

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM862754

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	TRADEMARK RELEASE AND REASSIGNMENT		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
APOGEM CAPITAL LLC		12/20/2023	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	DOXA ALTERNATIVE RISK MANAGEMENT, LLC		
Street Address:	101 E. Washington Street		
Internal Address:	10th Floor		
City:	Wayne		
State/Country:	INDIANA		
Postal Code:	46802		
Entity Type:	Limited Liability Company: VERMONT		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	6199106	CAITLIN MORGAN INSURANCE SERVICES	
Registration Number:	6199108	CAITLIN MORGAN INSURANCE SERVICES	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212.848.7374		
Email:	Sophie.Zander@shearman.com		
Correspondent Name:	Sophie Zander		
Address Line 1:	599 Lexington Avenue		
Address Line 2:	Shearman & Sterling LLP		
Address Line 4:	New York, NEW YORK 10022		
ATTORNEY DOCKET NUMBER:	39540-40		
NAME OF SUBMITTER:	SOPHIE ZANDER		
SIGNATURE:	/SOPHIE ZANDER/		
DATE SIGNED:	12/21/2023		
Total Attachments: 4			
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TRADEMARK RELEASE AND REASSIGNMENT

THIS TRADEMARK RELEASE AND REASSIGNMENT (this “Release”) is made as of December 20, 2023, by APOGEM CAPITAL LLC, (as successor by appointment to Madison Capital Funding LLC), in its capacity as Agent (“Secured Party”) in favor of DOXA ALTERNATIVE RISK MANAGEMENT, LLC, a Vermont limited liability company (the “Grantor”). Capitalized terms used but not defined herein shall have the same meanings assigned to such terms in the Security Agreement (as defined below).

W I T N E S S E T H:

WHEREAS, the Grantor and Secured Party were parties to that certain Trademark Security Agreement dated as of September 1, 2021 (as amended, restated, amended and restated, supplemented or otherwise modified from time to time, the “Security Agreement”) pursuant to which the Grantor granted a security interest to Secured Party in certain Trademarks and Trademark Collateral (as defined below) as security for certain obligations owing by the Grantor to Secured Party, including the Trademarks set forth on Schedule A attached hereto;

WHEREAS, the Security Agreement was recorded by the Trademark Division of the United States Patent and Trademark Office on February 7, 2022, at Reel 7627, Frame 0561;

WHEREAS, the Grantor has requested that Secured Party release its security interest in the Trademarks and Trademark Collateral and reassign any and all rights in the same to the Grantor; and

WHEREAS, the Grantor has satisfied and fulfilled all of their obligations to release Secured Party’s security interest in the Trademarks and Trademark Collateral.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto hereby agree as follows:

1. Secured Party hereby releases its security interest in all of the Grantor’s right, title and interest in, to and under all Trademark Collateral, including, for the avoidance of doubt, the following:
 - (a) all of the Trademarks set forth on Schedule A hereto;
 - (b) all reissues, continuations or extensions of the foregoing;
 - (c) all goodwill of the business connected with the use of, and symbolized by, each Trademark; and
 - (d) all proceeds of the foregoing, including, without limitation, any claim by the Grantor against third parties for past, present or future (i) infringement or dilution of any Trademark or (ii) injury to the goodwill associated with any Trademark.
2. Secured Party hereby reassigns, grants and conveys to the Grantor any and all of Secured Party’s right, title and interest in and to the Trademarks and the Trademark Collateral.
3. Secured Party authorizes and requests that the United States Patent and Trademark Office and any other applicable government office record this Release.

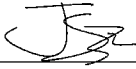
4. Secured Party agrees to take all further actions and provide to the Grantor and their successors, assigns and legal representatives all such cooperation and assistance, including, without limitation, the execution and delivery of any and all further documents or other instruments, in each case as the Grantor and its successors, assigns and legal representatives may reasonably request in order to confirm, effectuate or record this Release.

5. THIS RELEASE AND ANY CLAIM, CONTROVERSY, DISPUTE OR CAUSE OF ACTION (WHETHER IN CONTRACT OR TORT OR OTHERWISE) BASED UPON, ARISING OUT OF OR RELATING TO THIS RELEASE SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK (EXCEPT FOR CONFLICTS OF LAW PRINCIPLES THAT WOULD RESULT IN THE APPLICATION OF THE LAWS OF ANOTHER JURISDICTION).

[Signature Page Follows]

IN WITNESS WHEREOF, Secured Party has caused this Trademark Release and Reassignment to be executed as of the day and year first above written.

APOGEM CAPITAL LLC, as Secured Party

By:  _____


Name: Jawad Sozer

Title: Vice President

Trademark Release and Reassignment

TRADEMARK
REEL: 008296 FRAME: 0526

SCHEDULE A

Trademark	Owner	Country	Application Number	Application Date	Registration Number	Registration Date
CAITLIN MORGAN INSURANCE SERVICES	DOXA ALTERNATIVE RISK MANAGEMENT, LLC	USPTO	88869663	13-APR- 2020	6199106	17-NOV-2020
 CAITLIN MORGAN Insurance Services	DOXA ALTERNATIVE RISK MANAGEMENT, LLC	USPTO	88869761	13-APR- 2020	6199108	17-NOV-2020