# CH \$165,00 55412

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM785915

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
LIQUIDIA TECHNOLOGIES, INC.		01/27/2023	Corporation: DELAWARE

# **RECEIVING PARTY DATA**

Name:	HCR COLLATERAL MANAGEMENT, LLC	
Street Address:	300 Atlantic Street, Suite 600	
City:	Stamford	
State/Country:	CONNECTICUT	
Postal Code:	06901	
Entity Type:	Limited Liability Company: DELAWARE	

# **PROPERTY NUMBERS Total: 6**

Property Type	Number	Word Mark
Registration Number:	5541277	PRINT
Registration Number:	5443598	LIQUIDIA TECHNOLOGIES
Registration Number:	3694178	PRINT
Registration Number:	3346353	PRINT
Registration Number:	3321419	LIQUIDIA TECHNOLOGIES
Registration Number:	6646535	FLUOROCUR

# **CORRESPONDENCE DATA**

**Fax Number:** 2149813400

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone:2149813483Email:dclark@sidley.comCorrespondent Name:Dusan Clark, Esq.Address Line 1:Sidley Austin LLP

Address Line 2: 2021 McKinney Ave., Suite 2000

Address Line 4: Dallas, TEXAS 75201

ATTORNEY DOCKET NUMBER:	101214-10020
NAME OF SUBMITTER:	Dusan Clark
SIGNATURE:	/Dusan Clark/

TRADEMARK REEL: 007966 FRAME: 0601 **DATE SIGNED:** 02/09/2023

# **Total Attachments: 3**

source=HCRX-Liquidia - Notice of Trademark Security Interest (Liquidia Technologies, Inc.) [EXECUTED]#page1.tif source=HCRX-Liquidia - Notice of Trademark Security Interest (Liquidia Technologies, Inc.) [EXECUTED]#page2.tif source=HCRX-Liquidia - Notice of Trademark Security Interest (Liquidia Technologies, Inc.) [EXECUTED]#page3.tif

TRADEMARK REEL: 007966 FRAME: 0602

### MEMORANDUM OF SECURITY INTEREST IN TRADEMARKS

United States Department of Commerce Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231

Ladies and Gentlemen:

Pursuant to that certain Security Agreement, dated as of January <sup>27</sup>, 2023 (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"), among LIQUIDIA TECHNOLOGIES, INC., a Delaware corporation (the "Borrower"), and the other entities identified as "Grantor" thereunder and thereto from time to time in favor of HCR COLLATERAL MANAGEMENT, LLC, a Delaware limited liability company, as Investor Representative (in such capacity, the "Investor Representative") for the benefit of the Beneficiaries (as defined in the Security Agreement), the Borrower has granted to Investor Representative (the "Lender Representative") a continuing security interest in, and a continuing lien upon, the Trademarks listed in Schedule A hereto (the "Trademark Collateral") except for "intent-to-use" trademark applications until such time as a statement of use has been filed with and accepted by the United States Patent and Trademark Office, to the extent that Applicable Law or regulation prohibits the creation of a security interest or would otherwise result in the loss of rights from the creation of such security interest, together with the goodwill of the business connected with the use of and symbolized by the Trademark Collateral. The Lender Representative's security interest in the Trademark Collateral can only be terminated in accordance with the terms of the Security Agreement.

Capitalized terms used but not otherwise defined herein shall have the meanings assigned thereto in the Security Agreement.

Dated: January 27, 2023

Very truly yours,

LIQUIDIA TECHNOLOGIES, INC.

y: \_\_\_\_\_

NameRussell Schundler Title: Secretary

TRADEMARK REEL: 007966 FRAME: 0603 ACKNOWLEDGED BY:

HCR COLLATERAL MANAGEMENT, LLC,

as Lender Representative

DocuSigned by:

y: Uarke B. Futch

Name: Clarke B. Futch

Title: Chief Executive Officer

REEL: 007966 FRAME: 0604

### Schedule A

- 1. PRINT (Registration No. 5541277)
- 2. LIQUIDIA TECHNOLOGIES (Registration No. 5443598)
- 3. PRINT (Registration No. 3694178)
- 4. PRINT (Registration No. 3346353)

RECORDED: 02/09/2023

- 5. LIQUIDIA TECHNOLOGIES (Registration No. 3321419)
- 6. FLUOROCUR (Registration No. 6646535)
- 7. LIQUIDIA (Application No. 90218085) (INTENT TO USE APPLICATION)
- 8. YUTREPIA (Application No. 88749075) (INTENT TO USE APPLICATION)
- 9. YUTREPIA (Application No. 88899379) (INTENT TO USE APPLICATION)
- 10. ZENLIFIA (Application No. 88403824) (INTENT TO USE APPLICATION)
- 11. LIQUIDIA (Application No. 88403819) (INTENT TO USE APPLICATION)
- 12. PAHVIMY (Application No. 88403738) (INTENT TO USE APPLICATION)
- 13. TREPLIFI (Application No. 88403720) (INTENT TO USE APPLICATION)
- 14. LIQUIDIA (Application No. 88403710) (INTENT TO USE APPLICATION)

TRADEMARK
REEL: 007966 FRAME: 0605