TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM711998

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
APTERYX, LLC		07/15/2019	Limited Liability Company: OHIO

RECEIVING PARTY DATA

Name:	SARATOGA INVESTMENT CORP. SBIC LP, as Administrative Agent	
Street Address:	535 Madison Avenue	
Internal Address:	4th Floor	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10022	
Entity Type:	Limited Partnership: NEW YORK	

PROPERTY NUMBERS Total: 10

Property Type	Number	Word Mark
Registration Number:	5267970	NAMEGRABBER
Registration Number:	5170578	XV
Registration Number:	5170577	
Registration Number:	4724389	XVWEB
Registration Number:	4529353	XVLITE
Registration Number:	2313922	XRAYVISION
Registration Number:	2216838	APTERYX
Serial Number:	88640965	APTERYX IMAGING
Serial Number:	88640947	APTERYX IMAGING
Serial Number:	88471357	APTERYX

CORRESPONDENCE DATA

Fax Number: 7043311159

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7043311000

Email: PTO_TMconfirmation@mvalaw.com,

maryelizabethzaldivar@mvalaw.com

Correspondent Name: Moore & Van Allen PLLC

> **TRADEMARK** REEL: 007649 FRAME: 0569

900679191

Address Line 1: 100 North Tryon Street

Address Line 2: Suite 4700, ATTN: IP DEPARTMENT
Address Line 4: Charlotte, NORTH CAROLINA 28202

ATTORNEY DOCKET NUMBER:	036806.000065
NAME OF SUBMITTER:	John Slaughter
SIGNATURE:	/john slaughter/
DATE SIGNED:	03/03/2022

Total Attachments: 4

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NOTICE

OF

GRANT OF SECURITY INTEREST

IN

TRADEMARKS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security and Pledge Agreement dated as of July 15, 2019 (as the same may be amended, modified, restated or supplemented from time to time, the "Security Agreement", capitalized terms used but not defined herein have the meanings ascribed therein) by and among the Grantors from time to time party thereto (each a "Grantor" and collectively, the "Grantors") and SARATOGA INVESTMENT CORP. SBIC LP, as administrative agent (including any successor, participant, assignee or transferee thereof, "Administrative Agent"), the undersigned Grantor has granted a continuing security interest in such Grantor's right, title and interest in and to the Trademarks, including the trademarks and trademark applications set forth on Schedule 1 attached hereto, to the Administrative Agent, for the ratable benefit of the Lenders, to secure the prompt payment and performance in full when due of the Secured Obligations.

The undersigned Grantor and the Administrative Agent, on behalf of the Lenders, hereby acknowledge and agree that the security interest in the trademarks and trademark applications set forth on Schedule 1 attached hereto (i) may only be terminated in accordance with the terms of the Security Agreement, (ii) is not to be construed as an assignment of any trademark or trademark application, and (iii) in the event of any conflict between the terms of this notice and the terms of the Security Agreement, the terms of the Security Agreement shall govern. This notice may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

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Very truly yours,

APTERYX, LLC, an Ohio limited

liability company

By:____

Name: Eric Giesecke

Title: Chief Executive Officer

[Signature Page to Notice of Grant of Security Interest in Trademarks]

Acknowledged and Accepted:

SARATOGA INVESTMENT CORP. SBIC LP

By: Saratoga Investment Corp. GP, LLC,

its General Partner

By: Saratoga Investment Corp.,

as the Sole Member and Manager of the General Partner

By: ______Name: Charles G. Phillips IV

Name: Charles G. Phillips IV Title: Managing Director

RECORDED: 03/03/2022

[Signature Page to Notice of Grant of Security Interest in Trademarks]