TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM839389

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CHANGE OF NAME	

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Advisor Group, Inc.		06/21/2023	Corporation: MARYLAND

RECEIVING PARTY DATA

Name:	Osaic, Inc.	
Street Address:	20 E. Thomas Rd., Suite 2000	
City:	Phoenix	
State/Country:	ARIZONA	
Postal Code:	85012	
Entity Type:	Corporation: MARYLAND	

PROPERTY NUMBERS Total: 19

Property Type	Number	Word Mark
Serial Number:	87397475	AG ADVISOR GROUP
Serial Number:	87397480	IN YOUR CORNER
Serial Number:	97311358	AG
Serial Number:	97761444	AMERICAN DREAMS, AN ADVISOR GROUP FOUNDA
Serial Number:	87606303	EQUIPT
Serial Number:	88517266	EQUIPT
Serial Number:	87630779	EQUIPT
Serial Number:	88517277	EQUIPT
Serial Number:	86013901	FSC
Serial Number:	87583607	MY SUCCESSION PLAN
Serial Number:	87585848	MY SUCCESSION PLAN
Serial Number:	87523248	МУСМО
Serial Number:	85600257	ROYAL ALLIANCE
Serial Number:	77644750	SAGEPOINT
Serial Number:	76415839	VISION 2020 ONEVIEW
Serial Number:	78195480	VISION2020
Serial Number:	85066634	VISION2020 WEALTH MANAGEMENT
Serial Number:	88112506	WOODBURY FINANCIAL
Serial Number:	88112576	WOODBURY FINANCIAL MEMBER OF ADVISOR GRO

TRADEMARK

REEL: 008198 FRAME: 0538 900800358

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: trademarks@dechert.com

Correspondent Name: Dechert LLP

Address Line 1: 2929 Arch Street, Cira Centre

Address Line 4: Philadelphia, PENNSYLVANIA 19104-2808

ATTORNEY DOCKET NUMBER:	149586	
NAME OF SUBMITTER:	Hilary Smoot	
SIGNATURE:	/Hilary Smoot/	
DATE SIGNED:	09/14/2023	

Total Attachments: 3

source=Osaic Inc. - MD - Name Change Filed Document#page1.tif source=Osaic Inc. - MD - Name Change Filed Document#page2.tif source=Osaic Inc. - MD - Name Change Filed Document#page3.tif

TRADEMARK REEL: 008198 FRAME: 0539

CORPORATE CHARTER APPROVAL SHEET ** KEEP WITH DOCUMENT **

	т соре <u>09<i>р</i></u> виз	NESS CODE <u>63</u>	1000352014082754
Close	Stock	Nonstock	-
P.A	Religious		
Merging/Co	nverting		
,	<u> </u>	——————————————————————————————————————	ID # D02686962 ACK # 1000362014082764 PAGES: 0003 OSAIC, INC.
			,
Surviving/Re	esulting		06/21/2023 AT 10:58 A WO # 0005165708
			New Name Osaic, Inc.
		FEES REMITTED	
	Base Fee: Org, & Cap, Fee:	100 445	Change of Name Change of Principal Office
	Expedite Fee: Penalty: State Recordation Tax: State Transfer Tax:	775	Change of Resident Agent Change of Resident Agent Address Resignation of Resident Agent Designation of Resident Agent
	Certified Copies Copy Fee: Certificates	23	and Resident Agent's Address Change of Business Code
	Certificate of Status Fee: Personal Property Filings: NP Fund:		Adoption of Assumed Name
	Other: TOTAL FEE	s: 516 8	Other Change(s)
Credit Card	Check		Code 049
Do	cuments on Checks		Attention:
Approved By	× 10		Mail: Names and Address CSC-LAWYERS INCORPORATING SERVICE COMPANY
Keyed By: _		,	7_ST. PAUL STREET SUITE 820 BALTIMORE MD 21202
COMMENT	COPY	FIED	
	and the same and t	MADE	
		N - Clauded	CUST ID:0003949133 WORK ORDER:0005165708 DATE:06-21-2023 10:58 AM AMT. PAID:\$568.00
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TRADEMARK
REEL: 008198 FRAME: 0540

ARTICLES OF AMENDMENT TO THE ARTICLES OF INCORPORATION OF ADVISOR GROUP, INC.

June 21, 2023

THIS IS TO CERTIFY THAT:

FIRST: The charter of Advisor Group, Inc., a Maryland corporation (the "Corporation"), certifies to the State Department of Assessments and Taxation of Maryland that the charter of the Corporation shall be hereby amended by striking out Article First in its entirety and inserting in lieu thereof the following:

FIRST: The name of the corporation (which is hereinafter called the "Corporation") is:

"Osaic, Inc."

SECOND: The amendment of the charter of the Corporation as herein above set forth has been duly advised and approved by the Board of Directors and sole stockholder.

[signature on following page]

TRADEMARK REEL: 008198 FRAME: 0541 IN WITNESS WHEREOF, the Corporation has caused these Articles of Amendment to be signed in its name and on its behalf on this 21st day of June, 2023.

ATTEST:

ADVISOR GROUP, INC.

Name: Abby Henig

Title: Assistant Secretary

Name: Jamie Price

Title: President, CEO

CUST ID:0003949133 WORK ORDER:0005165708 DATE:06-21-2023 10:58 AM AMT. PAID:\$568.00

[Signature page to Amendment Articles of Incorporation]

TRADEMARK REEL: 008198 FRAME: 0542

RECORDED: 09/14/2023