

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

Assignment ID: TMI29170

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL
SEQUENCE:	1

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
TD Ameritrade, Inc.		02/02/2024	Corporation: NEW YORK

RECEIVING PARTY DATA

Company Name:	TD Ameritrade IP Company, Inc.
Street Address:	200 South 108th Avenue
City:	Omaha
State/Country:	NEBRASKA
Postal Code:	68154
Entity Type:	Corporation: DELAWARE

PROPERTY NUMBERS Total: 11

Property Type	Number	Word Mark
Registration Number:	3268098	INVESTOR TOOLBOX
Registration Number:	2140963	SCOTTRADE
Registration Number:	3514361	SCOTTRADE CENTER
Registration Number:	3428801	SCOTTRADE CENTER
Registration Number:	3428802	SCOTTRADE CENTER
Registration Number:	3428799	SCOTTRADE CENTER
Registration Number:	2940785	SCOTTRADEELITE
Registration Number:	2729327	SCOTTRADER
Registration Number:	3034578	VEO
Registration Number:	4838170	VEO ONE
Registration Number:	4837989	VEO OPEN ACCESS

CORRESPONDENCE DATA

Fax Number: 3122220818

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3122220800

Email: chiipdocket@michaelbest.com

Correspondent Name: Louise Arnott

Address Line 1: 444 W. Lake Street, Suite 3200

TRADEMARK

Address Line 4:	Chicago, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	888852-0002
NAME OF SUBMITTER:	SHARON PROSEK
SIGNATURE:	SHARON PROSEK
DATE SIGNED:	02/15/2024
Total Attachments: 5 source=Trademark Assignment Agreement TDI to TDA#page1.tif source=Trademark Assignment Agreement TDI to TDA#page2.tif source=Trademark Assignment Agreement TDI to TDA#page3.tif source=Trademark Assignment Agreement TDI to TDA#page4.tif source=Trademark Assignment Agreement TDI to TDA#page5.tif	

Trademark Assignment Agreement

This Trademark Assignment Agreement (this “**Agreement**”), dated as of 2/2/2024, is made by TD Ameritrade, Inc. (“**Assignor**”), a New York corporation, located at 200 South 108th Avenue, Omaha, Nebraska 68154, United States of America, and TD Ameritrade IP Company, Inc. (“**Assignee**”) a Delaware corporation, located at 200 South 108th Avenue, Omaha, Nebraska 68154, United States of America (each a “**Party**” and collectively the “**Parties**”).

WHEREAS, Assignor wishes to assign to Assignee and Assignee wishes to acquire from Assignor all right, title, and interest in and to the Assigned Trademarks (as defined below), subject to the terms and conditions set forth herein; and

NOW THEREFORE, in consideration of the mutual covenants and agreements set forth herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

1. Assignment. Assignor hereby irrevocably conveys, transfers, and assigns to Assignee, its successors and assigns, all of Assignor’s worldwide right, title, and interest in and to the following (collectively, the “Assigned Trademarks”):
 - a. The trademarks, service marks, brands, logos, trade dress, trade names, and other similar indicia of source or origin listed on Schedule 1 and all registrations, applications for registration, issuances, extensions, and renewals thereof, together with the goodwill of the business connected with the use of, and symbolized by, any and all of the foregoing;
 - b. Any and all royalties, fees, income, payments, and other proceeds now or hereafter due or payable with respect to any and all of the foregoing;
 - c. All other rights, privileges, and protections of any kind whatsoever of Assignor accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions, and otherwise throughout the world; and
 - d. Any and all claims and causes of action with respect to any of the foregoing, whether accruing before, on, or after the date hereof, including all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover any such damages.
2. Recordation and Further Actions. Assignor hereby authorizes the Commissioner for Trademarks in the U.S. Patent and Trademark Office, and the officials of corresponding entities or agencies in any applicable jurisdictions to record and register this Agreement upon request by Assignee. Following the date hereof, Assignor shall take such steps and actions, and provide such cooperation and assistance to Assignee and its successors, assigns, and legal representatives, including the execution and delivery of any affidavits, declarations, oaths, exhibits, assignments, powers of attorney or other documents, as may be necessary to effect, evidence, or perfect the assignment of the Assigned Trademarks to Assignee, or any assignee or successor thereto.
3. Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, and will become effective and binding upon the Parties as of the Effective Date at such time as all the signatories hereto have signed a counterpart of this Agreement.

4. Successors and Assigns. This Agreement shall be binding upon and shall inure to the benefit of the parties hereto and their respective successors and assigns.
5. Governing Law. This Agreement and any and all disputes, claims or litigation arising from or related in any way to this Agreement shall be resolved exclusively by the courts in the State of Delaware. This Agreement will be governed by and construed in accordance with the laws of the State of Delaware, without regard to Delaware conflict of law provisions.
6. Electronic Signatures and Facsimiles – Binding. This Agreement may be accepted and signed in electronic form (e.g., by an electronic or digital signature or other means of demonstrating assent) and the Parties' acceptance and signature will be deemed binding between the Parties. The Parties acknowledge and agree neither will contest the validity or enforceability of this Agreement, including under any applicable statute of frauds, because they were accepted or signed in electronic form. The Parties further acknowledge and agree that neither will contest the validity or enforceability of a signed facsimile copy of this Agreement on the basis that it lacks an original handwritten signature. Facsimile signatures shall be considered valid signatures as of the date hereof.

[signature pages to follow]

ASSIGNOR

TD AMERITRADE, INC.

By: _____

Name: James Kostulias

Title: Managing Director

Date: J Th 11/6/24

STATE OF NJ)

) ss.

COUNTY OF MONMOUTH)

I, Marianne Verpent do hereby certify that the above-mentioned person appeared before me this day, and acknowledged that this Trademark Assignment Agreement was signed and delivered as the free and voluntary act of said person on behalf of the identified corporation with authority to do so, for the uses and purposes therein set forth.

Given under my hand and seal this 10 day of January, 2024



[Signature]
Notary Public

ASSIGNEE

TD AMERITRADE IP COMPANY, INC.

By: [Signature]
Name: Thomas Blessing
Title: MD, Trading Tech
Date: 2/2/2024

STATE OF Florida)

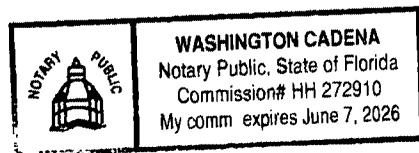
) ss.

COUNTY OF Lee)

I, Washington Cadena, do hereby certify that the above-mentioned person appeared before me this day, and acknowledged that this Trademark Assignment Agreement was signed and delivered as the free and voluntary act of said person on behalf of the identified corporation with authority to do so, for the uses and purposes therein set forth.

Given under my hand and seal this 2 day of February.

[Signature]
Notary Public



SCHEDULE 1**U.S. TRADEMARKS**

Country	Mark Name	Status	Application Number	Registration Number
United States of America	INVESTOR TOOLBOX	Registered	78/928,154	3268098
United States of America	SCOTTRADE	Registered	75/263,254	2140963
United States of America	SCOTTRADE CENTER	Registered	78/968,543	3514361
United States of America	SCOTTRADE CENTER & DESIGN (DESIGN ON LEFT)	Registered	78/971,542	3428801
United States of America	SCOTTRADE CENTER & DESIGN (DESIGN ON TOP)	Registered	78/971,548	3428802
United States of America	SCOTTRADE CENTER (STYLIZED)	Registered	78/971,185	3428799
United States of America	SCOTTRADEELITE	Registered	78/239,188	2940785
United States of America	SCOTTRADER	Registered	78/158,751	2729327
United States of America	VEO	Registered	78/416,209	3034578
United States of America	VEO ONE	Registered	86/438,596	4838170
United States of America	VEO OPEN ACCESS	Registered	86/379,966	4837989

FOREIGN TRADEMARKS

Country	Mark Name	Status	Application Number	Registration Number
Canada	SCOTTRADE	Registered	1345484	TMA781176
China	SCOTTRADE	Registered	16724626	16724626
China	SCOTTRADE	Registered	16724625	16724625
China	SCOTTRADE & DESIGN	Registered	32486584	32486584
China	SCOTTRADE & DESIGN	Registered	41377314	41377314
China	SCOTTRADE SECURITIES IN CHINESE	Registered	41377315	41377315
Taiwan	SCOTTRADE	Registered	096035572	01308778