# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM776000

SUBMISSION TYPE:	NEW ASSIGNMENT
SUBMISSION TYPE:	NEW ASSIGNMENT

**NATURE OF CONVEYANCE:** ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
MUFG Union Bank, National Association		12/01/2022	National Banking Association: UNITED STATES

### **RECEIVING PARTY DATA**

Name:	MUFG Americas Holdings Corporation		
Street Address: 1251 Avenue of the Americas			
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10020		
Entity Type:	Corporation: DELAWARE		

### **PROPERTY NUMBERS Total: 1**

Property Type	Number	Word Mark	
Registration Number:	4682717	MUFG UNION BANK	

# CORRESPONDENCE DATA

5037962900 Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 5032229981

trademarks@schwabe.com Email:

Schwabe, Williamson & Wyatt, P.C. **Correspondent Name:** 

Address Line 1: 1211 SW Fifth Avenue

Address Line 2: **Suite 1900** 

Address Line 4: Portland, OREGON 97204

ATTORNEY DOCKET NUMBER:	107068-215102
NAME OF SUBMITTER:	Allison Pentheny
SIGNATURE:	/Allison Pentheny/
DATE SIGNED:	12/22/2022

### **Total Attachments: 19**

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### INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

This INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT (the "Agreement"), dated as of December 1, 2022 (the "Effective Date"), is made by and among MUFG Union Bank, N.A., a national banking association (the "Bank"), UBOC Community Development Corporation, a corporation organized under the laws of the state of California ("UBOC"), UnionBanc Investment Services LLC, a limited liability company organized under the laws of the state of Delaware ("UBIS"), HighMark Capital Management, Inc., a corporation organized under the laws of the state of California ("HighMark"), The California-Sansome Corporation, a corporation organized under the laws of the state of California ("CSC"), UnionBanCal Mortgage Corporation, a corporation organized under the laws of the state of California ("UMC"), MUFG Union Bank Foundation, a nonprofit public benefit corporation organized under the laws of the state of California ("UBF", together with UBOC, UBIS, HighMark, CSC, and UMC, the "Transferred Subsidiaries") and MUFG Americas Holdings Corporation, a corporation organized under the laws of the state of Delaware (the "Seller" and each of the Bank, the Transferred Subsidiaries and Seller, a "Party" and collectively, the "Parties").

### WITNESSETH:

WHEREAS, pursuant to the Share Purchase Agreement, dated September 21, 2021, by and among Mitsubishi UFJ Financial Group, Inc., a joint stock company (*kabushiki kaisha*) organized under the laws of Japan, Seller and U.S. Bancorp, a corporation organized under the laws of Delaware (the "SPA"), the Bank and the Transferred Subsidiaries (together, the "Assignors") agreed to assign to the Seller certain Intellectual Property (as defined in the SPA), subject to the terms and conditions set forth in the SPA.

**NOW, THEREFORE**, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, and upon the terms set forth in this Agreement and the SPA, the Parties, intending to be legally bound, agree as follows:

- 1. <u>Definitions</u>. Each capitalized term used but not otherwise defined herein shall have the meaning specified in the SPA.
- 2. <u>Assignment</u>. The Assignors hereby assign, transfer, convey and deliver to the Seller all of the Assignors' right, title and interest in, to and under (i) the Shared Software, (ii) the Seller Marks, including the Marks set forth on Schedule 1 hereto, together with all goodwill associated therewith or symbolized thereby, and (iii) Intellectual Property (other than Marks and Shared Software) that is owned by the Assignors and primarily used in, or primarily related to, the businesses of the Seller and its Affiliates (other than the Bank and the Transferred Subsidiaries, but including (A) the businesses conducted by the Bank and the Subsidiaries described in Schedule 4 attached to the SPA, and (B) the Excluded Assets and Liabilities) ((i), (ii) and (iii) together, the "Assigned IP"), including (a) all claims, causes of action, prosecution and registration rights and enforcement rights of any kind, and (b) all rights to sue for past, present or future infringement of any of the Assigned IP and to collect and retain any and all damages, costs, royalties, licensee fees, profits, injunctive relief and other remedies for or relating to any such past, present or future infringement of the Assigned IP or any and all claims relating thereto.

- 3. <u>Authorization</u>. The Parties hereby authorize and request the relevant Governmental Authority to record this Agreement so as to record and perfect Seller's ownership of the Assigned IP.
- 4. <u>Further Assurances</u>. From and after the Effective Date, upon the Seller's reasonable request and at the Seller's expense, the Assignors shall cooperate with the Seller to (i) execute, acknowledge and deliver all instruments of transfer, conveyance, assignment and assumption, powers of attorney and any other documents or instruments, (ii) file or cause to be filed all filings with the appropriate Governmental Authorities and (iii) take or cause to be taken all actions as may be reasonably required to convey and transfer to and vest in the Seller and protect its right, title and interest in, to and under all of the Assigned IP, and as otherwise may be appropriate to carry out the transactions contemplated by this Agreement.
- 5. <u>Subject to SPA</u>. This Agreement is being executed solely to give effect to the transactions contemplated by the SPA. Nothing in this Agreement, express or implied, is intended to, or will be construed to, modify, expand or limit in any way the terms of the SPA. To the extent that any provision of this Agreement conflicts or is inconsistent with the terms of the SPA, the SPA will govern.
- 6. Governing Law; Consent to Jurisdiction. This Agreement shall be governed by and construed in accordance with the internal laws of the State of New York applicable to agreements made and wholly to be performed in such State. EACH PARTY HERETO, TO THE EXTENT IT MAY LAWFULLY DO SO, HEREBY SUBMITS TO THE EXCLUSIVE JURISDICTION OF ANY COURT OF THE STATE OF NEW YORK LOCATED IN THE BOROUGH OF MANHATTAN IN NEW YORK CITY AND THE U.S. DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK, AS WELL AS TO THE JURISDICTION OF ALL COURTS FROM WHICH AN APPEAL MAY BE TAKEN OR OTHER REVIEW SOUGHT FROM THE AFORESAID COURTS. FOR THE PURPOSE OF ANY SUIT, ACTION OR OTHER PROCEEDING ARISING OUT OF SUCH PARTY'S OBLIGATIONS UNDER OR WITH RESPECT TO THIS AGREEMENT OR ANY OF THE AGREEMENTS, INSTRUMENTS OR DOCUMENTS CONTEMPLATED HEREBY, AND EXPRESSLY WAIVES ANY AND ALL OBJECTIONS IT MAY HAVE AS TO VENUE IN ANY OF SUCH COURTS. The Parties hereby consent to and grant any such court jurisdiction over the person of such Parties and, to the extent permitted by Law, over the subject matter of such dispute and agree that mailing of process or other papers in connection with any such action or proceeding in the manner provided in Section 8.7 of the SPA or in such other manner as may be permitted by Law shall be valid and sufficient service thereof. Nothing in this Agreement will affect the right of any Party to serve process in any other manner permitted by Law.
- 7. <u>Counterparts</u>. This Agreement may be executed in two or more counterparts (including by facsimile, email or other electronic means such as ".pdf" or ".tiff" files), each of which shall be deemed to constitute an original, but all of which together shall be deemed to constitute one and the same instrument.
- 8. <u>Miscellaneous</u>. Sections 8.2 (*Amendment; Waiver*), 8.3 (*Entire Agreement*), 8.5 (*Specific Performance*), 8.8 (*Provisions Separable*) and 8.12 (*Waiver of Jury Trial*) of the SPA are hereby incorporated by reference and shall apply to this Agreement, *mutatis mutandis*.

[Signature Page Follows]

# MUFG UNION BANK, N.A.

By:	Wast C Trum
<b>2</b> ).	Name: Mark C. Thumser
	Title: Chief Financial & Strategy Officer
	Address: 1251 Avenue of the Americas New York, NY 10020
	OC COMMUNITY DEVELOPMENT
CO	RPORATION
By:	
	Name:
	Title:
	Address:
	ONBANC INVESTMENT SERVICES
LL(	
By:	
	Name:
	Title:
	Address:
HIG INC	SHMARK CAPITAL MANAGEMENT !.
By:	
J	Name:
	Title:
	Address:
	E CALIFORNIA-SANSOME RPORATION
By:	N.
	Name:
	Title: Address:
	Audicss.

[Signature Page to Intellectual Property Assignment Agreement (Seller Marks and Excluded IP)]

MUFG UNION BANK, N.A.

# By: \_ Name: Title: Address: UBOC COMMUNITY DEVELOPMENT **CORPORATION** Name: WILLIAM CHATHAM Title: MA REL MIRONBONN Address: UNIONBANC INVESTMENT SERVICES LLC By: Name: Title: Address: HIGHMARK CAPITAL MANAGEMENT, INC. By: Name: Title: Address: THE CALIFORNIA-SANSOME **CORPORATION** By: Name: Title:

[Signature Page to Intellectual Property Assignment Agreement (Seller Marks and Excluded IP)]

Address:

MUFG UNION BANK, N.A.

# By: Name: Title: Address: UBOC COMMUNITY DEVELOPMENT CORPORATION By: Name: Title: Address: UNIONBANC INVESTMENT SERVICES LLC Name: Michael S. Mortensen Title: CEO U.B.T. 5 LLC Address: 530 B Street, Suite 2400 San Diego, CA 92101 HIGHMARK CAPITAL MANAGEMENT, INC. By: Name: Title: Address: THE CALIFORNIA-SANSOME CORPORATION By: Name: Title:

Address:

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MUFGUNION BANK, N.A.

# Name: Title: Address: **UBOC COMMUNITY DEVELOPMENT** CORPORATION Name: Title: Address: UNIONBANC INVESTMENT SERVICES LLC Name: Title: Address: HIGHMARK CAPITAL MANAGEMENT. INC. By: 7/2/2 SAULD PITTMANS Name: SAULD PITTMANS Visie: CEO HIJAMONA CAPITAL Address: 445 So. Figueroa Street, 20th Floor THE CALIFORNIA-SANSOME CORPORATION CORPORATION Name: Title: Address:

# By: Name: Title: Address: UBOC COMMUNITY DEVELOPMENT CORPORATION By: Name: Title: Address: UNIONBANC INVESTMENT SERVICES LLC By: Name:

# HIGHMARK CAPITAL MANAGEMENT, INC.

By:	
_	Name:
	Title:
	Address:

# THE CALIFORNIA-SANSOME CORPORATION

Address:

Title: Address:

By:	Jennifer Lin Digitally signed by Jennifer Lin Date: 2022.11.23 17:18:44
	Name: Jennifer Lin
	Title: Director

# UNIONBANCAL MORTGAGE CORPORATION

	Paula Iwasaki Digitally signed by Paula Iwasaki Bate: 2022.11.21 14:44.00 -08'00'
By:	
	Name: Paula Iwasaki
	Title: President
	Address: 9885 Towne Centre Drive
	San Diego CA 92121
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By:	
•	Name:
	Title:
	Address:
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# UNIONBANCAL MORTGAGE CORPORATION

By:	
•	Name:
	Title:
	Address:
MU	FG UNION BANK FOUNDATION
By:	Mz 149
-	Name: Kathy Patoff
	Title: COO, MUFG Union Bank
	Foundation
	Address: 530 B Street Ste 1450 San Diego,
	CA 92101
	FG AMERICAS HOLDINGS RPORATION
By:	
	Name:
	Title:

Address:

# UNIONBANCAL MORTGAGE CORPORATION

By:	
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	Name:
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COI	RPORATION
	12.1 Jan
By:	
	Name: Masatoshi Komoriya
	Title: Executive Chairman
	Address:

# **SCHEDULE 1**

# **SELLER MARKS**

# **Trademarks:**

Mark	Jurisdicti on	Appl. No.	File Date	Reg. No.	Reg. Date
BTMU TRADE MANAGER	United States of America	78/713559	15-Sep-05	3,277,366	7-Aug-07
MUFG UNION BANK	United States of America	86,088,614	10-Oct-13	4,682,717	3-Feb-15
MUFG AMERICAS HOLDINGS	United States of America	86/088599	10-Oct-13	4,682,716	3-Feb-15

# **Domain Names:**

- mufgexchange.com
- bancomufg.com
- bancomufg.info
- bancomufg.net
- bancomufg.org
- btmu-americas.com
- btmucapital.com
- btmuglobal.net
- intrepidib.com
- meetmufg.biz
- meetmufg.com
- meetmufg.info
- meetmufg.net
- meetmufg.org
- mufg-americas-careers.com
- mufg-americas.biz
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**RECORDED: 12/22/2022**