

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM840028

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Advisor Group Holdings, Inc.		06/21/2023	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Osaic Holdings, Inc.		
Street Address:	20 E. THOMAS ROAD		
Internal Address:	SUITE 2000		
City:	Phoenix		
State/Country:	ARIZONA		
Postal Code:	85012		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 39			
Property Type	Number	Word Mark	
Serial Number:	86845663	SYMBIL	
Serial Number:	76712273	ARBOR POINT ADVISORS	
Serial Number:	76713434	ARBOR POINT A D V I S O R S	
Serial Number:	90486184	CONFIDENCE WHEREVER YOU GROW	
Serial Number:	77629659	DRIVING FINANCIAL GROWTH SINCE 1876	
Serial Number:	77108250	INVESTACORP	
Serial Number:	76454377	INVESTACORP ADVISORY SERVICES	
Serial Number:	73688188	INVESTACORP, INC.	
Serial Number:	75116508	INVESTALINK	
Serial Number:	97932107	LADENBURG THALMANN	
Serial Number:	97932116	NEXTPHASE	
Serial Number:	98028198	OAK&ELM	
Serial Number:	98028142	OLORA	
Serial Number:	97893202	OSAIC	
Serial Number:	97934321	OSAIC	
Serial Number:	98059281	OSAIC ADVISORY	
Serial Number:	97893204	OSAIC FINANCIAL	
Serial Number:	98059266	OSAIC FINANCIAL SERVICES	

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Property Type	Number	Word Mark
Serial Number:	97934318	OSAIC FOUNDATION
Serial Number:	97893201	OSAIC HOLDINGS
Serial Number:	97893203	OSAIC HOLISTIC WEALTH
Serial Number:	98059270	OSAIC INSTITUTIONAL HOLDINGS
Serial Number:	98059274	OSAIC INSTITUTIONS
Serial Number:	97893209	OSAIC INVESTMENT ADVISORS
Serial Number:	98059258	OSAIC WEALTH
Serial Number:	97893206	OSAIC WEALTH PARTNERS
Serial Number:	75116511	PARALLEL PROGRAM
Serial Number:	88252413	QUI(K)
Serial Number:	98028150	QUOIA
Serial Number:	76640448	RETIREMENT OPPORTUNITIES
Serial Number:	85064501	SECURITIES AMERICA
Serial Number:	76630618	SECURITIES AMERICA
Serial Number:	75061311	SERVING THE SMART MONEY SINCE 1876
Serial Number:	76247036	SMART INVESTMENT BANKING
Serial Number:	76630739	
Serial Number:	90486164	TRIAD ADVISORS
Serial Number:	90486172	TRIAD ADVISORS
Serial Number:	90486178	TRIAD HYBRID SOLUTIONS
Serial Number:	90486181	TRIAD HYBRID SOLUTIONS

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: trademarks@dechert.com

Correspondent Name: Dechert LLP

Address Line 1: 2929 Arch Street, Cira Centre

Address Line 4: Philadelphia, PENNSYLVANIA 19104-2808

ATTORNEY DOCKET NUMBER:	149586
NAME OF SUBMITTER:	Hilary Smoot
SIGNATURE:	/Hilary Smoot/
DATE SIGNED:	09/18/2023

Total Attachments: 2

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CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF INCORPORATION
OF
ADVISOR GROUP HOLDINGS, INC.

June 21, 2023

Advisor Group Holdings, Inc., a corporation organized and existing under and by the virtue of the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify:

FIRST: That the following resolution was duly adopted by unanimous vote of the Board of Directors of the Corporation on June 16, 2023, proposing the following amendment to the Certificate of Incorporation of the Corporation:

RESOLVED, that the Board declares it advisable and in the best interests of the Corporation to amend the Certificate of Incorporation to change the Corporation name to Osaic Holdings, Inc.; and

FURTHER REOSLVED, that the Board hereby approves the preparation and filing of a Certificate of Amendment to the Certificate of Incorporation (the "Certificate of Amendment") to effect the name change of the Corporation; and

FURTHER RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized to execute, deliver and file the Certificate of Amendment with the Secretary of State of Delaware and to pay any fees related to such filing; and

FURTHER RESOLVED, that the Certificate of Incorporation of the Corporation be amended by changing the FIRST Article thereof so that, as amended said Article shall read as follows:

"FIRST: The name of the corporation (which is hereinafter referred to as the "Corporation") is Osaic Holdings, Inc."

SECOND: That thereafter, pursuant to resolution of its Board of Directors, was duly approved by the Corporation's shareholders by a sufficient number of votes cast for the approval of the Amendment on June 16, 2023 in accordance with Section 222 of the General Corporation Law of the State of Delaware.

THIRD: That said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

FOURTH: That aforesaid amendment to the Certificate of Incorporation will take effect on the 21st day of June, 2023.

IN WITNESS WHEREOF, the Corporation has caused the Certificate of Amendment to be signed in its name and on its behalf on this 21st day of June, 2023.

ADVISOR GROUP HOLDINGS, INC.

By: Abby Henig
Name: Abby Henig
Title: Assistant Secretary

[Signature Page to Certificate of Amendment to Certificate of Incorporation]