TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM870467

SUBMISSION TYPE:	RESUBMISSION	
NATURE OF CONVEYANCE:	NUNC PRO TUNC ASSIGNMENT	
EFFECTIVE DATE:	08/30/2019	
RESUBMIT DOCUMENT ID:	900829145	

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
DAS Brands, LLC		11/22/2023	Limited Liability Company: PENNSYLVANIA

RECEIVING PARTY DATA

Name:	PEN LLC
Street Address:	121 Jalyn Drive
City:	New Holland
State/Country:	PENNSYLVANIA
Postal Code:	17557
Entity Type:	Limited Liability Company: PENNSYLVANIA

PROPERTY NUMBERS Total: 1

Property Type Number		Word Mark		
Registration Number:	4928559	ORIGINAL BILL'S		

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (610) 685-1600

Email: jgoldschmidt@smithbukowski.com

Correspondent Name: John W. Goldschmidt, Jr.

Address Line 1: 1050 Spring Street
Address Line 2: Smith Bukowski, LLC

Address Line 4: Wyomissing, PENNSYLVANIA 19610

ATTORNEY DOCKET NUMBER:	SB-PE-00300	
NAME OF SUBMITTER:	John W. Goldschmidt, Jr.	
SIGNATURE:	/John W. Goldschmidt, Jr./	
DATE SIGNED:	01/23/2024	

Total Attachments: 8

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CONFIRMATORY TRADEMARK ASSIGNMENT

This **CONFIRMATORY TRADEMARK ASSIGNMENT** (the "**Assignment**"), effective as of August 30, 2019, by DAS Brands, LLC, a Pennsylvania limited liability company ("**DAS Brands**" or "**Assignor**").

- **WHEREAS**, prior to August 30, 2019, DAS Brands was the owner of the trademarks set forth in Exhibit "A" hereto (the "**Trademarks**") and the United States Trademark Registration set forth in Exhibit "B" hereto (the "**Registration**");
- WHEREAS, DAS Foods, LLC, a Pennsylvania Limited Liability Company ("DAS Foods"), and Pony Express Foods, LLC, a Pennsylvania Limited Liability Company ("Pony Express Foods"), were Members of and jointly owned PEN LLC, a Pennsylvania limited liability company ("PEN" or "Assignee");
- **WHEREAS**, DAS Brands licensed to DAS Foods the right to use and license others to use of the Trademarks and Registration;
- **WHEREAS**, by License Agreement dated January 29, 2016, DAS Foods licensed the use of the Trademarks and Registration to PEN;
- **WHEREAS,** on or about August 30, 2019, DAS Foods and Pony Express Foods entered into a Membership Interest Purchase Agreement (the "**Purchase Agreement**") by which DAS Foods assigned, transferred and sold to Pony Express Foods its entire Membership Interest in PEN (the "**Assigned Interest**");
- **WHEREAS,** on or about August 30, 2019, DAS Brands conveyed to PEN its entire right, title, and interest in, to and under the Trademarks and Registration;
- **WHEREAS**, DAS Brands and PEN are desirous of confirming in writing the conveyance to PEN of DAS Brand's entire right, title, and interest in, to and under the Trademarks and Registration; and
- **WHEREAS**, in furtherance of DAS Brands has agreed to execute and deliver this Assignment for recording in the United States Patent and Trademark Office, corresponding entities or agencies in any applicable jurisdictions, or as otherwise may be necessary or desirable to establish PEN's right, title and interest in and to the Trademarks and Registration.
- **NOW THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, DAS Brands agrees as follows:
- 1. <u>Assignment</u>. DAS Brands hereby confirms that it has sold, conveyed, transferred, and assigned to PEN all of DAS Brand's right, title, and interest in and to the following:
 - (a) the Trademarks set forth on Schedule A hereto, together with the goodwill of the business, if any, connected with the use of, and symbolized by, the Trademarks;

- (b) the Registration set forth on Schedule B hereto, and all issuances, extensions, renewals, and foreign counterparts thereof, together with the goodwill of the business, if any, connected with the use of, and symbolized by, the Registration and registered mark;
- (c) all rights of any kind whatsoever of DAS Brands accruing under any of the Trademarks and/or Registration provided by applicable law of any jurisdiction, by international treaties and conventions, and otherwise throughout the world; and
- (d) any and all claims and causes of action with respect to any of the Trademarks and/or Registration, whether accruing before, on, or after the date hereof, including all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages.
- 2. No Warranty and Release. The Trademarks and Registration were conveyed "as is" and no warranty with regard to the Trademarks and Registration were made at conveyance or is made with this Confirmatory Trademark Assignment. Notwithstanding the foregoing Section 1, nothing contained in this Agreement shall require DAS Brands to defend the Trademarks or Registration, pursue litigation, pursue relief for infringement, or take any other action to defend the Trademarks and Registration. PEN hereby releases and waives any right of action against DAS Brands for any past or present failure to defend the Trademarks and Registration.
- 3. Recordation and Further Actions. DAS Brands hereby authorizes the United States Patent and Trademark Office and the officials of corresponding entities or agencies in any applicable jurisdictions to record and register this Confirmatory Trademark Assignment upon request by PEN. Following the date hereof, upon PEN's reasonable request, and at PEN's sole cost and expense, DAS Brands shall take such steps and actions, and provide such cooperation and assistance to PEN and its successors, assigns, and legal representatives, including the execution and delivery of any affidavits, declarations, oaths, exhibits, assignments, powers of attorney, or other documents, as may be necessary to effect, evidence, or perfect the assignment of the Trademarks and Registration to PEN, or any assignee or successor thereto.
- 4. <u>Successors and Assigns</u>. This Assignment shall be binding upon and shall inure to the benefit of DAS Brands and PEN and their respective successors and assigns.

IN WITNESS WHEREOF, DAS Brands, LLC has duly executed and delivered this Confirmatory Trademark Assignment effective as of the date first written above.

DAS BRANDS, LLC

Name: Andrew L. Johnson

Title: Treasurer

SCHEDULE A

Trademarks

Nark
ORIGINAL BILL'S
EAT BOLDLY

SCHEDULE B

United States Trademark Registration

***************************************	Mark	Serial No.	Filing Date	Registration No.	Registration Date
	ORIGINAL BILL'S	86/465,764	November 26, 2014	4,928,559	March 29, 2016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Registration of:

PEN LLC

Registration No: 4,928,559

: **Document ID:** 900829145

Registered: March 29, 2016

Serial No: 86/465,764

: Access Code: 0SMF05A9U9O3YKY

Filed: November 26, 2014

Mark: ORIGINAL BILL'S

Commissioner for Trademarks P.O. Box 1450

Alexandria, VA 22313-1450

Attention: Assignment Recordation Services Branch

RESPOSE TO NOTICE OF NON-RECORDATION **AND REQUEST FOR RECONSIDERATION**

Sir:

In response to the Notice of Non-Recordation dated January 23, 2024, Owner/Receiving Party of the above-captioned Registration, PEN LLC, hereby resubmits, without prejudice, the enclosed Confirmatory Trademark Assignment (the "Assignment") originally submitted for recordation on November 28, 2023, and respectfully requests reconsideration by the

U.S. Registration No. 4,928,559

Date of Submission: January 23, 2024

Attorney Docket No. SB-PE-00300

Assignment Recordation Branch of its refusal to record the Assignment for the reasons set forth in

the Remarks below.

In the Recodation Cover Sheet:

Kindly delete, without prejudice, the Entity Type of the Conveying Party, and insert

the following therefor:

-- Limited Liability Company --

REMARKS

In view of the foregoing and the Remarks which follow, Owner/Receiving Party,

PEN LLC, respectfully requests reconsideration of the request for recordation of the above-

captioned Registration in accordance with the enclosed Assignment document.

1. Conveying Party's Entity Type

The Assignment Recordation Branch has identified a discrepancy with the

Conveying Party's Entity Type inasmuch as the "cover sheet states a Corporation, but the

document states a Limited Liability Company." The Assignment Recordation Branch has

requested clarification of this discrepancy.

To clarify, the Conveying Party's entity type is a Limited Liability Company as set

forth in the Assignment document being filed concurrently herewith. Accordingly, the Entity Type

of the Conveying Party on the Cover Sheet is hereby amended to "Limited Liability Company"

both herein and by the resubmission being concurrently submitted electronically via the ETAS

website.

Entry of this amendment to the Entity Type of the Conveying Party on the Cover

-2-

TRADEMARK

REEL: 008324 FRAME: 0278

U.S. Registration No. 4,928,559

Date of Submission: January 23, 2024 Attorney Docket No. SB-PE-00300

Sheet is respectfully requested.

2. Execution Date of the Assignment Document

The Assignment Recordation Branch has identified a discrepancy with the

execution date of Assignment document inasmuch as the "cover sheet states 11/22.2023 [sic]" but

the "assignment document is missing the execution date." The Assignment Recordation Branch

has requested clarification of this discrepancy.

To clarify, although the Assignment document does not bear the date upon which

the Conveying Party actually executed the Assignment document, as noted on the Cover Sheet the

nature of the conveyance is a "Nunc Pro Tunc Assignment" having an "Effective Date" of

"08/30/2019." Likewise, the Assignment document itself is entitled "Confirmatory Trademark

Assignment" and the fifth "Whereas" clause on the first page of the Assignment document states

that "on or about August 30, 2019, DAS Brands conveyed to PEN its entire right, title, and interest

in, to and under the Trademarks and Registration." Inasmuch as the conveyance is a "Nunc Pro

Tunc Assignment," it is the "Effective Date" of the Assignment which is material to the transfer

of property and not the actual Execution Date of the Assignment document itself.

Because the Assignment document does not bear a date of execution by the

Conveying Party, the date of receipt of the executed Assignment document from the Conveying

Party's representative by the Receiving Party's undersigned representative, namely November 22,

2023, was used as the "Execution Date" of the Assignment document on the Cover Sheet. This

date represents a date of execution of the Assignment document which was at least as early as

November 22, 2023.

Moreover, the Receiving Party respectfully submits that neither the Trademark

-3-

Rules of Practice nor the Trademark Manual of Examining Procedure require that the acceptance

of an Assignment document for recordation in the United States Patent and Trademark Office bear

an execution date on the document to be recorded, and particularly not in the case of the recordation

of a "Nunc Pro Tunc Assignment."

Accordingly, the Receiving Party respectfully requests that the enclosed

Assignment document be recorded in the United States Patent and Trademark Office as submitted

herewith.

Conclusion

In view of the foregoing, the Receiving Party respectfully requests that the enclosed

Assignment document be recorded in the United States Patent and Trademark Office as submitted

herewith.

Respectfully submitted,

/John W. Goldschmidt, Jr./

John W. Goldschmidt, Jr.

Attorney of Record

Registration No. 34,828 and

Pennsylvania Bar Member No. 55,298

SMITH BUKOWSKI, LLC

Suite 1

1050 Spring Street

Wyomissing, Pennsylvania 19610

Telephone: (610) 685-1600

Facsimile: (610) 685-1300

Attorneys for Owner/Receiving Party,

PEN LLC

-4-

TRA

TRADEMARK
REEL: 008324 FRAME: 0280

Date: January 23, 2024