

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM750720

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
DAOU VINEYARDS, LLC		08/18/2022	Limited Liability Company: CALIFORNIA
RECEIVING PARTY DATA			
Name:	UMPQUA BANK		
Street Address:	624 S. Main Street, Suite 101B		
City:	Templeton		
State/Country:	CALIFORNIA		
Postal Code:	93465		
Entity Type:	Chartered Bank: OREGON		
PROPERTY NUMBERS Total: 23			
Property Type	Number	Word Mark	
Registration Number:	6442577	CAVES DES LIONS	
Registration Number:	5967670	EYE OF THE FALCON	
Registration Number:	5967669	CUVÉE LIZZY	
Registration Number:	5213811	LIVE THE DREAM. COME TO PASO!	
Registration Number:	3904187	PESSIMIST	
Registration Number:	4250032	UNBOUND	
Registration Number:	4157460	SOUL OF A LION	
Registration Number:	4328261	AUX	
Registration Number:	4157454	MAYOTE	
Registration Number:	3811682	DAOU	
Registration Number:	6725423	SEQUENTIS	
Registration Number:	6407151	BODYGUARD	
Serial Number:	85446735	MAYOTE	
Serial Number:	97098290	OPTIMIST	
Serial Number:	97037681	DAOU FAMILY ESTATES	
Serial Number:	90394747	THE BIGGIE	
Serial Number:	90566876	SOPRO	
Serial Number:	90394743	THE BIG LUSCIOUS	
Serial Number:	90462632	UNBOUND	

OP \$590.00 6442577

Property Type	Number	Word Mark
Serial Number:	88515568	MAISON DAOU
Serial Number:	88871839	LIFEMAKER
Serial Number:	90566862	REBOUND
Serial Number:	90566874	THE INNOVATOR

CORRESPONDENCE DATA

Fax Number: 7044448847

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 213-457-6624

Email: ksaltrick@mcguirewoods.com

Correspondent Name: McGuireWoods LLP

Address Line 1: 355 S. Grand Avenue, Suite 4200

Address Line 2: Attention: J. Aufderhar, Esq.

Address Line 4: Los Angeles, CALIFORNIA 90071

NAME OF SUBMITTER:	JUSTIN AUFDERHAR
SIGNATURE:	/s/ Justin Aufderhar
DATE SIGNED:	08/23/2022

Total Attachments: 5
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MEMORANDUM AND NOTICE OF SECURITY INTEREST
IN INTELLECTUAL PROPERTY

Dated as of: August 18, 2022

DAOU VINEYARDS, LLC, a California limited liability company ("Debtor") hereby acknowledges that it has granted to UMPQUA BANK ("Lender"), whose address is 624 S. Main St., Ste 101B, Templeton, CA 93465, a security interest in and to all of Debtor's right, title and interest in and to the trademarks which are identified on Exhibit A attached hereto and herein incorporated by this reference owned by Debtor (the "Trademarks"), together with the goodwill and assets of the business, as set forth in the Security Agreements (as defined below).

Reference is hereby made to (i) that certain Credit Agreement dated as of the date hereof by and among Debtor, as the borrower, Daou Brothers, LLC and Daou Family, LLC, as guarantors, and Lender ("Daou Vineyards Credit Agreement"), (ii) that certain Credit Agreement dated as of the date hereof by and among Daou Brothers, LLC, as the borrower, Daou Vineyards, LLC and Daou Family, LLC, as guarantors, and Lender ("Daou Brothers Credit Agreement"), and (iii) that certain Credit Agreement dated as of the date hereof by and among Daou Family, LLC, as the borrower, Daou Vineyards, LLC and Daou Brothers, LLC, as guarantors, and Lender ("Daou Family Credit Agreement"), in each case, as amended, restated, supplemented or otherwise modified from time to time (collectively, "Credit Agreements").

In connection with the Daou Vineyards Credit Agreement, Debtor entered into that certain Security Agreement dated as of the date hereof by and among Debtor, certain affiliates of Debtor, and Lender (as amended, restated, supplemented or otherwise modified from time to time, the "Daou Vineyards Security Agreement"). In connection with the Daou Brothers Credit Agreement, Debtor entered into that certain Security Agreement dated as of the date hereof by and among Debtor, certain affiliates of Debtor, and Lender (as amended, restated, supplemented or otherwise modified from time to time, the "Daou Brothers Security Agreement"). In connection with the Daou Family Credit Agreement, Debtor entered into that certain Security Agreement dated as of the date hereof by and among Debtor, certain affiliates of Debtor, and Lender (as amended, restated, supplemented or otherwise modified from time to time, the "Daou Family Security Agreement"; together with the Daou Vineyards Security Agreement and the Daou Brothers Security Agreement, each a "Security Agreement" and collectively, "Security Agreements").

Nothing contained in this Memorandum and Notice of Security Interest in Intellectual Property shall be construed as a present or absolute assignment of any of the collateral nor as limiting any interest which Lender may have in any other collateral described in the Security Agreements or otherwise. Capitalized terms that are undefined herein have the meanings ascribed thereto in the Security Agreements.

The parties hereby agree that this Memorandum and Notice of Security Interest in Intellectual Property shall be governed by the laws of the State of California. Debtor hereby authorizes the filing of this Memorandum and Notice of Security Interest in Intellectual Property with the United States Patent and Trademark Office.

[Remainder of page intentionally left blank; signature pages follow.]

IN WITNESS WHEREOF, the undersigned has duly executed this Memorandum and Notice of Security Interest in Intellectual Property as of the date first written above.

DEBTOR:

DAOU VINEYARDS) LLC,
a California limited liability company

By: _____

Name: Neil Cassidy

Title: Chief Operating Officer and Chief Financial
Officer

LENDER:

UMPQUA BANK

By: 

Name: Curtis Eller

Title: Senior Vice President

MEMORANDUM AND NOTICE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY
(DAOU)
SIGNATURE PAGE

TRADEMARK
REEL: 007830 FRAME: 0283

EXHIBIT A
TRADEMARKS

Trademark	Owner	Status in Trademark Office	Filing Date	Serial Number	Federal Registration No.	Registration Date
CAVES DES LIONS	DAOU Vineyards, LLC	Active	June 12, 2020	88962954	6442577	Aug. 03, 2021
EYE OF THE FALCON	DAOU Vineyards, LLC	Active	September 24, 2019	88629331	5967670	Jan. 21, 2020
CUVÉE LIZZY	DAOU Vineyards, LLC	Active	September 24, 2019	88629293	5967669	Jan. 21, 2020
LIVE THE DREAM. COME TO PASOI	DAOU Vineyards, LLC	Active	October 24, 2016	87213706	5213811	May 30, 2017
PESSIMIST	DAOU Vineyards, LLC	Active	May 28, 2010	85050768	3904187	Jan. 11, 2011
UNBOUND	DAOU Vineyards, LLC	Active	April 17, 2012	85599748	4250032	Nov. 27, 2012
SOUL OF A LION	DAOU Vineyards, LLC	Active	October 13, 2011	85446788	4157460	Jun. 12, 2012
AUX	DAOU Vineyards, LLC	Active	September 11, 2012	85725896	4328261	Apr. 30, 2013
MAYOTE	DAOU Vineyards, LLC	Active	October 13, 2011	85446735	4157454	Jun. 12, 2012
DAOU	DAOU Vineyards, LLC	Active	December 18, 2008	77636444	3811682	Jun. 29, 2010
SEQUENTIS	DAOU Vineyards, LLC	Active	February 26, 2020	88812118	6725423	May 24, 2022
BODYGUARD	DAOU Vineyards, LLC	Active	July 13, 2020	90050227	6407151	Jul. 06, 2021
MAYOTE	DAOU Vineyards, LLC	Active	October 13, 2011	85446735	4157454	Jun. 12, 2022
OPTIMIST	DAOU Vineyards, LLC	Under Examination	October 28, 2021	97098290	N/A	N/A

Trademark	Owner	Status in Trademark Office	Filing Date	Serial Number	Federal Registration No.	Registration Date
DAOU FAMILY ESTATES	DAOU Vineyards, LLC	Post Examination	September 21, 2021	97037681	N/A	N/A
THE BIGGIE	DAOU Vineyards, LLC	Under Examination	December 18, 2020	90394747	N/A	N/A
THRILL SEEKER	DAOU Vineyards, LLC	Post Opposition	March 8, 2021	90566876	N/A	N/A
THE BIG LUSCIOUS	DAOU Vineyards, LLC	Post Opposition	December 18, 2020	90394743	N/A	N/A
UNBOUND	DAOU Vineyards, LLC	Under Examination	January 13, 2021	90462632	N/A	N/A
MAISON DAOU	DAOU Vineyards, LLC	Post Opposition	July 15, 2019	88515568	N/A	N/A
LIFEMAKER	DAOU Vineyards, LLC	Post Opposition	April 14, 2020	88871839	N/A	N/A
REBOUND	DAOU Vineyards, LLC	Under Examination	March 8, 2021	90566862	N/A	N/A
The Innovator	DAOU Vineyards, LLC	Under Examination	March 8, 2021	90566874	N/A	N/A