective successors and assigns. Grantor shall not assign its obligations under this Agreement without Agent's express prior written consent, and any such attempted assignment shall be void and of no effect. Agent may assign, transfer, or endorse its rights hereunder pursuant to the terms of the Loan Agreement without prior notice to Grantor, and all of such rights shall inure to the benefit of Agent's successors and assigns.
- 6. <u>Governing Law.</u> This Agreement has been negotiated and delivered to Agent in the State of New York, and shall have been accepted by Agent in the State of New York. This Agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of New York, excluding conflict of laws principles that would cause the application of laws of any other jurisdiction.

[Signature page follows.]

260814810 v6

IN WITNESS WHEREOF, the parties have caused this Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

**GRANTOR:** 

FOOTPRINT INTERNATIONAL, LLC

a Delaware limited liability company

Stephen Burdung

Title: Chief Legal Officer & Managing Director

**AGENT:** 

TRINITY CAPITAL INC., a Maryland corporation

Canal Ctar

By: 1846B563
Name: Sarah Stanton

Title: General Counsel and Secretary

-- DocuSigned by:

# **EXHIBIT A**

## **COPYRIGHTS**

None.

260814810 v6

# **EXHIBIT B**

## **PATENTS**

| Grantor                            | Title  | Application | Application | Patent No. | Date       |
|------------------------------------|--|-------------|-------------|------------|------------|
|                                    |  | Number      | Date        |            | Granted    |
| Footprint<br>International,<br>LLC | Method for Simultaneously Pressing and cutting a Molded Fiber Part                     | 16363910    | 3/25/2019   | 10683611   | 6/16/2020  |
| Footprint<br>International, LLC    | Methods and Apparatus for Manufacturing Fiber-Based Beverage Holders                   | 16104021    | 8/16/2018   | 10815622   | 10/27/2020 |
| Footprint<br>International, LLC    | Die Press Assembly for Drying And Cutting Molded Fiber Parts                           | 15606992    | 5/26/2017   | 10240286   | 3/26/2019  |
| Footprint<br>International, LLC    | Methods and Apparatus for In- Line Die Cutting of Vacuum Formed Molded Pulp Containers | 15606988    | 5/26/2017   | 10377547   | 8/13/2019  |
| Footprint<br>International, LLC    | Method For<br>Manufacturing<br>Microwavable<br>Food Containers                         | 15342561    | 11/3/2016   | 9869062    | 1/16/2018  |
| Footprint<br>International, LLC    | Method For<br>Manufacturing<br>Fiber-Based Meat<br>Containers                          | 15342555    | 11/3/2016   | 10087584   | 10/2/2018  |
| Footprint<br>International, LLC    | Method For<br>Manufacturing<br>Fiber-Based<br>Produced<br>Containers                   | 15342524    | 11/3/2016   | 9856608    | 1/2/2018   |
| Footprint<br>International, LLC    | Methods and Apparatus for Manufacturing Fiber-Based, Foldable Packaging Assemblies     | 15221174    | 7/27/2016   | 10124926   | 11/13/2018 |
| Footprint<br>International, LLC    | Methods For<br>Manufacturing<br>Fiber-Based<br>Beverage Lids                           | 15220377    | 7/26/2016   | 10036126   | 7/31/2018  |
| Footprint<br>International, LLC    | Methods and<br>Apparatus for<br>Manufacturing<br>Fiber-Based                           | 15220376    | 7/26/2016   | 9988199    | 6/5/2018   |

260814810 v6

| Grantor                         | Title                               | Application | Application | Patent No.  | Date      |
|---------------------------------|-------------------------------------|-------------|-------------|-------------|-----------|
|                                 |                                     | Number      | Date        |             | Granted   |
|                                 | Microwavable                        |             |             |             |           |
| Factorial                       | Food Containers                     | 45000074    | 7/00/0010   | 40400407    | 40/4/2012 |
| Footprint                       | Methods and                         | 15220374    | 7/26/2016   | 10428467    | 10/1/2019 |
| International, LLC              | Apparatus for Manufacturing         |             |             |             |           |
|                                 | Fiber-Based Meat                    |             |             |             |           |
|                                 | Containers                          |             |             |             |           |
| Footprint                       | Methods and                         | 17080794    | 10/26/2020  | 20210039856 | _         |
| International, LLC              | Apparatus for                       |             |             |             |           |
|                                 | [Manufacturing]                     |             |             |             |           |
|                                 | High-Strength                       |             |             |             |           |
|                                 | Fiber-Based                         |             |             |             |           |
| E I I                           | Beverage Holders                    | 10700075    | 0/40/0000   | 00000050040 |           |
| Footprint                       | Methods and                         | 16790675    | 2/13/2020   | 20200256016 | _         |
| International, LLC              | Apparatus for Manufacturing         |             |             |             |           |
|                                 | Fiber-Based                         |             |             |             |           |
|                                 | Produce                             |             |             |             |           |
|                                 | Containers                          |             |             |             |           |
| Footprint                       | Methods,                            | 16726180    | 12/23/2019  | 20200208353 | _         |
| International, LLC              | Apparatus, and                      |             |             |             |           |
|                                 | Chemical                            |             |             |             |           |
|                                 | Compositions for                    |             |             |             |           |
|                                 | Selectively                         |             |             |             |           |
|                                 | Coating Fiber-                      |             |             |             |           |
|                                 | Based Food<br>Containers            |             |             |             |           |
| Footprint                       | Methods,                            | 16726165    | 12/23/2019  | 20200206984 |           |
| International, LLC              | Apparatus, and                      | 10720100    | 12/20/2010  | 2020020001  |           |
|                                 | Chemical                            |             |             |             |           |
|                                 | Compositions for                    |             |             |             |           |
|                                 | Selectively                         |             |             |             |           |
|                                 | Coating Fiber-                      |             |             |             |           |
|                                 | Based Food                          |             |             |             |           |
| Footprint                       | Containers Methods and              | 16599519    | 0/20/2010   | 20200024906 |           |
| Footprint International, LLC    | Methods and Apparatus for           | 16588518    | 9/30/2019   | 20200024806 | _         |
| international, LLC              | Manufacturing                       |             |             |             |           |
|                                 | Fiber-Based Meat                    |             |             |             |           |
|                                 | Containers                          |             |             |             |           |
| Footprint                       | Methods and                         | 16457897    | 6/28/2019   | 20200407920 | _         |
| International, LLC              | Apparatus for                       |             |             |             |           |
|                                 | Manufacturing                       |             |             |             |           |
|                                 | Fiber-Based Meat                    |             |             |             |           |
| Footprint                       | Containers                          | 16877419    | 5/18/2020   | 20200277738 |           |
| Footprint<br>International, LLC | Acrylate and Non-<br>Acrylate Based | 100//419    | 3/10/2020   | 20200211138 | _         |
| mitornational, LLO              | Chemical                            |             |             |             |           |
|                                 | Compositions For                    |             |             |             |           |
|                                 | Selectively                         |             |             |             |           |
|                                 | Coating Fiber-                      |             |             |             |           |
|                                 | Based Food                          |             |             |             |           |
|                                 | Containers                          | <u> </u>    | <u> </u>    |             |           |

260814810 v6

| Grantor                         | Title   | Application<br>Number | Application Date | Patent No.  | Date<br>Granted |
|---------------------------------|---|-----------------------|------------------|-------------|-----------------|
| Footprint<br>International, LLC | Apparatus and<br>Methods for<br>Packaging And<br>Shipping Wine<br>Bottles | 15953369              | 4/13/2018        | 20190315564 | <del>-</del>    |
| Footprint<br>International, LLC | Methods and Apparatus for Manufacturing Fiber-Based Produce Containers    | 15220371              | 7/26/2016        | 20180030658 |                 |
| Footprint<br>International, LLC | _   | 17513801              | 10/28/2021       | _           | _               |
| Footprint<br>International, LLC | _   | 17512171              | 10/27/2021       | _           | _               |
| Footprint<br>International, LLC | _   | 63272639              | 10/27/2021       | _           | _               |
| Footprint<br>International, LLC | _   | 63274648              | 11/2/2021        | _           | _               |
| Footprint<br>International, LLC | _   | 63190265              | 5/19/2021        | _           | _               |
| Footprint<br>International, LLC | _   | 17576435              |                  |             |                 |
| Footprint<br>International, LLC | _   | 15,220,371            | 06/26/2016       |             |                 |
| Footprint<br>International, LLC | _   | 63186313              | 5/10/2021        | _           | _               |
| Footprint<br>International, LLC | _   | 63186303              | 5/10/2021        | _           | _               |
| Footprint<br>International, LLC | _   | 63182002              | 4/30/2021        | _           | _               |

260814810 v6

# **EXHIBIT C**

## **TRADEMARKS**

| Grantor                            | Trademark                                      | Application<br>Number | Application<br>Date | Registration<br>Number |
|------------------------------------|--|-----------------------|---------------------|------------------------|
| Footprint<br>International,<br>LLC | FOOTPRINT (& Leaf<br>Logo)                     | 97/119892             | 11/11/2021          |                        |
| Footprint<br>International,<br>LLC | FOOTPRINT (& Leaf<br>Logo)                     | 97/119889             | 11/11/2021          | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT (& Leaf<br>Logo)                     | 97/119872             | 11/11/2021          | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT CENTER (& Design)                    | 97/065720             | 10/8/2021           | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT CENTER                               | 97/062900             | 10/6/2021           | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT CENTER                               | 90/834136             | 7/17/2021           | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT PRINT<br>TECHNOLOGY                  | 90/597990             | 3/23/2021           | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT<br>HEALTHY PLANET.<br>HEALTHY PEOPLE | 90/459189             | 1/11/2021           | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT<br>HEALTHY PEOPLE.<br>HEALTHY PLANET | 90/384923             | 12/15/2020          | _                      |
| Footprint<br>International,<br>LLC | FOOTPRINT<br>BARRIER<br>TECHNOLOGY             | 88/575294             | 8/12/2019           | _                      |
| Footprint<br>International,<br>LLC | Footprint Logo (Design Only)                   | 88/496846             | 7/1/2019            | 5960649                |
| Footprint<br>International,<br>LLC | FOOTPRINT (&<br>Design)                        | 88/496731             | 7/1/2019            | 5965745                |
| Footprint<br>International,<br>LLC | RESPONSIBOWL                                   | 88/407088             | 4/29/2019           |                        |
| Footprint<br>International,<br>LLC | FOOTPRINT                                      | 88/404613             | 4/26/2019           | 5907818                |

260814810 v6

# **EXHIBIT D**

## **MASK WORKS**

None.

260814810 v6

**RECORDED: 02/18/2022**