

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM856938

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	Release of Security Interest filed on July 06, 2020 at Reel/Frame 6990/0977		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
JPMorgan Chase Bank, N.A.		11/27/2023	National Association: UNITED STATES
RECEIVING PARTY DATA			
Name:	Avantax Planning Partners, Inc.(f/k/a Honkamp Krueger Financial Services, Inc.)		
Street Address:	3200 Olympus Blvd, Suite100		
City:	Dallas		
State/Country:	TEXAS		
Postal Code:	75019		
Entity Type:	Corporation: IOWA		
Name:	Avantax Wealth Management, Inc. (f/k/a H.D. Vest, Inc.)		
Street Address:	3200 Olympus Blvd, Suite 100		
City:	Dallas		
State/Country:	TEXAS		
Postal Code:	75019		
Entity Type:	Corporation: TEXAS		
Name:	1st Global, Inc.		
Street Address:	3200 Olympus Blvd, Suite 100		
City:	Dallas		
State/Country:	TEXAS		
Postal Code:	75019		
Entity Type:	Corporation: TEXAS		
PROPERTY NUMBERS Total: 13			
Property Type	Number	Word Mark	
Serial Number:	86771678	CONNECTING TAX ADVICE TO WEALTH MANAGEME	
Serial Number:	88284463	VOICE OF THE ADVISOR	
Serial Number:	85792185	1ST GLOBAL RESEARCH & CONSULTING	
Serial Number:	85901849	1ST GLOBAL WEALTH MANAGEMENT ACADEMY	
Serial Number:	77663930	METHOD 10	

OP \$340.00 86771678

Property Type	Number	Word Mark
Serial Number:	76495138	1ST GLOBAL
Serial Number:	76487179	MATRIX
Serial Number:	75809163	1ST GLOBAL ACCESS
Serial Number:	87980349	SEE THINGS IN HD
Serial Number:	86978636	1040 ANALYST
Serial Number:	86936948	HD VEST
Serial Number:	86926166	HD VEST ADVISORY SERVICES
Serial Number:	86926122	HD VEST INVESTMENT SERVICES

CORRESPONDENCE DATA

Fax Number: 8009144240
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.
Phone: 800-713-0755
Email: Michael.Violet@wolterskluwer.com
Correspondent Name: CT Corporation
Address Line 1: 4400 Easton Commons Way
Address Line 2: Suite 125
Address Line 4: Columbus, OHIO 43219

NAME OF SUBMITTER:	Sophie Bolt
SIGNATURE:	/Sophie Bolt/
DATE SIGNED:	11/29/2023

Total Attachments: 6

- source=03. Avantax - Trademark Release - [6990-0977 - COVER SHEET]#page3.tif
- source=03. Avantax - Trademark Release - [6990-0977 - COVER SHEET]#page4.tif
- source=03. Avantax - Trademark Release - [6990-0977 - COVER SHEET]#page5.tif
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RELEASE OF SECURITY INTEREST IN TRADEMARKS

This RELEASE OF SECURITY INTEREST IN TRADEMARKS (this “Release”), dated as of November 27, 2023 (the “Effective Date”), is made by JPMorgan Chase Bank, N.A., in its capacity as Collateral Agent (the “Collateral Agent”, as successor to Credit Suisse AG, Cayman Islands Branch, the “Prior Collateral Agent”), in favor of the pledgor parties identified on the signature page hereto (each, a “Pledgor” and, together the “Pledgors”).

WHEREAS, pursuant to that certain Security Agreement, dated as of May 22, 2017, by and among the Collateral Agent (as successor to the Prior Collateral Agent), the Pledgors and certain other parties thereto (as amended, amended and restated, or otherwise modified from time to time, the “Security Agreement”), the Pledgors granted to the Collateral Agent, in its capacity as Collateral Agent, a security interest in and to certain collateral;

WHEREAS, pursuant to the Security Agreement, the Pledgors executed and delivered to the Prior Collateral Agent a Trademark Security Agreement, dated as of May 22, 2017 (the “First Trademark Security Agreement”), for recordal with the United States Patent and Trademark Office;

WHEREAS, pursuant to that certain Notice of Succession of Agency, dated May 6, 2019, by and between the Collateral Agent and the Prior Collateral Agent (the “Notice of Succession of Agency”), the Prior Collateral Agent assigned, transferred, set over and delivered to the Collateral Agent the security interest in certain collateral under the First Trademark Security Agreement, for recordal with the United States Patent and Trademark Office;

WHEREAS, pursuant to the Security Agreement, the Pledgors executed and delivered to the Collateral Agent (i) a Trademark Security Agreement, dated as of July 1, 2020 (the “Second Trademark Security Agreement”) and (ii) a Trademark Security Agreement, dated as of January 24, 2023 (the “Third Trademark Security Agreement” and, together with the First Trademark Security Agreement, Notice of Succession of Agency and Second Trademark Security Agreement, the “Trademark Security Agreements”), for recordal with the United States Patent and Trademark Office;

WHEREAS, (i) the First Trademark Security Agreement was recorded with the United States Patent and Trademark Office on May 22, 2017 at Reel/Frame 6065/0540, (ii) the Notice of Succession of Agency was recorded with the United States Patent and Trademark Office on May 08, 2019 at Reel/Frame 6639/0577, (iii) the Second Trademark Security Agreement was recorded with the United States Patent and Trademark Office on July 06, 2020 at Reel/Frame 6990/0977 and (iv) the Third Trademark Security Agreement was recorded with the United States Patent and Trademark Office on May 04, 2023 at Reel/Frame 8061/0344;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Collateral Agent hereby agrees as follows:

1. Defined Terms. All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed in or otherwise referenced in the Security Agreement or the Trademark Security Agreements, as applicable.

2. Release. The Collateral Agent, without representation or warranty of any kind, hereby releases, discharges, terminates and cancels all of its security interest in and to all of its right, title and interest in, to and under all of the following Collateral of each Pledgor (collectively, the “Trademark Collateral”):

- (a) Trademarks of such Pledgor listed on Schedule A, attached hereto;
- (b) all goodwill associated with such Trademarks; and
- (c) all Proceeds of any and all of the foregoing.

If and to the extent that the Collateral Agent has acquired any right, title or interest in and to the Trademark Collateral under the Trademark Security Agreements, the Collateral Agent, without representation or warranty of any kind, hereby re-transfers, re-conveys and re-assigns such right, title or interest to each such Pledgor.

3. Termination. The Collateral Agent, without representation or warranty of any kind, terminates and cancels each of the Trademark Security Agreements.

4. Further Assurances. The Collateral Agent agrees to take all further actions, and provide to the Pledgors and its successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by the Pledgors, at the Pledgors' sole cost and expense, to more fully and effectively effectuate the purposes of this Release.

5. Recordation. The Collateral Agent authorizes and requests that the Commissioner of Trademarks and any other applicable government officer record this Release.

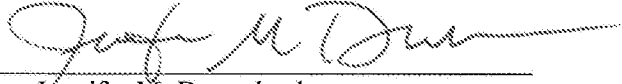
6. Execution in Counterparts. This Release may be executed in any number of counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement.

7. Governing Law. THIS RELEASE SHALL BE CONSTRUED IN ACCORDANCE WITH AND GOVERNED BY THE LAWS OF THE STATE OF NEW YORK, WITHOUT REGARD TO CONFLICTS OF LAW PRINCIPLES THAT WOULD REQUIRE THE APPLICATION OF THE LAWS OF ANOTHER JURISDICTION, EXCEPT TO THE EXTENT THAT THE UCC PROVIDES THAT PERFECTION OF THE SECURITY INTEREST HEREUNDER, OR REMEDIES HEREUNDER, IN RESPECT OF ANY PARTICULAR COLLATERAL ARE GOVERNED BY THE LAWS OF A JURISDICTION OTHER THAN THE STATE OF NEW YORK, IN WHICH CASE THE LAWS OF SUCH JURISDICTION SHALL GOVERN WITH RESPECT TO THE PERFECTION OF THE SECURITY INTEREST IN, OR THE REMEDIES WITH RESPECT TO, SUCH PARTICULAR COLLATERAL.

[Signature Page Follows]

IN WITNESS WHEREOF, the Collateral Agent has caused this Release to be executed by its duly authorized representative as of the Effective Date:

JPMORGAN CHASE BANK, N.A., acting in its capacity as Collateral Agent

By: 
Name: Jennifer M. Dunneback
Title: Executive Director

**PLEDGORS:
AVANTAX, INC. (F/K/A BLUCORA, INC.)
HONKAMP KRUEGER FINANCIAL SERVICES, INC.
1ST GLOBAL, INC.
AVANTAX WEALTH MANAGEMENT (F/K/A H.D. VEST, INC.)**

[Signature Page to Trademark Release]

**TRADEMARK
REEL: 008272 FRAME: 0344**

Schedule A

Reel/Frame 8061/0344

TRADEMARK SECURITY AGREEMENT

TRADEMARK REGISTRATIONS AND TRADEMARK APPLICATIONS

Owner	Mark	App. No.	App. Date	Reg. No.	Reg. Date	Jurisd.
Avantax, Inc.	AVANTAX RETIREMENT PLAN SERVICES	97364504	Apr. 14, 2022	7072599	Jun. 06, 2023	USPTO
Avantax, Inc.	AVANTAX WEALTH MANAGEMENT	90237242	Oct. 06, 2020	6489927	Sep. 21, 2021	USPTO
Avantax, Inc.	AVANTAX	88981422	Jun. 18, 2019	6398089	Jun. 22, 2021	USPTO

Reel/Frame 6990/0977
 TRADEMARK SECURITY AGREEMENT
 TRADEMARK REGISTRATIONS AND TRADEMARK APPLICATIONS

Owner	Mark	App. No.	App. Date	Reg. No.	Reg. Date	Jurisd.
Honkamp Krueger Financial Services, Inc.	CONNECTING TAX ADVICE TO WEALTH MANAGEMENT. CLIENT HKFS CPA	86771678	Sep. 29, 2015	4982505	Jun. 21, 2016	USPTO
1st Global, Inc.	VOICE OF THE ADVISOR	88284463	Jan. 31, 2019	5999940	Mar. 03, 2020	USPTO
1st Global, Inc.	1ST GLOBAL RESEARCH & CONSULTING	85792185	Nov. 30, 2012	4417333	Oct. 15, 2013	USPTO
1st Global, Inc.	1ST GLOBAL WEALTH MANAGEMENT ACADEMY	85901849	Apr. 11, 2013	4477227	Feb. 04, 2014	USPTO
1st Global, Inc.	METHOD 10	77663930	Feb. 05, 2009	3724746	Dec. 15, 2009	USPTO
1st Global, Inc.	1ST GLOBAL	76495138	Mar. 06, 2003	3403949	Apr. 01, 2008	USPTO
1st Global, Inc.	MATRIX	76487179	Feb. 04, 2003	2932354	Mar. 15, 2005	USPTO
1st Global, Inc.	1ST GLOBAL ACCESS	75809163	Sep. 27, 1999	2383138	Sep. 05, 2000	USPTO
Avantax Wealth Management, Inc.	SEE THINGS IN HD	87980349	Dec. 14, 2017	5759226	May 21, 2019	USPTO
Avantax Wealth Management, Inc.	1040 ANALYST	86978636	Feb. 10, 2015	4970809	May 31, 2016	USPTO
Avantax Wealth Management, Inc.	HD VEST	86936948	Mar. 11, 2016	5080907	Nov. 15, 2016	USPTO
Avantax Wealth Management, Inc.	HD VEST ADVISORY SERVICES	86926166	Mar. 02, 2016	5080858	Nov. 15, 2016	USPTO
Avantax Wealth Management, Inc.	HD VEST INVESTMENT SERVICES	86926122	Mar. 02, 2016	5080857	Nov. 15, 2016	USPTO

Reel/Frame 6639/0577 & 6065/0540
 TRADEMARK SECURITY AGREEMENT
 TRADEMARK REGISTRATIONS AND TRADEMARK APPLICATIONS

Owner	Mark	App. No.	App. Date	Reg. No.	Reg. Date	Jurisd.
Avantax, Inc.	BLUCORA	85578791	Mar. 23, 2012	4498626	Mar. 18, 2014	USPTO
Blucora, Inc.	BLUPRINT	87/295390	Jan. 10, 2017	n/a	n/a	USPTO
Blucora, Inc.	LEGALACT	85738227	Sep. 25, 2012	4790938	Aug. 11, 2015	USPTO
Avantax, Inc.	BLUVEST	87295382	Jan. 10, 2017	5351985	Dec. 05, 2017	USPTO
Blucora, Inc.	BCOR	31044	Oct. 20, 2016	36250	Oct. 20, 2016	Andorra
Avantax Wealth Management, Inc.	8 WEALTH MANAGEMENT ISSUES	77429724	Mar. 24, 2008	3671163	Aug. 18, 2009	USPTO
Avantax Wealth Management, Inc.	HD VEST FINANCIAL SERVICES	75025932	Nov. 30, 1995	2047321	Mar. 25, 1997	USPTO
Avantax Wealth Management, Inc.	HDVLINK	75884012	Dec. 30, 1999	2561056	Apr. 16, 2002	USPTO
Avantax Wealth Management, Inc.	MANAGING AMERICA'S FUTURE	75914249	Feb. 09, 2000	2431408	Feb. 27, 2001	USPTO
Avantax Wealth Management, Inc.	VESTADVISOR	75883958	Dec. 30, 1999	2415760	Dec. 26, 2000	USPTO
Avantax Wealth Management, Inc.	VESTADVISOR SELECT	85536889	Feb. 29, 2012	4393223	Aug. 27, 2013	USPTO
H.D. Vest, Inc.	VESTPREMIERE	75884475	Dec. 30, 1999	2415762	Dec. 26, 2000	USPTO
Avantax Wealth Management, Inc.	THE FINANCIAL SERVICES FIRM OF TAX PROFESSIONALS	85796937	Dec. 06, 2012	4476961	Feb. 04, 2014	USPTO
Avantax Wealth Management, Inc.	1040 ANALYST	86149491	Dec. 20, 2013	4648020	Dec. 02, 2014	USPTO
Avantax Wealth Management, Inc.	1040 ANALYST	86529660	Feb. 10, 2015	5010645	Aug. 02, 2016	USPTO
Avantax Wealth Management, Inc.	VESTSUCCESS	86314466	Jun. 19, 2014	4758756	Jun. 23, 2015	USPTO
Avantax Wealth Management, Inc.	VESTVISION	86239001	Apr. 01, 2014	4725216	Apr. 21, 2015	USPTO
Avantax Wealth Management, Inc.	V4 CLIENT EXPERIENCE	86416324	Oct. 07, 2014	4868095	Dec. 08, 2015	USPTO
Avantax Wealth Management, Inc.	REINVENT YOURSELF	86086189	Oct. 08, 2013	4702224	Mar. 17, 2015	USPTO
H.D. Vest, Inc.	H.D. VEST ADVISORY SERVICES			17068	Oct. 25, 2001	ID, USA
H.D. Vest, Inc.	H.D. VEST ADVISORY SERVICES			n/a	Sept. 1, 2001	MS, USA
TaxAct, Inc.	FEEL THE FREE	85451696	Oct. 20, 2011	4329023	Apr. 30, 2013	USPTO
TaxAct, Inc.	QUICK CONVERT	85419009	Sep. 09, 2011	4140501	May 08, 2012	USPTO
TaxAct, Inc.	TAXACT TAXPAYER SUPPORT	77611950	Nov. 11, 2008	3764671	Mar. 23, 2010	USPTO
TaxAct, Inc.	WHERE DO YOU DO YOUR TAXES?	77572512	Sep. 17, 2008	3740877	Jan. 19, 2010	USPTO
TaxAct, Inc.	X TAXACT	86715283	Aug. 05, 2015	n/a	n/a	USPTO

TRADEMARK

RECORDED: 11/29/2023

REEL: 008272 FRAME: 0347