

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

Assignment ID: TMI120916

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT		
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Execution Date previously recorded on Reel 8376 Frame 337. Assignor(s) hereby confirms the CHANGE OF NAME.		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Eqis Capital Management, Inc.		09/27/2023	Corporation: ILLINOIS
RECEIVING PARTY DATA			
Company Name:	Freedom Investment Management, Inc.		
Street Address:	100 Constitution Plaza, Suite 700		
City:	Hartford		
State/Country:	CONNECTICUT		
Postal Code:	06103		
Entity Type:	Corporation: ILLINOIS		
PROPERTY NUMBERS Total: 4			
Property Type	Number	Word Mark	
Serial Number:	98165378	FREEDOM INVESTMENT MANAGEMENT	
Serial Number:	98165390		
Registration Number:	4083116	EQIS	
Registration Number:	6367281	INVEST WELL. SLEEP WELL.	
CORRESPONDENCE DATA			
Fax Number:	9497200182		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	9492246241		
Email:	pschroeder@buchalter.com, ipdocket@buchalter.com		
Correspondent Name:	Philip C. Schroeder		
Address Line 1:	18400 Von Karman Avenue, Suite 800		
Address Line 4:	Irvine, CALIFORNIA 92612		
ATTORNEY DOCKET NUMBER:	E7282-5002-5		
NAME OF SUBMITTER:	ANDY NINH		
SIGNATURE:	ANDY NINH		
DATE SIGNED:	03/27/2024		
Total Attachments: 4			

CH \$115.00.00 98165378

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TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

Assignment ID: TMI29331

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Eqis Capital Management, Inc.		09/26/2023	Corporation: ILLINOIS
RECEIVING PARTY DATA			
Company Name:	Freedom Investment Management, Inc.		
Street Address:	100 Constitution Plaza, Suite 700		
City:	Hartford		
State/Country:	CONNECTICUT		
Postal Code:	06103		
Entity Type:	Corporation: CALIFORNIA		
PROPERTY NUMBERS Total: 4			
Property Type	Number	Word Mark	
Serial Number:	98165378	FREEDOM INVESTMENT MANAGEMENT	
Serial Number:	98165390		
Registration Number:	4083116	EQIS	
Registration Number:	6367281	INVEST WELL. SLEEP WELL.	
CORRESPONDENCE DATA			
Fax Number:	9497200182		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	9492246241		
Email:	pschroeder@buchalter.com, ipdocket@buchalter.com		
Correspondent Name:	Philip C. Schroeder		
Address Line 1:	18400 Von Karman Avenue, Suite 800		
Address Line 4:	Irvine, CALIFORNIA 92612		
ATTORNEY DOCKET NUMBER:	E7282-5002-5		
NAME OF SUBMITTER:	ANDY NINH		
SIGNATURE:	ANDY NINH		
DATE SIGNED:	02/29/2024		
Total Attachments: 0			

CH \$115.00.00 98165378

FORM **BCA 10.30** (rev. Dec. 2003)
ARTICLES OF AMENDMENT
Business Corporation Act

Secretary of State
Department of Business Services
501 S. Second St., Rm. 350
Springfield, IL 62756
217-782-1832
www.ilsos.gov

FILED

SEP 27 2023

ALEXI GIANNOULIAS
SECRETARY OF STATE

Remit payment in the form of a
check or money order payable
to Secretary of State.

File #

102103-749-8

Filing Fee: \$50

Approved: Ry

----- Submit in duplicate ----- Type or Print clearly in black ink ----- Do not write above this line -----

1. Corporate Name (See Note 1 on page 4.): EQIS CAPITAL MANAGEMENT, INC.

2. Manner of Adoption of Amendment:

The following amendment to the Articles of Incorporation was adopted on SEPTEMBER 29, 2023
Month Day Year
in the manner indicated below:

Mark an "X" in one box only.

- By a majority of the incorporators, provided no directors were named in the Articles of Incorporation and no directors have been elected. (See Note 2 on page 4.)
- By a majority of the board of directors, in accordance with Section 10.10, the Corporation having issued no shares as of the time of adoption of this amendment. (See Note 2 on page 4.)
- By a majority of the board of directors, in accordance with Section 10.15, shares having been issued but shareholder action not being required for the adoption of the amendment. (See Note 3 on page 4.)
- By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. At a meeting of shareholders, not less than the minimum number of votes required by statute and by the Articles of Incorporation were voted in favor of the amendment. (See Note 4 on page 4.)
- By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by shareholders having not less than the minimum number of votes required by statute and by the Articles of Incorporation. Shareholders who have not consented in writing have been given notice in accordance with Section 7.10. (See Notes 4 and 5 on page 4.)
- By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by all the shareholders entitled to vote on this amendment. (See Note 5 on page 4.)

3. Text of Amendment:

a. When amendment effects a name change, insert the New Corporate Name below. Use page 2 for all other amendments.

Article I: Name of the Corporation: Freedom Investment Management, Inc.

New Name

(All changes other than name include on page 2.)

Text of Amendment

- b. If amendment affects the corporate purpose, the amended purpose is required to be set forth in its entirety.
For more space, attach additional sheets of this size.

4. The manner, if not set forth in Article 3b, in which any exchange, reclassification or cancellation of issued shares, or a reduction of the number of authorized shares of any class below the number of issued shares of that class, provided for or effected by this amendment, is as follows (If not applicable, insert "No change"):

5. a. The manner, if not set forth in Article 3b, in which said amendment effects a change in the amount of paid-in capital is as follows (if not applicable, insert "No change"):
(Paid-in capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts.)

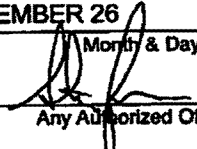
b. The amount of paid-in capital as changed by this amendment is as follows (if not applicable, insert "No change"):
(Paid-in Capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts.)
(See Note 6 on page 4.)

	Before Amendment	After Amendment
Paid-in Capital:	\$ _____	\$ _____

Complete either Item 6 or Item 7 below. All signatures must be in BLACK INK.

6. The undersigned Corporation has caused this statement to be signed by a duly authorized officer who affirms, under penalties of perjury, that the facts stated herein are true and correct.

Dated SEPTEMBER 26, 2023 EQIS CAPITAL MANAGEMENT, INC.
Month & Day Year Exact Name of Corporation


Any Authorized Officer's Signature

STEVEN DAIGNAULT, CFO
Name and Title (type or print)

7. If amendment is authorized pursuant to Section 10.10 by the incorporators, the incorporators must sign below, and type or print name and title.

OR

If amendment is authorized by the directors pursuant to Section 10.10 and there are no officers, a majority of the directors, or such directors as may be designated by the board, must sign below, and type or print name and title.

The undersigned affirms, under penalties of perjury, that the facts stated herein are true and correct.

Dated _____, _____
Month & Day Year

