900829381 01/19/2024

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 ETAS ID: TM869540 Stylesheet Version v1.2

SUBMISSION TYPE: RESUBMISSION

NATURE OF CONVEYANCE: Correction of Improperly Recorded Security Agreements

RESUBMIT DOCUMENT ID: 900766675

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Jacob D Edwards		04/18/2023	INDIVIDUAL: UNITED STATES

RECEIVING PARTY DATA

Name:	Jacob D Edwards		
Street Address:	4211 Old Hartford Road		
City:	Owensboro		
State/Country:	KENTUCKY		
Postal Code:	42303		
Entity Type:	INDIVIDUAL: UNITED STATES		

PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Serial Number:	90370856	KENTUCKY CLUB

CORRESPONDENCE DATA

Fax Number: 8592539144

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 859-226-2332

Email: mhargis@stites.com

Correspondent Name: Michael S. Hargis

Address Line 1:250 W. Main Street, Suite 2300Address Line 4:Lexington, KENTUCKY 40507

ATTORNEY DOCKET NUMBER: 2530S-001US00

NAME OF SUBMITTER: Michael S. Hargis

SIGNATURE: /Michael S. Hargis/

DATE SIGNED: 01/19/2024

Total Attachments: 5

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> TRADEMARK 900829381 REEL: 008324 FRAME: 0413

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TRADEMARK REEL: 008324 FRAME: 0414

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Registration Application Serial No. 90/370,856

Filed December 9, 2020
For the mark KENTUCKY CLUB
Published in the Official Gazette on February 15, 2022

DECLARATION OF JACOB D. EDWARDS

I, Jacob D. Edwards, submit this declaration pursuant to 28 U.S.C. §1746.

- I am the individual who originally filed Registration Application Serial No. 90/370,856 seeking registration of the mark KENTUCKY CLUB for whiskey.
- I am familiar with the facts set forth in this declaration and could, if called as a witness, testify competently with regard to them.
- A first Trademark Security Agreement document was submitted and recorded at Reel 7785/Frame 0863 on July 13, 2022.
- A second Trademark Security Agreement document was submitted also and recorded at Reel 7786/Frame 0238 on July 13, 2022.
- I entered into an Intellectual Property License Agreement with Green River
 Spirits Company on November 8, 2021, wherein I licensed use of the mark KENTUCKY CLUB.
- On July 14, 2022, Green River Spirits Company, a South Carolina corporation, was converted to Green River Spirits Company, LLC, a South Carolina Limited Liability Company.
- Neither Green River Spirits Company nor Green River Spirits Company, LLC
 ever used the mark KENTUCKY CLUB.
- 8. On September 20, 2022, Green River Spirits Company, LLC and I, Jacob D.

 Edwards, executed a First Amendment to and Termination of the Intellectual Property License

TRADEMARK REEL: 008324 FRAME: 0415 Agreement terminating the aforementioned Intellectual Property License Agreement

- 9. Had Green River Spirits Company ever used the mark KENTUCKY CLUB, the Intellectual Property License Agreement read "Licensee [Green River Spirits Company] agrees that its Use of the Licensor IP under this Agreement, and the goodwill associated therewith, inures to the benefit of Licensor [Jacob D. Edwards]" and that "[n]othing contained herein shall act as an assignment or transfer of any right, title, or interest of Licensor [Jacob D. Edwards] in or to any Licensor IP."
- Accordingly, I have been, and continue to be, the correct owner of the
 Registration Application Serial No. 90/370,856 and all rights in the mark KENTUCKY CLUB.
- During this license period and on July 13, 2022, Green River Spirits Company entered into a Trademark Security Agreement with unnamed Secured Parties and with Capital One, National Association acting as collateral agent. This Security Agreement is the first Security Agreement that was recorded in the PTO and is identified in paragraph 3 above.
- 12. The first Security Agreement includes a Grant of Security Interest section that purports to grant a security interest in all right, title or interest in a group of assets listed in a Schedule A which list included Reg. App. Ser. No. 90/370,856.
- 13. The first Security Agreement specifically excludes any intent-to-use Trademark application prior to the filing of a "Statement of Use" or "Amendment to Allege Use" with respect thereto, to the extent, if any, that, and solely during the period, if any, in which, the grant of a security interest therein would impair the validity or enforceability of such intent-to-use Trademark application or any registration that issues therefrom under applicable federal law.
- 14. The first Trademark Security Agreement contains erroneous information because the neither Green River Spirits Company nor Green River Spirits Company, LLC have rights in

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the mark KENTUCKY CLUB or Reg. App. Ser. No. 90/370,856 to grant.

- 15. Also during this license period and on July 13, 2022, Green River Spirits

 Company and The Bardstown Bourbon Company, LLC entered into a second Trademark

 Security Agreement with unnamed Secured Parties and with Alter Domus (US) LLC acting as

 collateral agent. This Security Agreement is the second Security Agreement that was recorded in
 the PTO and is identified in paragraph 4 above.
- 16. The second Security Agreement includes a Grant of Security Interest section that purports to grant a security interest in all right, title or interest in a group of assets listed in a Schedule A which list included Reg. App. Ser. No. 90/370,856.
- 17. The second Security Agreement specifically excludes any intent-to-use Trademark application prior to the filing of a "Statement of Use" or "Amendment to Allege Use" with respect thereto, to the extent, if any, that, and solely during the period, if any, in which, the grant of a security interest therein would impair the validity or enforceability of such intent-to-use Trademark application or any registration that issues therefrom under applicable federal law.
- 18. The second Trudemark Security Agreement contains erroneous information because the neither Green River Spirits Company, Green River Spirits Company, LLC, nor The Bardstown Bourbon Company, LLC have rights in the mark KENTUCKY CLUB or Reg. App. Ser. No. 90/370,856 to grant.
- 19. I have contacted, through counsel, counsel and/or representatives from each of the involved parties requesting release of the first and second Trademark Security Agreements. To date, none of the counsel and/or representatives contacted have taken or indicated a willingness to take action to file corrective papers.
 - Accordingly, I am providing this Declaration in support of a corrected cover sheet

filed with the Assignment Services Division of the U.S. Patent and Trademark Office in accordance with 37 C.F.R. §3.34 (TMEP § 323.01(c)).

All statements made of my own knowledge are true and all statements made on information and belief are believed to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on April 17, 2023, in <u>Duedsone a</u> Kentucky.

BV

Jesob D. Edwards, Individual 4211 Old Hartford Road Owensboro, Kentucky 42303

Applicant

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