

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM823907

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
The Harvest Group Wealth Management, LLC		06/30/2023	Limited Liability Company: MASSACHUSETTS
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Allworth Financial, L.P.		
<b>Street Address:</b>	340 Palladio Parkway, Suite 501		
<b>City:</b>	Folsom		
<b>State/Country:</b>	CALIFORNIA		
<b>Postal Code:</b>	95630		
<b>Entity Type:</b>	Limited Partnership: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	5779188	HARVEST WEALTH MANAGEMENT FOR LIFE CLIEN	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2142064330		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	214-206-4300		
<b>Email:</b>	kim@richardlawgroup.com		
<b>Correspondent Name:</b>	Kimberlee Amanda Boyle		
<b>Address Line 1:</b>	13355 Noel Road, Suite 1350		
<b>Address Line 2:</b>	Richard Law Group, Inc.		
<b>Address Line 4:</b>	Dallas, TEXAS 75240		
<b>NAME OF SUBMITTER:</b>	Kimberlee A. Boyle		
<b>SIGNATURE:</b>	/kimberlee a boyle/		
<b>DATE SIGNED:</b>	07/12/2023		
<b>Total Attachments: 4</b>			
source=Allworth-Harvest.Trademark_Assignment_Agreement (Executed)#page1.tif			
source=Allworth-Harvest.Trademark_Assignment_Agreement (Executed)#page2.tif			
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OP \$40.00 5779188

## TRADEMARK ASSIGNMENT AGREEMENT

This Trademark Assignment Agreement (“**Agreement**”) is made and entered into on and as of June 30, 2023 by and between The Harvest Group Wealth Management, LLC, d/b/a The Harvest Group, a Massachusetts limited liability company, with a principal place of business at 800 South Street, Suite 350, Waltham, MA 02453 (hereinafter collectively referred to as “**Assignor**”) and Allworth Financial, LP with an address of 340 Palladio Parkway, Suite 501, Folsom, CA 95630 (hereinafter referred to as “**Assignee**”). Collectively, the Assignor and the Assignee are the “**Parties.**”

**WHEREAS**, Assignor is the record owner of the trademark registration shown in Exhibit A (the “**Property**”);

**WHEREAS**, Assignee desires to acquire the Property from Assignor on the terms set forth herein;

**WHEREAS**, the Parties are also parties to the Asset Purchase Agreement dated May 24, 2023 (the “**Purchase Agreement**”), by which Allworth Financial, LP acquired all of the Business Assets of Assignor including, but not limited to the Property; and

**NOW THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Assignor assigns to Assignee all right, title and interest it has in and to the Property, together with any goodwill of the business symbolized by the Property, and Assignor will execute the Trademark Assignment attached as Exhibit B upon execution of this Agreement to allow for the recordation of the assignment with the United States Patent and Trademark Office by Assignee.
2. Assignor represents and warrants that Assignor is the sole record owner of the Property free and clear of all liens, charges and encumbrances and that Assignor is authorized by law to enter into and fulfill its obligations under this Agreement.
3. Assignee and Assignor agree to keep the terms of this Agreement confidential.
4. Together with the Purchase Agreement, this Trademark Assignment Agreement contains the entire agreement between the Parties relating to the assignment of the Property and supersedes all prior communications, understandings, and statements related thereto. Neither party is relying upon any warranties, representations, assurances or inducements not expressly set forth herein or in the Purchase Agreement.
5. Miscellaneous:
  - a. Each of the Parties will execute and deliver such further and other documents as may be necessary to give effect to this Agreement and to carry out its provisions.
  - b. This Agreement is binding on, and inures to the benefit of the Parties, their parents, subsidiaries, successors, assigns, affiliates and agents.
  - c. This Agreement is governed by the laws of the State of Delaware and the laws of United States applicable therein. All disputes arising from or relating to the Agreement will be adjudicated in the state and federal courts of Sacramento County, California.

- d. This Agreement may be executed by the Parties in counterparts. Each Party may use facsimile transmission or e-mail to deliver an executed copy of this Agreement, and any copy so delivered shall be deemed an original.
- e. Time is of the essence in all matters related to this Agreement.
- f. Each Party acknowledges and agrees that it has given careful thought to this Agreement and that it has had the opportunity to review this Agreement with legal counsel. This Agreement is deemed to have been drafted jointly by the Parties. Any uncertainty or ambiguity shall not be construed for or against either party based on attribution of drafting by either Party.

The undersigned have executed and delivered this Agreement as of the date first above written.

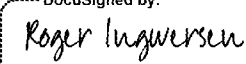
**ASSIGNOR:**

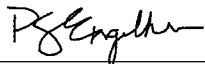
**ASSIGNEE:**

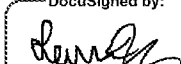
The Harvest Group Wealth Management, LLC

Allworth Financial, L.P.

By: AFG Parent GP, LLC  
Its: General Partner

DocuSigned by:  
  
 By: \_\_\_\_\_  
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 Name: Roger Ingwersen  
 Its: Manager

  
 By: \_\_\_\_\_  
 Name: Pete Engelken  
 Its: Vice President

DocuSigned by:  
  
 By: \_\_\_\_\_  
10559E8FDB4341E...  
 Name: Laurie Ingwersen  
 Its: Manager

**EXHIBIT A**

<b><u>Mark</u></b>	<b><u>Registration No.</u></b>	<b><u>Date Registered</u></b>	<b><u>Owner</u></b>
HARVEST WEALTH MANAGEMENT FOR LIFE	5,779,188	Jun. 18, 2019	The Harvest Group Wealth Management, LLC

**EXHIBIT B**

**TRADEMARK ASSIGNMENT**

This Assignment is by and between The Harvest Group Wealth Management, LLC, d/b/a The Harvest Group, a Massachusetts limited liability company, with a principal place of business at 800 South Street, Suite 350, Waltham, MA 02453 (“Assignor”) and Allworth Financial, LP whose address is 301 Palladio Parkway, Suite 501, Folsom, CA 95630 (“Assignee”).

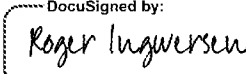
Assignor is the record owner of Registration No. 5,779,188 for the mark HARVEST WEALTH MANAGEMENT FOR LIFE (the “Property”).

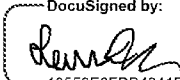
Under the terms of a Trademark Assignment Agreement between the parties, Assignor has conveyed, transferred, and assigned the Property to Assignee, and has agreed to execute and deliver this Trademark Assignment, for recording with the United States Patent and Trademark Office.

Now therefore, for good and valuable consideration the receipt and sufficiency is hereby acknowledged, Assignor hereby assigns to Assignee the Property, together with all associated rights and the goodwill of the business symbolized by the Property.

**SIGNED THIS 30<sup>th</sup> day of June, 2023.**

**THE HARVEST GROUP WEALTH MANAGEMENT, LLC**

DocuSigned by:  
  
By: \_\_\_\_\_  
Name: Roger Ingwersen  
Title: Manager

DocuSigned by:  
  
By: \_\_\_\_\_  
Name: Laurie Ingwersen  
Title: Manager