

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

Assignment ID: TMI79353

|   |  |   |  |
|---|--|---|--|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT   |   |  |
| <b>NATURE OF CONVEYANCE:</b>  | Termination and Release of Security Interest in Trademarks Recorded at Reel 6547, Frame 0605 |   |  |
| <b>CONVEYING PARTY DATA</b>   |  |   |  |
| <b>Name</b>   | <b>Formerly</b>  | <b>Execution Date</b>                                 | <b>Entity Type</b>                     |
| ICG Debt Administration, LLC,<br>as Collateral Agent  |  | 03/07/2024  | Limited Liability Company:<br>DELAWARE |
| <b>RECEIVING PARTY DATA</b>   |  |   |  |
| <b>Company Name:</b>  | Northern Lights Compliance Services, LLC   |   |  |
| <b>Street Address:</b>  | 17605 Wright Street  |   |  |
| <b>City:</b>  | Omaha  |   |  |
| <b>State/Country:</b>   | NEBRASKA   |   |  |
| <b>Postal Code:</b>   | 68130  |   |  |
| <b>Entity Type:</b>   | Limited Liability Company: NEBRASKA  |   |  |
| <b>PROPERTY NUMBERS Total: 11</b>   |  |   |  |
| <b>Property Type</b>  | <b>Number</b>  | <b>Word Mark</b>                                      |  |
| <b>Registration Number:</b>   | 4776210  | BLU GIANT   |  |
| <b>Registration Number:</b>   | 4776207  | BLU GIANT   |  |
| <b>Registration Number:</b>   | 4244958  | GEMINI FUND SERVICES, LLC POOLED INVESTMENT SOLUTIONS |  |
| <b>Registration Number:</b>   | 3443491  | GEMINI FUND SERVICES, LLC                             |  |
| <b>Registration Number:</b>   | 5180361  | GEMINI COMPANIES                                      |  |
| <b>Registration Number:</b>   | 5180363  | GEMINI ALTERNATIVE FUNDS                              |  |
| <b>Registration Number:</b>   | 5180366  | GEMINI FUND SERVICES                                  |  |
| <b>Registration Number:</b>   | 5180364  | GEMINI HEDGE FUND SERVICES                            |  |
| <b>Registration Number:</b>   | 4768332  | NORTHERN LIGHTS COMPLIANCE SERVICES                   |  |
| <b>Serial Number:</b>   | 87721207   | GEMINI  |  |
| <b>Serial Number:</b>   | 87721357   | GEMINI  |  |
| <b>CORRESPONDENCE DATA</b>  |  |   |  |
| <b>Fax Number:</b>  |  |   |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |   |  |
| <b>Phone:</b>   | (213)620-7848  |   |  |
| <b>Email:</b>   | iprecordations@whitecase.com   |   |  |

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**Correspondent Name:** Justine Lu/White & Case LLP  
**Address Line 1:** 555 South Flower Street, Suite 2700  
**Address Line 4:** Los Angeles, CALIFORNIA 90071

**ATTORNEY DOCKET NUMBER:** 1179315-0005-S216

**NAME OF SUBMITTER:** Justine Lu

**SIGNATURE:** Justine Lu

**DATE SIGNED:** 03/07/2024

**Total Attachments: 3**

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TERMINATION AND RELEASE OF  
SECURITY INTEREST IN TRADEMARKS

This Termination and Release of Security Interest in Trademarks (“Release”) is made as of March 7, 2024, by ICG DEBT ADMINISTRATION, LLC, a Delaware limited liability company (in such capacity, together with its successors and assigns, “Collateral Agent”) in favor of NORTHERN LIGHTS COMPLIANCE SERVICES, LLC, a Nebraska limited liability company with an address at 17605 Wright Street, Omaha, Nebraska 68130, and GEMINI FUND SERVICES, LLC, a Nebraska limited liability company with an address at 17605 Wright Street, Omaha, Nebraska 68130 (each, a “Grantor” and collectively, the “Grantors”).

WITNESSETH:

WHEREAS, the Grantors entered into a certain trademark security agreement dated February 1, 2019 (the “Trademark Security Agreement”) with the Collateral Agent, notice of which was recorded on February 1, 2019 at the United States Patent and Trademark Office at Reel 6547, Frame 0605.

Capitalized terms used but not otherwise defined herein have the meanings given to them in the Trademark Security Agreement.

WHEREAS, the Grantors granted the Collateral Agent, under the terms of the Trademark Security Agreement, a continuing security interest (the “Security Interest”) in favor of the Collateral Agent, in all of each such Grantor’s right, title and interest in and to the Trademark Collateral, including without limitation the Trademarks listed in Schedule A.


WHEREAS, the Collateral Agent has agreed to terminate and release its security interest in all such Trademark Collateral as herein provided.

NOW, THEREFORE, in consideration of the foregoing and intending to be legally bound, the Collateral Agent, for itself and on behalf of the lenders in association with the Security Interest, hereby releases, terminates and discharges any and all of its interest in the Trademark Collateral of the Grantors, and assigns, transfers and conveys to Grantors any interest in such intellectual property, including the Security Interest.

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IN WITNESS WHEREOF, the Collateral Agent has caused this Release to be executed as of the day and year first written above.

ICG DEBT ADMINISTRATION, LLC,  
as Collateral Agent

By: 

Name: *Brian Spenner*  
Title: *Authorized Person*

SCHEDULE A

| Trademark   | Owner/Applicant                          | Application No. | Filing Date | Reg. No. | Reg. Date |
|---|--|-----------------|-------------|----------|-----------|
|    | Gemini Fund Services, LLC                | 86451733        | 11/12/2014  | 4776210  | 7/21/15   |
| <u>BLU GIANT</u>  | Gemini Fund Services, LLC                | 86451706        | 11/12/2014  | 4776207  | 7/21/15   |
|    | Gemini Fund Services, LLC                | 85556695        | 2/29/12     | 4244958  | 11/20/12  |
|    | Gemini Fund Services, LLC                | 77037554        | 11/6/2006   | 3443491  | 6/10/2008 |
|   | Gemini Fund Services, LLC                | 87143263        | 8/18/2016   | 5180361  | 4/11/2017 |
|  | Gemini Fund Services, LLC                | 87143270        | 8/18/2016   | 5180363  | 4/11/2017 |
|  | Gemini Fund Services, LLC                | 87143282        | 8/18/2016   | 5180366  | 4/11/2017 |
|  | Gemini Fund Services, LLC                | 87143276        | 8/18/2016   | 5180364  | 4/11/2017 |
| <u>GEMINI</u>   | Gemini Fund Services, LLC                | 87721207        | 12/14/2017  | N/A      | pending   |
| <b>GEMINI</b>   | Gemini Fund Services, LLC                | 87721357        | 12/14/2017  | N/A      | pending   |
| <u>NORTHERN LIGHTS COMPLIANCE</u>   | Northern Lights Compliance Services, LLC | 86451713        | 11/12/2014  | 4768332  | 7/7/2015  |