

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM820439

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the Assignor and Assignee names previously recorded on Reel 008027 Frame 0367. Assignor(s) hereby confirms the Assignment of Reg. No. 422217 from Viveve, Inc. to CRG SERVICING LLC.		
<b>SEQUENCE:</b>	1		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Viveve, Inc.		06/20/2023	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	CRG SERVICING LLC		
<b>Street Address:</b>	1000 Main St., Suite 2500		
<b>City:</b>	Houston		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	77002		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	422217	VIVEVE	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2028427899		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2028427800		
<b>Email:</b>	mobleysg@cooley.com		
<b>Correspondent Name:</b>	John Paul Oleksiuk, Cooley LLP		
<b>Address Line 1:</b>	1299 Pennsylvania Avenue, NW, Suite 700		
<b>Address Line 4:</b>	Washington, D.C. 20004-2400		
<b>ATTORNEY DOCKET NUMBER:</b>	321831-136		
<b>NAME OF SUBMITTER:</b>	Susan Mobley		
<b>SIGNATURE:</b>	/Susan Mobley/		
<b>DATE SIGNED:</b>	06/28/2023		
<b>Total Attachments: 23</b>			
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## CORRECTED TRANSFER STATEMENT

This Corrected Transfer Statement (“*Transfer Statement*”) is made by CRG Servicing LLC as administrative and collateral agent (“*Secured Party*”) pursuant to Section 9-619 of the New York Uniform Commercial Code (“*UCC*”). This Transfer Statement hereby replaces in its entirety that certain Transfer Statement that was previously recorded with the United States Patent and Trademark Office on March 30, 2023. Pursuant to Section 9-619 of the UCC, Secured Party provides the following statements of fact:

1. Reference is made to that certain Term Loan Agreement, dated as of May 22, 2017 and as amended by the Waiver and Amendment to Loan Agreement dated as of November 29, 2017, as modified by Waiver No. 2 to Loan Agreement, dated as of December 12, 2017, as further amended by Amendment No. 2 to Loan Agreement, dated as of November 29, 2018 and as further amended, supplemented or otherwise modified from time to time (the “*Loan Agreement*”), between Viveve Medical, Inc., a Delaware corporation, as borrower (“*Borrower*”), Viveve, Inc., a Delaware corporation (together with Borrower, the “*Debtors*”), the lenders from time to time party thereto (the “*Lenders*”), and Secured Party, as administrative agent and collateral agent. Capitalized terms used herein and not otherwise defined have the meanings given to such terms in the Loan Agreement.

2. Pursuant to the Loan Agreement, Lenders made Loans to the Borrower in the aggregate principal amount not less than \$5,991,653. The Loans and the other Obligations were secured by the Collateral (as defined in the Loan Agreement).

3. The Debtors defaulted in connection with their obligations under the Loan Agreement that were secured by the Collateral (as defined in the Loan Agreement). Accordingly, on March 2, 2023, Secured Party sent the Debtors a Notice of Default under Loan Agreement informing the Debtors that they were in default of the Loan Agreement, terminating all Commitments, and declaring all outstanding Loans and Obligations to be due and payable.

4. Secured Party exercised its post-default remedies with respect to the Collateral (as defined in the Loan Agreement). Specifically, on March 15, 2023, Secured Party sent the Debtors a Notification of Disposition of Collateral at a Public Sale providing notice that Secured Party intended to dispose of certain Collateral at a public sale pursuant to Sections 9-611, 9-612, and 9-613 of the UCC. On March 15, 2023, the Secured Party caused to be published in the national edition of the New York Times a Notice of Disposition of Collateral at a Public Sale.

5. On March 27, 2023, commencing at 10:00 a.m. (EST), Evan Lazerowitz, an attorney at Cooley LLP, conducted an auction sale at the offices of Cooley LLP, 55 Hudson Yards, New York, New York 10001 pursuant to Section 9-611 of the UCC. The Secured Party made a credit bid, which was the highest bid received, and the Secured Party acquired the Debtors’ right, title and interest in and to certain Collateral (the “*Assets*”) as defined in and described on the attached **Exhibit A**. Secured Party executed a Bill of Sale on March 27, 2023, evidencing the sale, transfer, and assignment of the Assets to Secured Party.

6. By reason of the Secured Party’s exercise of its post-default remedies, a transferee, Loan Security Holdings II LLC (the “*Transferee*”), has acquired the rights of the

Debtors in the Assets as evidenced by an Assignment Agreement dated March 27, 2023, entered into between Secured Party and Transferee.

7. Pursuant to Section 9-619 of the UCC, Secured Party is filing this Transfer Statement to evidence the transfer of title to the Assets to Transferee.

8. The name and mailing address of Secured Party, Debtors and Transferee are set forth on the signature page below.

**BASED ON THE ABOVE-MENTIONED FACTS, SECURED PARTY WISHES TO FILE THIS TRANSFER STATEMENT, EFFECTIVE AS OF MARCH 27, 2023.**

**SECURED PARTY:**

CRG SERVICING LLC  
1000 Main St., Suite 2500  
Houston, TX 77002

**TRANSFEE:**

LOAN SECURITY HOLDINGS II LLC  
1000 Main St., Suite 2500  
Houston, TX 77002

By:  \_\_\_\_\_

Name: JP Giroux

Title: Authorized Signatory

June 20, 2023

**DEBTORS:**

Viveve Medical, Inc.  
c/o Verdolino & Lowey, P.C.  
124 Washington Street, Suite 101  
Foxboro, MA 02035  
Attn: Craig R. Jalbert, CIRA

Viveve, Inc.  
c/o Verdolino & Lowey, P.C.  
124 Washington Street, Suite 101  
Foxboro, MA 02035  
Attn: Craig R. Jalbert, CIRA

## Exhibit A to Transfer Statement

The Assets consist of all of Debtors' right, title and interest in and to the following (with all capitalized terms not otherwise defined herein having the respective meanings given to such terms in the Uniform Commercial Code as in effect in the State of New York as of March 27, 2023):

- All cash, cash equivalents, marketable securities, money market funds and other moneys of the Debtors;
- All patents, trademarks and other intellectual property of the Debtors, including, but not limited to, the patents and trademarks listed on Exhibit B, including the following:
  - (a) any and all applications, patents, or registrations thereof, including any legal equivalent thereof in a foreign country, including the right to claim priority both in the United States and in all foreign countries, in and to, all Letters Patent and registrations to be obtained for the Asset or any continuation, division, renewal, non-provisional, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof;
  - (b) in the case of such trademarks, all of the goodwill of the business connected with the use of and symbolized by any and all of the marks; and
  - (c) all causes of action for any and all previously occurring infringements of the rights being assigned and the right to receive and retain proceeds relating to those infringements and all other rights to collect damages for past infringement or misappropriation of any of the foregoing;
- All rights, including, but not limited to, Accounts, Payment Intangibles and other rights to payment, in and under the Intellectual Property Assignment and License Agreement with Edward W. Knowlton, dated as of February 10, 2006;
- All rights, including, but not limited to, Accounts, Payment Intangibles and other rights to payment, in and under the Settlement and License Agreement, dated June 3, 2018 by and between the Debtor, ThermiGen LLC and ThermiAesthetics LLC and Dr. Red Alinsod;
- All rights, including, but not limited to rights to intellectual property rights, in and under the Development and Manufacturing Agreement, dated June 12, 2006 with Stellartech;
- All rights, including, but not limited to rights to intellectual property rights, in and under the Amended and Restated Development and Manufacturing Agreement, dated October 4, 2007 with Stellartech;
- All inventory, including both inventory constituting "finished goods" and inventory consisting of raw materials;
- Customer lists of the Debtors, including direct customers, "customers via partner", and subscription customers; and
- All rights to insurance, insurance proceeds and the proceeds resulting from the refund of insurance policies, including, but not limited to, the directors and officers insurance policy.

To the extent not otherwise included, all Proceeds and products of any and all of the foregoing, all accessions to, substitutions and replacements for, and rents and profits of, the foregoing and

all books, correspondence, credit files, records, invoices and other papers relating to the foregoing.

## Exhibit B to Transfer Statement

### Intellectual Property

#### PATENTS AND PATENT APPLICATIONS

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	US	9/16/2015	62/219,354	Expired	Viveve, Inc.
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	US	9/16/2016	15/268,398	Pending	Viveve, Inc.
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	PCT	9/16/2016	PCT/US2016/052320	Pending	Viveve, Inc.
SYSTEM FOR ENDOVAGINAL DELIVERY OF THERAPEUTIC ENERGIES	US	5/19/2017	62/508,856	Expired	Viveve, Inc.
VAGINAL REJUVENATION TREATMENT DEVICE AND METHODS	US	2/7/2006	60/743,247	Expired	Tivamed, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	2/7/2007	8961511	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	2/23/2015	14/629,186	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PCT	2/7/2007	PCT/US07/03542	Expired	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	US	9/18/2009	61/243,686	Expired	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	9/16/2010	9271785	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	1/19/2016	15/001,021	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PCT	9/16/2010	PCT/US10/49045	Expired	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	AT	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	AU	9/16/2010	2010295586	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	BE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	BR	9/16/2010	11 2012 0060597	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CA	9/16/2010	2774265	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CH	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CZ	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	DE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	DK	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	EP	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	ES	9/16/2010	2477695	Issued	Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE AND METHODS	FR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	GB	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	GR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HK	9/16/2010	1167590	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HU	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	IE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	IN	9/16/2010	2321/DELNP/2012	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	IT	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	JP	9/16/2010	5763646	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	KR	9/16/2010	10-1643799	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	KR	9/16/2010	10-1681880	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	KR	9/16/2010	10-2016-7032868	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	LU	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	MC	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	NL	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	NO	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PL	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PT	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	SE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	SI	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	TR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	TW	9/29/2010	99133093	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CN	5/18/2012	ZL201080049557.4	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CN	4/12/2016	201610223768.4	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	MC	5/30/2016	J/002193	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HK	9/7/2016	16110627.2	Pending	Viveve, Inc.
TREATMENT DEVICE FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	201220099603.8	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	HK	3/11/2013	1175355	Issued	Viveve, Inc.
THERAPY DEVICE WITH ELECTRODE FINGER COT FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	201220099605.7	Issued	Viveve, Inc.



Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE WITH ELECTRODE FINGER HOLDER	HK	3/11/2013	1175354	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH TEMPERATURE SENSOR	CN	3/16/2012	ZL20122009961 8.4	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH TEMPERATURE SENSOR	HK	3/11/2013	1175353	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH DIRECTIONAL SENSORS	CN	3/16/2012	ZL20122009962 0.1	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH DIRECTIONAL SENSORS	HK	3/11/2013	1175352	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND SYSTEM WITH DEPTH MARKERS	CN	3/16/2012	ZL20122009963 4.3	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	HK	3/11/2013	1175351	Issued	Viveve, Inc.
CURER FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	201210069908.9	Pending	Viveve, Inc.
VAGINAL TISSUE REPAIR TREATMENT DEVICE WITH TEMPERATURE SENSOR OR DEPTH MARKER	CN	3/16/2012	201210069910.6	Pending	Viveve, Inc.
THERAPEUTIC EQUIPMENT USED FOR REPAIRING VAGINA TISSUE AND PROVIDED WITH DIRECTION SENSOR OR DEPTH LABEL	CN	3/16/2012	ZL 201210069909.3	Issued	Viveve, Inc.
CURER FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	ZL20121006990 6.X	Issued	Viveve, Inc.
CURER FOR REPAIRING FEMALE VAGINAL TISSUE	HK	3/15/2014	1189531	Issued	Viveve, Inc.
THERAPEUTIC DEVICE FOR REPAIRING FEMALE VAGINAL TISSUES	CN	3/16/2012	ZL20120099648. 5	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	MC	5/30/2016	J/002194	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	HK	3/11/2013	1175350	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	3/15/2013	9415235	Issued	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	PCT	3/15/2013	PCT/US13/3206 6	Expired	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	BR	9/16/2014	1120140228663. 0	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	CA	10/6/2014	2869728	Pending	Obtaining confirmatory Assignment to Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE AND METHOD	DE	3/10/2015	202013012099.1	Issued	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	EP	10/10/2014	13760612.5	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	IN	10/15/2014	2014MUMNP2062	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	JP	9/16/2014	2015-500653	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	KR	10/16/2014	1020147029000	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	MX	9/15/2014	341571	Issued	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE	RU	10/14/2014	2014141309	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	SG	9/11/2014	11201405630T	Abandoned	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	TW	3/14/2013	102109033	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	CN	4/19/2016	201610244844.X	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	SG	9/16/2016	10201607753W	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	HK	10/6/2016	16111609	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	US	6/21/2016	15/188,948	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
METHOD AND APPARATUS FOR SURGICAL DISSECTION	US	7/14/2003	7442192	Issued	Licensed
METHODS FOR TREATING URINARY STRESS INCONTINENCE	US	11/29/2022	11511110	Issued	Viveve, Inc.
DEVICES FOR DUAL MECHANISM AESTHETIC TREATMENT AND METHODS OF USE THEREOF	US	7/31/2019	16/482581	Pending	Viveve, Inc.
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	US	9/18/2020	17/025912	Pending	Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE AND METHODS	US	10/26/2021	11154349	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	4/13/2021	17/228,999	Pending (OA Issued)	No Assignment Recorded
VAGINAL REMODELING DEVICE AND METHODS	US	10/7/2021	17/496,448	Pending (OA issued)	No Assignment Recorded
METHOD AND APPARATUS FOR ELECTROSURGICAL TISSUE TREATMENT	US	7/14/2002	60/396,038	Expired	No Assignment Recorded
METHOD AND APPARATUS FOR SURGICAL DISSECTION	US	10/3/2002	60/416,206	Expired	No Assignment Recorded
METHOD AND APPARATUS FOR SURGICAL DISSECTION	US	10/13/2002	60/418,089	Expired	No Assignment Recorded
THERAPEUTIC COOLING METHODS FOR TREATING TISSUE	US	1/29/2021	63/143,698	Expired	Viveve, Inc.
DEVICES FOR DUAL MECHANISM AESTHETIC TREATMENT AND METHODS OF USE THEREOF	US	1/31/2017	62/452,889	Expired	Viveve, Inc.
METHODS FOR TREATING URINARY STRESS INCONTINENCE	US	6/27/2018	62/690,534	Expired	Viveve, Inc. and Bruce Baldwin Allan
DEVICES FOR DUAL MECHANISM AESTHETIC TREATMENT AND METHOD OF USE THEREOF	US	1/28/2022	17/587,993	Pending	No Assignment Recorded
METHOD FOR TREATING URINARY STRESS INCONTINENCE	US	11/4/2022	17/981,174	Pending	Not publically available
METHOD AND APPARATUS FOR SURGICAL DISSECTION	US	4/2/2008	12/061,090	Abandoned	No Assignment Recorded

**TRADEMARKS AND TRADEMARK APPLICATIONS**

<b>Mark</b>	<b>Jurisdiction</b>	<b>Status</b>	<b>Application No.</b>	<b>Application Date</b>	<b>Registration No.</b>	<b>Registration Date</b>
VIVEVE	Argentina	Registered	2996075	4/19/2010	2424113	2/21/2011
VIVEVE	Argentina	Registered	2996074	4/19/2010	2423938	2/21/2011
VIVEVE	Argentina	Registered	2996073	4/19/2010	2423937	2/21/2011
VIVEVE (From Int'l Reg No. 1024357)	Australia	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	Brazil	Pending	911579150	9/2/2016		
GENEVEVE	Brazil	Pending	911579192	9/2/2016		
GENEVEVE	Brazil	Published	912266821	2/7/2017		
GENEVEVE (Expanded)	Brazil	Published	912266872	2/7/2017		
Miscellaneous Design (Geneveve Logo)	Brazil	Pending	911579036	9/2/2016		
Miscellaneous Design (Geneveve Logo)	Brazil	Pending	911579109	9/2/2016		
VIVEVE	Brazil	Registered	830522239	1/27/2010	830522239	12/18/2012
VIVEVE	Canada	Registered	1460488	11/25/2009	TMA859758	9/10/2013
GENEVEVE	China	Published	17766348	8/27/2015		
GENEVEVE	China	Published	17744749	8/25/2015		
GENEVEVE (Expanded)	China	Pending	tbd	4/11/2017		
Miscellaneous Design (Geneveve Logo)	China	Pending	20990207	8/16/2016		
VIVEVE (Chinese Characters)	China	Registered	10892457	8/14/2013	10892457	8/14/2013
VIVEVE (Chinese Characters)	China	Registered	10892458	8/14/2013	10892458	8/14/2013
VIVEVE (Chinese Characters)	China	Registered	10892459	8/14/2013	10892459	8/14/2013
VIVEVE (From Int'l Reg No. 1024357)	China	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Colombia	Registered	9146592	12/22/2009	403764	6/24/2010
VIVEVE	Colombia	Registered	9146590	12/22/2009	403763	6/24/2010
GENEVEVE	European Community	Registered	15802838	9/5/2016	015802838	1/6/2017
GENEVEVE (Expanded)	European Community	Published	16326837	2/7/2017		
Miscellaneous Design (Geneveve Logo)	European Community	Registered	15802887	9/5/2016	15802887	1/6/2017
VIVEVE (From Int'l Reg No. 1024357)	European Community	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Hong Kong	Registered	301484956	11/26/2009	301484956	6/14/2010
VIVEVE	India	Registered	1892699	12/7/2009	1892699	6/6/2016
VIVEVE	Israel	Registered	226640	1/24/2010	226640	3/7/2011
VIVEVE	Israel	Registered	226638	1/24/2010	226638	3/7/2011
VIVEVE	Israel	Registered	226639	1/24/2010	226639	3/7/2011
VIVEVE (From Int'l Reg No. 1024357)	Japan	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Madrid Protocol (TM)	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Mexico	Registered	1063744	1/29/2010	1144600	2/22/2010
VIVEVE	Mexico	Registered	1063725	1/29/2010	1144596	2/22/2010
VIVEVE	Mexico	Registered	1063741	1/29/2010	1144599	2/22/2010
VIVEVE (From Int'l Reg No. 1024357)	Norway	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	Republic of Korea	Registered	4520150007909	8/26/2015	45-0067590	8/31/2016
GENEVEVE (Expanded)	Republic of Korea	Pending	4020170046090	4/11/2017		
Miscellaneous Design (Geneveve Logo)	Republic of Korea	Published	4520160006664	8/17/2016		

Mark	Jurisdiction	Status	Application No.	Application Date	Registration No.	Registration Date
VIVEVE (From Int'l Reg No. 1024357)	Republic of Korea	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE (Korean Characters)	Republic of Korea	Registered	4020150038512	5/26/2015	401156448	1/22/2016
VIVEVE (From Int'l Reg No. 1024357)	Russian Federation	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Saudi Arabia	Registered	153508	4/7/2010	128305	10/9/2011
VIVEVE	Saudi Arabia	Registered	153507	4/7/2010	128304	10/9/2011
VIVEVE	Saudi Arabia	Registered	153506	4/7/2010	128303	10/9/2011
VIVEVE	Singapore	Registered	T1002460H	3/1/2010	T1002460H	9/9/2010
VIVEVE (From Int'l Reg No. 1024357)	Singapore	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE (From Int'l Reg No. 1024357)	Switzerland	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	Taiwan	Pending	105053033	9/5/2016		
GENEVEVE (Expanded)	Taiwan	Pending	106020656	4/11/2017		
Miscellaneous Design (Geneveve Logo)	Taiwan	Pending	105053035	9/5/2016		
GENEVEVE	Thailand	Pending	160105704	9/7/2016		
GENEVEVE (Expanded)	Thailand	Pending	170106204	2/24/2017		
Miscellaneous Design (Geneveve Logo)	Thailand	Pending	160105703	9/7/2016		
VIVEVE (From Int'l Reg No. 1024357)	Turkey	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	United States of America	Abandoned	86/546576	2/26/2015		
GENEVEVE	United States of America	Abandoned	86/732394	8/21/2015		
GENEVEVE (Expanded)	United States of America	Abandoned	87/321846	2/2/2017		
Miscellaneous Design (Geneveve Logo)	United States of America	Abandoned	86/931037	3/7/2016		
THE SCIENCE OF SATISFACTION	United States of America	Abandoned	87/105962	7/15/2016		
VIVEVE	United States of America	Registered	77/833814	9/24/2009	4222217	10/9/2012
VIVEVE	Argentina	Registered	2996075	4/19/2010	2424113	2/21/2011

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM799218

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Transfer Statement		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
CRG SERVICING LLC		03/27/2023	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	LOAN SECURITY HOLDINGS II LLC		
<b>Street Address:</b>	1000 Main St., Suite 2500		
<b>City:</b>	Houston		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	77002		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4222217	VIVEVE	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	4156932222		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	4156932000		
<b>Email:</b>	crhem@cooley.com		
<b>Correspondent Name:</b>	Cooley LLP		
<b>Address Line 1:</b>	3 Embarcadero Center, 20th Floor		
<b>Address Line 4:</b>	San Francisco, CALIFORNIA 94111		
<b>ATTORNEY DOCKET NUMBER:</b>	321831-136		
<b>NAME OF SUBMITTER:</b>	C. Rhem		
<b>SIGNATURE:</b>	/CR/		
<b>DATE SIGNED:</b>	03/30/2023		
<b>Total Attachments: 10</b>			
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## TRANSFER STATEMENT

This Transfer Statement (“*Transfer Statement*”) is made by CRG Servicing LLC as administrative and collateral agent (“*Secured Party*”) pursuant to Section 9-619 of the New York Uniform Commercial Code (“*UCC*”). Pursuant to Section 9-619 of the UCC, Secured Party provides the following statements of fact:

1. Reference is made to that certain Term Loan Agreement, dated as of May 22, 2017 and as amended by the Waiver and Amendment to Loan Agreement dated as of November 29, 2017, as modified by Waiver No. 2 to Loan Agreement, dated as of December 12, 2017, as further amended by Amendment No. 2 to Loan Agreement, dated as of November 29, 2018 and as further amended, supplemented or otherwise modified from time to time (the “*Loan Agreement*”), between Viveve Medical, Inc., a Delaware corporation, as borrower (“*Borrower*”), Viveve, Inc., a Delaware corporation (together with Borrower, the “*Debtors*”), the lenders from time to time party thereto (the “*Lenders*”), and Secured Party, as administrative agent and collateral agent. Capitalized terms used herein and not otherwise defined have the meanings given to such terms in the Loan Agreement.

2. Pursuant to the Loan Agreement, Lenders made Loans to the Borrower in the aggregate principal amount not less than \$5,991,653. The Loans and the other Obligations were secured by the Collateral (as defined in the Loan Agreement).

3. The Debtors defaulted in connection with their obligations under the Loan Agreement that were secured by the Collateral (as defined in the Loan Agreement). Accordingly, on March 2, 2023, Secured Party sent the Debtors a Notice of Default under Loan Agreement informing the Debtors that they were in default of the Loan Agreement, terminating all Commitments, and declaring all outstanding Loans and Obligations to be due and payable.

4. Secured Party exercised its post-default remedies with respect to the Collateral (as defined in the Loan Agreement). Specifically, on March 15, 2023, Secured Party sent the Debtors a Notification of Disposition of Collateral at a Public Sale providing notice that Secured Party intended to dispose of certain Collateral at a public sale pursuant to Sections 9-611, 9-612, and 9-613 of the UCC. On March 15, 2023, the Secured Party caused to be published in the national edition of the New York Times a Notice of Disposition of Collateral at a Public Sale.

5. On March 27, 2023, commencing at 10:00 a.m. (EST), Evan Lazerowitz, an attorney at Cooley LLP, conducted an auction sale at the offices of Cooley LLP, 55 Hudson Yards, New York, New York 10001 pursuant to Section 9-611 of the UCC. The Secured Party made a credit bid, which was the highest bid received, and the Secured Party acquired the Debtors’ right, title and interest in and to certain Collateral (the “*Assets*”) as defined in and described on the attached **Exhibit A**. Secured Party executed a Bill of Sale on March 27, 2023, evidencing the sale, transfer, and assignment of the Assets to Secured Party.

6. By reason of the Secured Party’s exercise of its post-default remedies, a transferee, Loan Security Holdings II LLC (the “*Transferee*”), has acquired the rights of the Debtors in the Assets as evidenced by an Assignment Agreement dated March 27, 2023, entered into between Secured Party and Transferee.



7. Pursuant to Section 9-619 of the UCC, Secured Party is filing this Transfer Statement to evidence the transfer of title to the Assets to Transferee.

8. The name and mailing address of Secured Party, Debtors and Transferee are set forth on the signature page below.

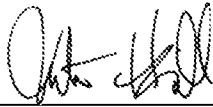
**BASED ON THE ABOVE-MENTIONED FACTS, SECURED PARTY WISHES TO FILE THIS TRANSFER STATEMENT, EFFECTIVE AS OF MARCH 27, 2023.**

**SECURED PARTY:**

CRG SERVICING LLC  
1000 Main St., Suite 2500  
Houston, TX 77002

**TRANSFEE:**

LOAN SECURITY HOLDINGS II LLC  
1000 Main St., Suite 2500  
Houston, TX 77002

By:  \_\_\_\_\_

Name: Nathan Hukill  
Title: Authorized Signatory

**DEBTORS:**

Viveve Medical, Inc.  
c/o Verdolino & Lowey, P.C.  
124 Washington Street, Suite 101  
Foxboro, MA 02035  
Attn: Craig R. Jalbert, CIRA

Viveve, Inc.  
c/o Verdolino & Lowey, P.C.  
124 Washington Street, Suite 101  
Foxboro, MA 02035  
Attn: Craig R. Jalbert, CIRA

## Exhibit A to Transfer Statement

The Assets consist of all of Debtors' right, title and interest in and to the following (with all capitalized terms not otherwise defined herein having the respective meanings given to such terms in the Uniform Commercial Code as in effect in the State of New York as of March 27, 2023):

- All cash, cash equivalents, marketable securities, money market funds and other moneys of the Debtors;
- All patents, trademarks and other intellectual property of the Debtors, including, but not limited to, the patents and trademarks listed on Exhibit B;
- All rights, including, but not limited to, Accounts, Payment Intangibles and other rights to payment, in and under the Intellectual Property Assignment and License Agreement with Edward W. Knowlton, dated as of July 30, 1997;
- All rights, including, but not limited to, Accounts, Payment Intangibles and other rights to payment, in and under the Intellectual Property Assignment and License Agreement with Edward W. Knowlton, dated as of February 10, 2006;
- All rights, including, but not limited to, Accounts, Payment Intangibles and other rights to payment, in and under the Settlement and License Agreement, dated June 3, 2018 by and between the Debtor, ThermiGen LLC and ThermiAesthetics LLC and Dr. Red Alinsod;
- All inventory, including both inventory constituting "finished goods" and inventory consisting of raw materials;
- Customer lists of the Debtors, including direct customers, "customers via partner", and subscription customers; and
- All rights to insurance, insurance proceeds and the proceeds resulting from the refund of insurance policies, including, but not limited to, the directors and officers insurance policy.

To the extent not otherwise included, all Proceeds and products of any and all of the foregoing, all accessions to, substitutions and replacements for, and rents and profits of, the foregoing and all books, correspondence, credit files, records, invoices and other papers relating to the foregoing.

## Exhibit B to Transfer Statement

### Intellectual Property

#### PATENTS AND PATENT APPLICATIONS

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	US	9/16/2015	62/219,354	Expired	Viveve, Inc.
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	US	9/16/2016	15/268,398	Pending	Viveve, Inc.
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	PCT	9/16/2016	PCT/US2016/052320	Pending	Viveve, Inc.
SYSTEM FOR ENDOVAGINAL DELIVERY OF THERAPEUTIC ENERGIES	US	5/19/2017	62/508,856	Expired	Viveve, Inc.
VAGINAL REJUVENATION TREATMENT DEVICE AND METHODS	US	2/7/2006	60/743,247	Expired	Tivamed, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	2/7/2007	8961511	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	2/23/2015	14/629,186	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PCT	2/7/2007	PCT/US07/03542	Expired	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	US	9/18/2009	61/243,686	Expired	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	9/16/2010	9271785	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	1/19/2016	15/001,021	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PCT	9/16/2010	PCT/US10/49045	Expired	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	AT	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	AU	9/16/2010	2010295586	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	BE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	BR	9/16/2010	11 2012 0060597	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CA	9/16/2010	2774265	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CH	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CZ	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	DE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	DK	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	EP	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	ES	9/16/2010	2477695	Issued	Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE AND METHODS	FR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	GB	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	GR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HK	9/16/2010	1167590	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HU	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	IE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	IN	9/16/2010	2321/DELNP/2012	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	IT	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	JP	9/16/2010	5763646	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	KR	9/16/2010	10-1643799	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	KR	9/16/2010	10-1681880	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	KR	9/16/2010	10-2016-7032868	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	LU	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	MC	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	NL	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	NO	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PL	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	PT	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	SE	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	SI	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	TR	9/16/2010	2477695	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	TW	9/29/2010	99133093	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CN	5/18/2012	ZL201080049557.4	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	CN	4/12/2016	201610223768.4	Pending	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	MC	5/30/2016	J/002193	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	HK	9/7/2016	16110627.2	Pending	Viveve, Inc.
TREATMENT DEVICE FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	201220099603.8	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	HK	3/11/2013	1175355	Issued	Viveve, Inc.
THERAPY DEVICE WITH ELECTRODE FINGER COT FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	201220099605.7	Issued	Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE WITH ELECTRODE FINGER HOLDER	HK	3/11/2013	1175354	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH TEMPERATURE SENSOR	CN	3/16/2012	ZL20122009961 8.4	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH TEMPERATURE SENSOR	HK	3/11/2013	1175353	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH DIRECTIONAL SENSORS	CN	3/16/2012	ZL20122009962 0.1	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE WITH DIRECTIONAL SENSORS	HK	3/11/2013	1175352	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND SYSTEM WITH DEPTH MARKERS	CN	3/16/2012	ZL20122009963 4.3	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	HK	3/11/2013	1175351	Issued	Viveve, Inc.
CURER FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	201210069908.9	Pending	Viveve, Inc.
VAGINAL TISSUE REPAIR TREATMENT DEVICE WITH TEMPERATURE SENSOR OR DEPTH MARKER	CN	3/16/2012	201210069910.6	Pending	Viveve, Inc.
THERAPEUTIC EQUIPMENT USED FOR REPAIRING VAGINA TISSUE AND PROVIDED WITH DIRECTION SENSOR OR DEPTH LABEL	CN	3/16/2012	ZL 201210069909.3	Issued	Viveve, Inc.
CURER FOR REPAIRING FEMALE VAGINAL TISSUE	CN	3/16/2012	ZL20121006990 6.X	Issued	Viveve, Inc.
CURER FOR REPAIRING FEMALE VAGINAL TISSUE	HK	3/15/2014	1189531	Issued	Viveve, Inc.
THERAPEUTIC DEVICE FOR REPAIRING FEMALE VAGINAL TISSUES	CN	3/16/2012	ZL20120099648. 5	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	MC	5/30/2016	J/002194	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE	HK	3/11/2013	1175350	Issued	Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHODS	US	3/15/2013	9415235	Issued	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	PCT	3/15/2013	PCT/US13/3206 6	Expired	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	BR	9/16/2014	1120140228663. 0	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	CA	10/6/2014	2869728	Pending	Obtaining confirmatory Assignment to Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE AND METHOD	DE	3/10/2015	202013012099.1	Issued	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	EP	10/10/2014	13760612.5	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	IN	10/15/2014	2014MUMNP2062	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	JP	9/16/2014	2015-500653	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	KR	10/16/2014	1020147029000	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	MX	9/15/2014	341571	Issued	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE	RU	10/14/2014	2014141309	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	SG	9/11/2014	11201405630T	Abandoned	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	TW	3/14/2013	102109033	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	CN	4/19/2016	201610244844.X	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	SG	9/16/2016	10201607753W	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	HK	10/6/2016	16111609	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
VAGINAL REMODELING DEVICE AND METHOD	US	6/21/2016	15/188,948	Pending	Obtaining confirmatory Assignment to Viveve, Inc.
METHOD AND APPARATUS FOR SURGICAL DISSECTION	US	7/14/2003	7442192	Issued	Licensed
METHODS FOR TREATING URINARY STRESS INCONTINENCE	US	11/29/2022	11511110	Issued	Viveve, Inc.
DEVICES FOR DUAL MECHANISM AESTHETIC TREATMENT AND METHODS OF USE THEREOF	US	7/31/2019	16/482581	Pending	Viveve, Inc.
METHODS AND SYSTEMS FOR TREATING URINARY STRESS INCONTINENCE	US	9/18/2020	17/025912	Pending	Viveve, Inc.

Title	Territory	Filing Date	Application No. / Patent No.	Status	Owner
VAGINAL REMODELING DEVICE AND METHODS	US	10/26/2021	11154349	Issued	Viveve, Inc.

**TRADEMARKS AND TRADEMARK APPLICATIONS**

<b>Mark</b>	<b>Jurisdiction</b>	<b>Status</b>	<b>Application No.</b>	<b>Application Date</b>	<b>Registration No.</b>	<b>Registration Date</b>
VIVEVE	Argentina	Registered	2996075	4/19/2010	2424113	2/21/2011
VIVEVE	Argentina	Registered	2996074	4/19/2010	2423938	2/21/2011
VIVEVE	Argentina	Registered	2996073	4/19/2010	2423937	2/21/2011
VIVEVE (From Int'l Reg No. 1024357)	Australia	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	Brazil	Pending	911579150	9/2/2016		
GENEVEVE	Brazil	Pending	911579192	9/2/2016		
GENEVEVE	Brazil	Published	912266821	2/7/2017		
GENEVEVE (Expanded)	Brazil	Published	912266872	2/7/2017		
Miscellaneous Design (Geneveve Logo)	Brazil	Pending	911579036	9/2/2016		
Miscellaneous Design (Geneveve Logo)	Brazil	Pending	911579109	9/2/2016		
VIVEVE	Brazil	Registered	830522239	1/27/2010	830522239	12/18/2012
VIVEVE	Canada	Registered	1460488	11/25/2009	TMA859758	9/10/2013
GENEVEVE	China	Published	17766348	8/27/2015		
GENEVEVE	China	Published	17744749	8/25/2015		
GENEVEVE (Expanded)	China	Pending	tbd	4/11/2017		
Miscellaneous Design (Geneveve Logo)	China	Pending	20990207	8/16/2016		
VIVEVE (Chinese Characters)	China	Registered	10892457	8/14/2013	10892457	8/14/2013
VIVEVE (Chinese Characters)	China	Registered	10892458	8/14/2013	10892458	8/14/2013
VIVEVE (Chinese Characters)	China	Registered	10892459	8/14/2013	10892459	8/14/2013
VIVEVE (From Int'l Reg No. 1024357)	China	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Colombia	Registered	9146592	12/22/2009	403764	6/24/2010
VIVEVE	Colombia	Registered	9146590	12/22/2009	403763	6/24/2010
GENEVEVE	European Community	Registered	15802838	9/5/2016	015802838	1/6/2017
GENEVEVE (Expanded)	European Community	Published	16326837	2/7/2017		
Miscellaneous Design (Geneveve Logo)	European Community	Registered	15802887	9/5/2016	15802887	1/6/2017
VIVEVE (From Int'l Reg No. 1024357)	European Community	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Hong Kong	Registered	301484956	11/26/2009	301484956	6/14/2010
VIVEVE	India	Registered	1892699	12/7/2009	1892699	6/6/2016
VIVEVE	Israel	Registered	226640	1/24/2010	226640	3/7/2011
VIVEVE	Israel	Registered	226638	1/24/2010	226638	3/7/2011
VIVEVE	Israel	Registered	226639	1/24/2010	226639	3/7/2011
VIVEVE (From Int'l Reg No. 1024357)	Japan	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Madrid Protocol (TM)	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Mexico	Registered	1063744	1/29/2010	1144600	2/22/2010
VIVEVE	Mexico	Registered	1063725	1/29/2010	1144596	2/22/2010
VIVEVE	Mexico	Registered	1063741	1/29/2010	1144599	2/22/2010
VIVEVE (From Int'l Reg No. 1024357)	Norway	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	Republic of Korea	Registered	4520150007909	8/26/2015	45-0067590	8/31/2016
GENEVEVE (Expanded)	Republic of Korea	Pending	4020170046090	4/11/2017		
Miscellaneous Design (Geneveve Logo)	Republic of Korea	Published	4520160006664	8/17/2016		



Mark	Jurisdiction	Status	Application No.	Application Date	Registration No.	Registration Date
VIVEVE (From Int'l Reg No. 1024357)	Republic of Korea	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE (Korean Characters)	Republic of Korea	Registered	4020150038512	5/26/2015	401156448	1/22/2016
VIVEVE (From Int'l Reg No. 1024357)	Russian Federation	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE	Saudi Arabia	Registered	153508	4/7/2010	128305	10/9/2011
VIVEVE	Saudi Arabia	Registered	153507	4/7/2010	128304	10/9/2011
VIVEVE	Saudi Arabia	Registered	153506	4/7/2010	128303	10/9/2011
VIVEVE	Singapore	Registered	T1002460H	3/1/2010	T1002460H	9/9/2010
VIVEVE (From Int'l Reg No. 1024357)	Singapore	Registered	1024357	11/25/2009	1024357	11/25/2009
VIVEVE (From Int'l Reg No. 1024357)	Switzerland	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	Taiwan	Pending	105053033	9/5/2016		
GENEVEVE (Expanded)	Taiwan	Pending	106020656	4/11/2017		
Miscellaneous Design (Geneveve Logo)	Taiwan	Pending	105053035	9/5/2016		
GENEVEVE	Thailand	Pending	160105704	9/7/2016		
GENEVEVE (Expanded)	Thailand	Pending	170106204	2/24/2017		
Miscellaneous Design (Geneveve Logo)	Thailand	Pending	160105703	9/7/2016		
VIVEVE (From Int'l Reg No. 1024357)	Turkey	Registered	1024357	11/25/2009	1024357	11/25/2009
GENEVEVE	United States of America	Abandoned	86/546576	2/26/2015		
GENEVEVE	United States of America	Abandoned	86/732394	8/21/2015		
GENEVEVE (Expanded)	United States of America	Abandoned	87/321846	2/2/2017		
Miscellaneous Design (Geneveve Logo)	United States of America	Abandoned	86/931037	3/7/2016		
THE SCIENCE OF SATISFACTION	United States of America	Abandoned	87/105962	7/15/2016		
VIVEVE	United States of America	Registered	77/833814	9/24/2009	4222217	10/9/2012
VIVEVE	Argentina	Registered	2996075	4/19/2010	2424113	2/21/2011