

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

Assignment ID: TM116700

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	RELEASE OF SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Crescent Agency Services LLC		03/22/2024	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Company Name:</b>	Kestra Financial, Inc.		
<b>Street Address:</b>	5707 Southwest Pkwy, Bldg 2		
<b>Internal Address:</b>	Suite 400		
<b>City:</b>	Austin		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	78735		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>Company Name:</b>	Provis Management Group, LLC		
<b>Street Address:</b>	611 Druid Road East		
<b>Internal Address:</b>	Suite 105		
<b>City:</b>	Clearwater		
<b>State/Country:</b>	FLORIDA		
<b>Postal Code:</b>	33756		
<b>Entity Type:</b>	Limited Liability Company: FLORIDA		
<b>Company Name:</b>	Rinvelt & David, LLC		
<b>Street Address:</b>	1700 E. Beltline NE, Suite 150		
<b>City:</b>	Grand Rapids		
<b>State/Country:</b>	MICHIGAN		
<b>Postal Code:</b>	49525		
<b>Entity Type:</b>	Limited Liability Company: MICHIGAN		
<b>Company Name:</b>	SNS Financial Group, LLC		
<b>Street Address:</b>	43 SE Main Street		
<b>Internal Address:</b>	Suite #236		
<b>City:</b>	Minneapolis		
<b>State/Country:</b>	MINNESOTA		
<b>Postal Code:</b>	55414		
<b>Entity Type:</b>	Limited Liability Company: MINNESOTA		

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**PROPERTY NUMBERS Total: 12**

Property Type	Number	Word Mark
Registration Number:	4848336	ADVISOR ENTERPRISE
Registration Number:	4861385	ADVISORCOMPLETE
Registration Number:	5940976	KESTRA
Registration Number:	6093348	KESTRA
Registration Number:	6093345	KESTRA FINANCIAL
Registration Number:	5635425	KESTRA FINANCIAL
Registration Number:	1767650	PROVISE
Registration Number:	2843333	PERSONAL FINANCIAL OFFICER PFO
Registration Number:	3347201	RINVELT & DAVID LLC PERSONAL FINANCIAL PLANNING SPECIALISTS
Registration Number:	4668362	SOJOURN
Registration Number:	4633737	SOJOURN A PAUSE IN THE PATH OF A LONGER JOURNEY
Registration Number:	3424328	V VECTOR WEALTH MANAGEMENT

**CORRESPONDENCE DATA**

**Fax Number:** 8009279801

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 8009279801

**Email:** jean.paterson@cscglobal.com

**Correspondent Name:** CSC J. Paterson

**Address Line 1:** 19 West 44th Street

**Address Line 2:** Suite 200

**Address Line 4:** New York, NEW YORK 10036

**NAME OF SUBMITTER:** Jean Paterson

**SIGNATURE:** Jean Paterson

**DATE SIGNED:** 03/25/2024

**Total Attachments: 5**

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## RELEASE OF SECURITY INTEREST IN TRADEMARKS

This RELEASE OF SECURITY INTEREST IN TRADEMARKS (this “Release”), dated as of March 22, 2024 (the “Effective Date”), is made by CRESCENT AGENCY SERVICES LLC, in its capacity as Second Lien Collateral Agent (the “Agent”), in favor of the grantor parties identified on the signature page hereto (each a “Grantor” and collectively, the “Grantors”).

WHEREAS, pursuant to that certain Second Lien Collateral Agreement, dated as of December 14, 2021, by and among the Agent, the Grantors and certain other parties thereto (as amended, amended and restated, or otherwise modified from time to time, the “Collateral Agreement”), the Grantors granted to the Agent, in its capacity as Agent, a security interest in and to certain collateral;

WHEREAS, pursuant to the Collateral Agreement, the Grantors executed and delivered a Second Lien Trademark Security Agreement, dated as of December 14, 2021 (the “Trademark Security Agreement”), for recordal with the United States Patent and Trademark Office;

WHEREAS, the Trademark Security Agreement was recorded with the United States Patent and Trademark Office on December 15, 2021 at Reel/Frame 7530/0840;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Agent hereby agrees as follows:

1. Defined Terms. All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed in or otherwise referenced in the Collateral Agreement or Trademark Security Agreement, as applicable.

2. Release. The Agent hereby absolutely, unconditionally and irrevocably releases, discharges, terminates and cancels all of its security interest in and to the Trademark Collateral, including the trademark registrations and applications set forth Schedule I attached hereto, arising under the Collateral Agreement and the Trademark Security Agreement. The Agent also hereby authorizes and requests the Commissioners for Patents and Trademarks of the United States Patent and Trademark Office, and any other necessary United States government officer, to record this Release.

3. Termination. The Agent hereby absolutely, unconditionally and irrevocably terminates and cancels the Trademark Security Agreement. This Release shall be binding upon the Agent’s representatives, successors, assigns and transferees.

4. Further Assurances. The Agent agrees to take all further actions, and provide to the applicable Grantor and its successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by the applicable Grantor, at the applicable Grantor’s sole cost and expense, to more fully and effectively effectuate the purposes of this Release.

5. Counterparts. This Release may be executed in counterparts (and by different parties hereto on different counterparts), each of which shall constitute an original but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page of this Release by facsimile transmission or other electronic transmission (e.g., a “.pdf” or “.tif” file) shall be effective as delivery of a manually executed counterpart hereof. For purposes hereof, the words “execution,” “execute,” “executed,” “signed,” “signature” and words of like import shall be deemed to include electronic platforms, or the keeping of records in electronic form, each of which shall be of the same legal

effect, validity or enforceability as a manually executed signature or the use of a paper based record keeping system, as the case may be, to the extent and as provided for in any applicable law, including the Federal Electronic Signatures in Global and National Commerce Act, the New York State Electronic Signatures and Records Act, or any other similar state laws based on the Uniform Electronic Transaction Act.

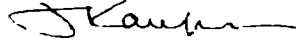
6. Governing Law. This Release shall be governed exclusively under the laws of New York, without regard to conflicts of law or choice of law principles.

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IN WITNESS WHEREOF, the Agent has caused this Release to be executed by its duly authorized representative as of the Effective Date:

CRESCENT AGENCY SERVICES LLC, acting in its capacity as Second Lien Collateral Agent

By: Crescent Capital Group LP, its Managing Member



By: \_\_\_\_\_

Name: Joseph Kaufman

Title: Managing Director



By: \_\_\_\_\_

Name: Mandy Brown

Title: Managing Director

**KESTRA FINANCIAL, INC.**, as a Grantor

By: *Bredt Norwood*  
Name: R. Bredt Norwood  
Title: Executive Vice President

**PROVISE MANAGEMENT GROUP, LLC**,  
as a Grantor

By: *Bredt Norwood*  
Name: R. Bredt Norwood  
Title: Vice President

**RINVELT & DAVID, LLC**, as a Grantor

By: *Bredt Norwood*  
Name: R. Bredt Norwood  
Title: Vice President

**SNS FINANCIAL GROUP, LLC**, as a Grantor

By: *Bredt Norwood*  
Name: R. Bredt Norwood  
Title: Vice President

**SCHEDULE I**  
Released Trademarks and Trademark Applications

Release of Trademark Security Agreement recorded 12/15/2021 at Reel/Frame 7530/0840

	Owner	Trademark	Serial No.	Filing Date	Reg. No.	Reg. Date
1.	Kestra Financial, Inc.	ADVISOR ENTERPRISE	76714163	05/16/2013	4848336	11/10/2015
2.	Kestra Financial, Inc.	ADVISORCOMPLETE	97129066	11/17/2021	4861385	12/01/2015
3.	Kestra Financial, Inc.	AdvisorEnterprise	97129066	11/17/2021	4848336	11/10/2015
4.	Kestra Financial, Inc.	KESTRA	86913184	02/19/2016	5940976	12/24/2019
5.	Kestra Financial, Inc.	KESTRA	86983057	02/19/2016	6093348	07/07/2020
6.	Kestra Financial, Inc.	KESTRA FINANCIAL	86913195	02/19/2016	6093345	07/07/2020
7.	Kestra Financial, Inc.	KESTRA FINANCIAL	86983033	02/19/2016	5635425	12/25/2018
8.	Provis Management Group, LLC	PROVISE	74306557	08/24/1992	1767650	04/27/1993
9.	Provis Management Group, LLC	PERSONAL FINANCIAL OFFICER PRO	75877115	12/21/1999	2843333	05/18/2004
10.	Rinvelt & David, LLC	Rinvelt & David LLC Personal Financial Planning Specialists & Design	77122463	03/05/2007	3347201	12/04/2007
11.	SNS Financial Group, LLC	SOJOURN	86047907	08/26/2013	4668362	01/06/2015
12.	SNS Financial Group, LLC	SOJOURN A PAUSE IN THE PATH OF A LONGER JOURNEY	86047897	08/26/2013	4633737	11/04/2014
13.	SNS Financial Group, LLC	V Vector WEALTH MANAGEMENT & Design	78765689	12/02/2005	3424328	05/06/2008