

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM740506

| | | | |
|---|------------------------------|-----------------------|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | | |
| NATURE OF CONVEYANCE: | CHANGE OF NAME | | |
| CONVEYING PARTY DATA | | | |
| Name | Formerly | Execution Date | Entity Type |
| OneK Financial, Inc. | | 06/04/2019 | Corporation: DELAWARE |
| RECEIVING PARTY DATA | | | |
| Name: | Facet Wealth, Inc. | | |
| Street Address: | 100 International Drive | | |
| Internal Address: | 23rd Floor | | |
| City: | Baltimore | | |
| State/Country: | MARYLAND | | |
| Postal Code: | 21202 | | |
| Entity Type: | Corporation: DELAWARE | | |
| PROPERTY NUMBERS Total: 4 | | | |
| Property Type | Number | Word Mark | |
| Registration Number: | 5936938 | FACET UNPLUGGED | |
| Registration Number: | 5874484 | FACET | |
| Registration Number: | 5874479 | THE FACET WAY | |
| Registration Number: | 5471473 | FACET WEALTH | |
| CORRESPONDENCE DATA | | | |
| Fax Number: | 6179372400 | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | | |
| Phone: | 617-937-1438 | | |
| Email: | aanderson@cooley.com | | |
| Correspondent Name: | Anna Anderson C/O Cooley LLP | | |
| Address Line 1: | 1299 Pennsylvania Ave., N.W. | | |
| Address Line 2: | Suite 700 | | |
| Address Line 4: | Washington, D.C. 20004 | | |
| ATTORNEY DOCKET NUMBER: | 330489-20000 | | |
| NAME OF SUBMITTER: | Anna Anderson | | |
| SIGNATURE: | /Anna Anderson/ | | |
| DATE SIGNED: | 07/12/2022 | | |

CH \$115.00 5936938

Total Attachments: 4

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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ONEK FINANCIAL, INC.", CHANGING ITS NAME FROM "ONEK FINANCIAL, INC." TO "FACET WEALTH, INC.", FILED IN THIS OFFICE ON THE FOURTH DAY OF JUNE, A.D. 2019, AT 4:22 O`CLOCK P.M.




Jeffrey W. Bullock, Secretary of State

6114795 8100
SR# 20222260799

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 203516112
Date: 05-25-22

TRADEMARK
REEL: 007777 FRAME: 0983

**CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF INCORPORATION
OF
ONEK FINANCIAL, INC.**

Anderson Jones hereby certifies that:

ONE: The original name of this corporation is OneK Financial, Inc. and the date of filing of the original Certificate of Incorporation of this corporation with the Secretary of State of the State of Delaware was August 3, 2016.

TWO: He is the duly elected and acting Chief Executive Officer of OneK Financial, Inc., a Delaware corporation (the "**Corporation**").

THREE: The Board of Directors of the Corporation (the "**Board**"), acting in accordance with the provisions of Sections 141 and 242 of the General Corporation Law of the State of Delaware, adopted resolutions amending the Certificate of Incorporation of the Company as follows:

1. Article I shall be amended and restated to read in its entirety as follows:

"FIRST: The name of this corporation is Facet Wealth, Inc. (the "**Corporation**")."

2. Article IV shall be amended and restated to read in its entirety as follows:

"FOURTH: The total number of shares of all classes of stock which the Corporation shall have authority to issue is (i) 12,800,000 shares of Common Stock, \$0.00001 par value per shares ("**Common Stock**") and (ii) 6,807,832 shares of Preferred Stock, \$0.00001 par value per share ("**Preferred Stock**").

3. Section 4.4.1(d)(iv) of Article IV, Part B shall be amended and restated to read in its entirety as follows:

"up to 911,000 shares of Common Stock or Options issued to employees or directors of, or consultants or advisors to, the Corporation or any of its subsidiaries pursuant to an equity incentive or stock option plan, agreement or arrangement approved by the Board of Directors; provided that any shares of Common Stock (x) that are not issued as a result of the termination of Options to which such shares were subject or (y) reacquired by the Corporation upon termination of services to the Corporation shall not be counted toward such maximum number unless and until such shares are regranted by the Corporation; provided further that such maximum number may be increased (i) with the approval of the Board of Directors and the Requisite Holders or (ii) by up to 10% of such maximum number

if such increase is approved by the Board of Directors only in connection with a Qualified Financing;”

FOUR: Thereafter, pursuant to a resolution of the Board, the foregoing Certificate of Amendment was submitted to, and approved by, the stockholders of the Corporation in accordance with the applicable provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

[Signature Page Follows]

IN WITNESS WHEREOF, ONEK FINANCIAL, INC. has caused this Certificate of Amendment to be signed by its Chief Executive Officer on June 4, 2019.

ONEK FINANCIAL, INC.

Anderson Jones
Anderson Jones, Chief Executive Officer