

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM797411

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Certified Financial Planner Board of Standard, Inc.		01/23/2023	Corporation: COLORADO
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Certified Financial Planner Board of Standards Center for Financial Planning, Inc.		
<b>Street Address:</b>	1425 K Street, N.W.		
<b>Internal Address:</b>	Suite 800		
<b>City:</b>	Washington		
<b>State/Country:</b>	D.C.		
<b>Postal Code:</b>	20005		
<b>Entity Type:</b>	Corporation: COLORADO		
<b>PROPERTY NUMBERS Total: 19</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	97552009	CERTIFIED FINANCIAL PLANNER	
<b>Registration Number:</b>	3756512	CERTIFIED FINANCIAL PLANNER BOARD OF STA	
<b>Registration Number:</b>	2539790	CFP	
<b>Registration Number:</b>	4889638	CFP	
<b>Registration Number:</b>	4889637	CFP	
<b>Serial Number:</b>	97610050	CFP	
<b>Registration Number:</b>	1843933	CFP	
<b>Registration Number:</b>	3710963	CFP BOARD	
<b>Registration Number:</b>	4996230	CFP BOARD	
<b>Registration Number:</b>	5871525	CFP BOARD CENTER FOR FINANCIAL PLANNING	
<b>Registration Number:</b>	5871524	CFP BOARD CENTER FOR FINANCIAL PLANNING	
<b>Registration Number:</b>	5881344	CFP BOARD EMERITUS	
<b>Registration Number:</b>	5892911	CFP BOARD EMERITUS	
<b>Registration Number:</b>	6285077	CLIENT PSYCHOLOGY	
<b>Registration Number:</b>	5815480	FINANCIAL PLANNING REVIEW	
<b>Registration Number:</b>	4132816	LET'S MAKE A PLAN!	
<b>Serial Number:</b>	97427716	PSYCHOLOGY OF FINANCIAL PLANNING	

OP \$490.00 97552009

Property Type	Number	Word Mark
Serial Number:	97430907	PSYCHOLOGY OF FINANCIAL PLANNING
Serial Number:	97605126	THE PSYCHOLOGY OF FINANCIAL PLANNING

**CORRESPONDENCE DATA**

**Fax Number:** 2028576395

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 202-857-6000

**Email:** tmdocket@afslaw.com

**Correspondent Name:** Thorne Maginnis

**Address Line 1:** ArentFox Schiff LLP

**Address Line 2:** 1717 K Street, NW

**Address Line 4:** Washington, D.C. 20006-5344

<b>ATTORNEY DOCKET NUMBER:</b>	037036.00000
<b>NAME OF SUBMITTER:</b>	Thorne Maginnis
<b>SIGNATURE:</b>	/Thorne Maginnis/
<b>DATE SIGNED:</b>	03/24/2023

**Total Attachments: 6**

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Colorado Secretary of State  
 Date and Time: 01/23/2023 07:46 AM  
 ID Number: 19871630166  
 Document number: 20231072764  
 Amount Paid: \$25.00

Document must be filed electronically.  
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ABOVE SPACE FOR OFFICE USE ONLY

**Amended and Restated Articles of Incorporation**

filed pursuant to §7-90-301, et seq. and §7-130-106 and §7-90-304.5 of the Colorado Revised Statutes (C.R.S.)

1. For the entity, its ID number and entity name are

ID number 19871630166  
*(Colorado Secretary of State ID number)*  
 Entity name CERTIFIED FINANCIAL PLANNER BOARD OF STANDARDS, INC.

2. The new entity name (if applicable) is Certified Financial Planner Board of Standards Center for Financial Planning, Inc.

3. The amended and restated constituent filed document is attached.

4. The amendment to the articles of incorporation was in the manner indicated below: (make the applicable selection)

The amendment and restatement was adopted by the board of directors or incorporators without member action and member action was not required.

The amendment and restatement was adopted by the members AND the number of votes cast for the amendment by each voting group entitled to vote separately on the amendment was sufficient for approval by that voting group.

*(If the amended and restated articles of incorporation include amendments adopted on a different date or in a different manner, mark this box  and include an attachment stating the date and manner of adoption.)*

5. *(Caution: Leave blank if the document does not have a delayed effective date. Stating a delayed effective date has significant legal consequences. Read instructions before entering a date.)*

*(If the following statement applies, adopt the statement by entering a date and, if applicable, time using the required format.)*

The delayed effective date and, if applicable, time of this document is/are \_\_\_\_\_.  
*(mm/dd/yyyy hour:minute am/pm)*

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Causing this document to be delivered to the Secretary of State for filing shall constitute the affirmation or acknowledgment of each individual causing such delivery, under penalties of perjury, that such document is such individual's act and deed, or that such individual in good faith believes such document is the act and deed of the person on whose behalf such individual is causing such document to be delivered for filing, taken in conformity with the requirements of part 3 of article 90 of title 7, C.R.S. and, if applicable, the constituent documents and the organic statutes, and that such individual in good faith believes the facts stated in such document are true and such document complies with the requirements of that Part, the constituent documents, and the organic statutes.

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WASHINGTON DC 20006  
(City) (State) (Postal/Zip Code)  
United States  
(Province – if applicable) (Country – if not US)

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**AMENDED AND RESTATED  
ARTICLES OF INCORPORATION  
OF  
CERTIFIED FINANCIAL PLANNER BOARD OF STANDARDS CENTER FOR  
FINANCIAL PLANNING, INC.**

Pursuant to the Colorado Revised Nonprofit Corporation Act (the “Act”), Certified Financial Planner Board of Standards, Inc., a Colorado nonprofit corporation, hereby amends and restates in their entirety its articles of incorporation. These amended and restated articles of incorporation include amendments to the amended and restated articles of incorporation and have been adopted as provided in Colorado Revised Statutes (“C.R.S.”), section 7-130-102, by the board of directors of the corporation upon receiving the affirmative vote of a majority of the directors then in office. There are no voting members of the corporation; therefore, no member action was required to approve these amended and restated articles of incorporation. No approval of these amended and restated articles of incorporation by any person or body other than the board of directors is required. These amended and restated articles of incorporation (1) correctly set forth the provisions of the articles of incorporation, as amended, (2) have been duly adopted as required by law, and (3) supersede the amended and restated articles of incorporation and all amendments thereto.

**ARTICLE I.  
NAME**

The name of the corporation is Certified Financial Planner Board of Standards Center for Financial Planning, Inc.

**ARTICLE II.  
DURATION**

The corporation shall have perpetual existence.

**ARTICLE III.  
PURPOSES AND POWERS**

Section 3.1 Purposes. The corporation is organized and shall be operated exclusively for charitable and educational purposes within the meaning of section 501(c)(3) of the Internal Revenue Code. Subject to the foregoing, the specific purposes and objectives of the corporation shall include but not be limited to the following:

- (a) To create a more diverse and sustainable financial planning profession by conducting research, convening stakeholders, and leading initiatives dedicated to (1) developing the next generation of competent and ethical financial planners to serve the public, (2) addressing the lack of gender and racial diversity in the financial planner workforce, and (3) elevating the rigor of and enhancing the body of knowledge for the academic discipline of financial

planning.

- (b) To engage in other charitable and educational activities as determined by the Board of Directors.

Section 3.2 Powers. In furtherance of the foregoing purposes and objectives (but not otherwise) and subject to the restrictions set forth in Section 3.3, the corporation shall have and may exercise all of the powers now or hereafter conferred upon nonprofit corporations organized under the laws of Colorado and may do everything necessary or convenient for the accomplishment of any of the corporate purposes, either alone or in connection with other organizations, entities or individuals, and either as principal or agent, subject to such limitations as are or may be prescribed by law.

Section 3.3 Restrictions on Powers.

(a) No part of the net earnings of the corporation shall inure to the benefit of or be distributable to any director or officer of the corporation or any other individual (except that reasonable compensation may be paid for services rendered to or for the benefit of the corporation affecting one or more of its purposes), and no director or officer of the corporation or any other individual shall be entitled to share in any distribution of any of the corporate assets on dissolution of the corporation or otherwise.

(b) No substantial part of the activities of the corporation shall consist of carrying on propaganda or otherwise attempting to influence legislation. However, if the corporation is an organization to which section 501(h) of the Internal Revenue Code applies and the corporation has effectively elected to have such section apply, the corporation shall have power to carry on the activities permitted by such section, but only to the extent such activities shall not result in the denial of exemption under such section. The corporation shall not participate or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office.

(c) Upon dissolution of the corporation, all of the corporation's assets remaining after payment of or provision for all of its liabilities shall be paid over or transferred to and among one or more exempt organizations described in section 501(c)(3) of the Internal Revenue Code, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code. The organizations to receive such property, and their respective shares and interests, shall be determined by the board of directors.

(d) Notwithstanding any other provision of these articles of incorporation, the corporation shall not carry on any activities not permitted to be carried on by a corporation exempt from federal income tax as an organization described in section 501(c)(3) of the Internal Revenue Code, or by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code.

(e) All references in these articles of incorporation to provisions of the Internal Revenue Code are to the provisions of the Internal Revenue Code of 1986, as amended, and to the

corresponding provisions of any subsequent federal tax laws.

**ARTICLE IV.  
OFFICES**

The address of the current principal office of the corporation is 1425 K Street NW, Suite 800, Washington, DC 20005.

**ARTICLE V.  
MEMBERS**

The corporation shall have no voting or nonvoting members. The corporation shall have no capital stock.

**ARTICLE VI.  
BOARD OF DIRECTORS**

Section 6.1 General. The management of the affairs of the corporation shall be vested in a board of directors, except as otherwise provided in the Act, these articles of incorporation or the bylaws of the corporation. The number of directors, their classifications, if any, their terms of office and the manner of their election or appointment shall be as provided from time to time in the bylaws of the corporation.

Section 6.2 Liability of Directors. No director shall be personally liable to the corporation for monetary damages for breach of fiduciary duty as a director, except that the foregoing shall not eliminate or limit liability of a director to the corporation for monetary damages for the following: (a) any breach of the director's duty of loyalty to the corporation, (b) acts or omissions not in good faith or which involve intentional misconduct or a knowing violation of law, (c) acts specified in C.R.S. section 7-28-403, as it now exists or hereafter may be amended, or (d) any transaction from which the director directly or indirectly derived an improper personal benefit. If the Act hereafter is amended to authorize the further elimination or limitation of the liability of directors, then the liability of a director of the corporation, in addition to the limitation on personal liability provided herein, shall be further eliminated or limited to the fullest extent permitted by the Act. Any repeal or modification of this Section 6.2 shall be prospective only and shall not adversely affect any right or protection of a director of the corporation existing at the time of such repeal or modification.

**ARTICLE VII.  
BYLAWS**

The bylaws of the corporation shall be as adopted by the board of directors. Except to the extent limited by the Colorado Revised Nonprofit Corporation Act, the board of directors shall have power to alter, amend or repeal the bylaws from time to time in force and adopt new bylaws. The bylaws of the corporation may contain any provisions for the managing and regulating of the affairs of the corporation that are not inconsistent with law or these articles of incorporation, as these articles may from time to time be amended. However, no bylaw shall have the effect of giving any director or officer of the corporation or any other individual any proprietary interest in

the corporation's property, whether during the term of the corporation's existence or as an incident to its dissolution.

**ARTICLE VIII.  
AMENDMENTS**

The board of directors shall have the exclusive power and authority to amend these articles of incorporation at any time by the vote of a majority of the directors then in office.

**DATED January 23, 2022**