

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM850741

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ENTITY CONVERSION		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
United Capital Financial Partners, Inc.		11/02/2023	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	United Capital Financial Partners, LLC		
<b>Street Address:</b>	125 East John Carpenter		
<b>Internal Address:</b>	10th Floor		
<b>City:</b>	Irving		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	75062		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 9</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	5956126	LIVE RICHLY	
<b>Registration Number:</b>	5637878	GUIDECENTER	
<b>Registration Number:</b>	5817099	INVESTMENTVIEWFINDER	
<b>Registration Number:</b>	5717070	HELPING PEOPLE LIVE RICHLY	
<b>Registration Number:</b>	5596076	IDEAL LIFE INDEX	
<b>Registration Number:</b>	5337823	UNITED CAPITAL FINANCIAL LIFE MANAGEMENT	
<b>Registration Number:</b>	4318136	MONEYMIND	
<b>Registration Number:</b>	4331164	ONE BEST FINANCIAL LIFE	
<b>Registration Number:</b>	3302570	FINANCIAL CONTROL SCORECARD	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2124920239		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	212 373 3239		
<b>Email:</b>	cmeredithgoujon@paulweiss.com, kshmorhun@paulweiss.com, mmcguire@paulweiss.com		
<b>Correspondent Name:</b>	Claudine Meredith-Goujon		
<b>Address Line 1:</b>	1285 Avenue of the Americas		

CH \$240.00 5956126

**Address Line 2:** Paul Weiss Rifkind Wharton &Garrison LLP  
**Address Line 4:** New York, NEW YORK 10019

**NAME OF SUBMITTER:** Claudine Meredith-Goujon

**SIGNATURE:** /Claudine Meredith-Goujon/

**DATE SIGNED:** 11/02/2023

**Total Attachments: 3**

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# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "UNITED CAPITAL FINANCIAL PARTNERS, INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "UNITED CAPITAL FINANCIAL PARTNERS, INC." TO "UNITED CAPITAL FINANCIAL PARTNERS, LLC", FILED IN THIS OFFICE ON THE SECOND DAY OF NOVEMBER, A.D. 2023, AT 4:17 O`CLOCK P.M.



  
Jeffrey W. Bullock, Secretary of State

4718597 8100V  
SR# 20233883230

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 204510209  
Date: 11-02-23

**TRADEMARK**  
**REEL: 008247 FRAME: 0693**

**CERTIFICATE OF CONVERSION  
TO  
LIMITED LIABILITY COMPANY  
OF  
UNITED CAPITAL FINANCIAL PARTNERS, INC.  
TO  
UNITED CAPITAL FINANCIAL PARTNERS, LLC**

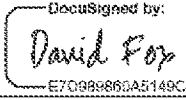
This Certificate of Conversion to Limited Liability Company, dated as of November 2, 2023, has been duly executed and is being filed by United Capital Financial Partners, Inc., a Delaware corporation (the "Corporation"), to convert the Corporation to United Capital Financial Partners, LLC, a Delaware limited liability company (the "LLC"), pursuant to Section 18-214 of the Delaware Limited Liability Company Act and Section 266 of the General Corporation Law of the State of Delaware.

1. The Corporation filed its original certificate of incorporation with the Secretary of State of the State of Delaware and was first incorporated on August 10, 2009 in the State of Delaware as United Capital Financial Partners, Inc.
2. The name and type of entity of the Corporation immediately prior to the filing of this Certificate of Conversion to Limited Liability Company was United Capital Financial Partners, Inc., a Delaware corporation.
3. The name of the LLC to which the Corporation shall be converted as set forth in its certificate of formation is United Capital Financial Partners, LLC.
4. The conversion of the Corporation to the LLC shall be effective upon the filing of this Certificate of Conversion to Limited Liability Company and a certificate of formation of the LLC with the Secretary of State of the State of Delaware.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion to Limited Liability Company as of the date first-above written.

**UNITED CAPITAL FINANCIAL PARTNERS, INC.**

By:    
Name: David A. Fox  
Title: President

[SIGNATURE PAGE TO UNITED CAPITAL FINANCIAL PARTNERS, INC. CERTIFICATE OF CONVERSION]