

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM722353

SUBMISSION TYPE:	RESUBMISSION		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
RESUBMIT DOCUMENT ID:	900674914		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Houston Asset Management, Inc.		12/06/2021	Corporation: TEXAS
RECEIVING PARTY DATA			
Name:	Allworth Financial, L.P.		
Street Address:	340 Palladio Parkway, Suite 501		
City:	Folsom		
State/Country:	CALIFORNIA		
Postal Code:	95630		
Entity Type:	Limited Partnership: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4237571	WE HEAR YOU. WE'RE HERE FOR YOU.	
CORRESPONDENCE DATA			
Fax Number:	2142064330		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	214-206-4300		
Email:	docket@richardlawgroup.com		
Correspondent Name:	Kimberlee A. Boyle		
Address Line 1:	13355 Noel Road, Suite 1350		
Address Line 2:	Richard Law Group, Inc.		
Address Line 4:	Dallas, TEXAS 75240		
ATTORNEY DOCKET NUMBER:	ALLW-0001		
NAME OF SUBMITTER:	Kimberlee A. Boyle		
SIGNATURE:	/kimberlee a boyle/		
DATE SIGNED:	04/19/2022		
Total Attachments: 3			
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CONFIRMATORY ASSIGNMENT

This Confirmatory Assignment is made by and between Allworth Financial, L.P., a Delaware limited partnership having a principal place of business at 340 Palladio Parkway, #501, Folsom, California 95630 (“Assignee”) and Houston Asset Management, Inc. (“Assignor”), a Texas corporation having a principal place of business at 1800 West Loop South, Suite 1980 Houston, Texas 77027. Collectively Assignor and Assignee are the “Parties”.

WHEREAS, Assignor by way of its associated entities and Assignee are parties to the Asset Purchase Agreement of March 2, 2020 (the “Asset Purchase Agreement”) in which Assignee acquired 100% of the common stock and other assets of Assignor including but not limited to Assignor’s trade names and the “We hear you. We’re here for you.” trademark (the “Mark”); and

WHEREAS, Assignor is the record owner of U.S. Registration No. 4237571 (WE HEAR YOU. WE’RE HERE FOR YOU.);

NOW THEREFORE, Assignor agrees to assign and transfer, and hereby assigns and transfers, and Assignee accepts, for and in good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, to Assignee and its successors all right, title and interest Assignor has in and to the Mark, together with any goodwill of the business symbolized by the Mark, and U.S. Registration No. 4237571 (WE HEAR YOU. WE’RE HERE FOR YOU.).

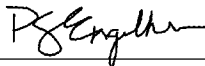
If Assignor has already assigned all of its right, title, and interest in the Mark to Assignee by operation of law or prior assignment, then this Confirmatory Assignment acts to memorialize and further document such assignment and transfer for the purpose of providing a document for recording and registering the change of ownership in the United States Patent and Trademark Office.

1. This Confirmatory Assignment, its terms and conditions, and all related negotiations shall be kept confidential and shall not be disclosed to any person or entity, with the exception that the Parties may disclose the terms and circumstances of this Confirmatory Assignment to their attorneys, to a court or government agency if required by law, to the extent necessary to execute or enforce the provisions herein, or to the extent information regarding such terms and circumstances becomes publicly available through means other than breach of this Confirmatory Assignment.
2. This Confirmatory Assignment may be executed by the Parties in counterparts. Each party may use facsimile or e-mail transmission to deliver an executed copy of this Confirmatory Assignment, and any copy so delivered shall be deemed an original.
3. Each party undertakes to perform all such further acts, execute and deliver all such further documents as may reasonably be requested by the other party in order to give full effect to

the aforementioned assignment and transfer of the aforementioned rights from Assignor to Assignee.

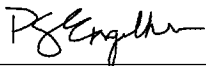
IN WITNESS WHEREOF, the Parties have caused this Confirmatory Assignment to be executed by their duly authorized representatives as of the dates set forth below:

ALLWORTH FINANCIAL, L.P.

By: 
Name: Pete Engelken
Title: Chief Operating Officer

Date: 12/6/2021

HOUSTON ASSET MANAGEMENT, INC.

By: 
Name: Pete Engelken
Title: Chief Operating Officer

Date: 12/6/2021