FORM PTO-1618A Expires 06/30/99 OMB 0651-0027

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U.S. Department of Commerce

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TO: The Commissioner of Patents and Trademarks		iginal document sychocopyties).)
Submission Type	Conveyance Type	
XX New	XX Assignment	License
Resubmission (Non-Recordation) Document ID #	Security Agreement	Nunc Pro Tunc Assignmen
Correction of PTO Error Reel # Frame #	Merger Change of Name	Month Day Year 5 5 98
Corrective Document Reel # Frame #	Other	1979
Conveying Party	Mark if additional names of convey	CACCOLOII CELE
Name Investment Training Institu	ite-Nach Inc	Month Day Year 5 5 98
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Formerly		
Individual General Partnership	Limited Partnership XXX	Companies Apprint
individual General Partnership		Corporation Association
Other		
Citizenship/State of Incorporation/Organiza	ation Georgia	
Receiving Party	Mark if additional names of receiv	ving parties attached
Name Dover Alpha Acquisition,	LLC	
DBA/AKA/TA:		
Composed of		6
Composed of		
Address (line 1) 3060 Peachtree Road		
Address (line 2) Suite 1870		
Address (line 3) Atlanta	Georgia State/Country	30305 Zin Code
Individual General Partnership	Limited Partnership	If document to be recorded is an
		assignment and the receiving party is not domiciled in the United States, an
Corporation Association		appointment of a domestic
Curl Others Call to a late to the		representative should be attached. (Designation must be a separate
XX Other limited liability company		document from Assignment.)
XX Citizenship/State of Incorporation/Organiza	ntion Georgia	
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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 2023 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0861-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

FORM PTO- Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office TRADEMARK
	Representative Name and Address Enter for the first Receiving Party	
Name	Harris Hobby	
Address (line 1)		
Address (line 2)	3060 Peachtree Road	
Address (line 3)	Suite 1870	
Address (line 4)	Atlanta, Georgia 30305	
Correspond	dent Name and Address Area Code and Telephone Number 404-521-39	39
Name	Sidney R. Brown	
Address (line 1)	Jones, Day, Reavis & Pogue	
Address (line 2)	303 Peachtree Street, N.E.	
Address (line 3)	Suite 3500	
Address (line 4)	Atlanta, GA 30308	
Pages	Enter the total number of pages of the attached conveyance document including any attachments.	# 5
	Application Number(s) or Registration Number(s) Mark if add a trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for	ditional numbers attached rthe same property).
75/409,05	demark Application Number(s) Registration Num 2,016,255	ber(s)
Number of I	Properties Enter the total number of properties involved. #	3
Fee Amoun	Fee Amount for Properties Listed (37 CFR 3.41): \$ 90.00	0
	of Payment: Enclosed XX Deposit Account	
Deposit A (Enter for p	payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number:	
	Authorization to charge additional fees: Yes	No 📗
Statement a	and Signature	
attac indic	the best of my knowledge and belief, the foregoing information is true and correct and ched copy is a true copy of the original document. Charges to deposit account are aucated herein. R. Brown	
	of Person Signing Signatur	Date Signed
	' \	1

ASSIGNMENT OF TRADEMARKS

THIS ASSIGNMENT OF TRADEMARKS, dated as of this 5th day of May, 1998 (this "Assignment"), is made and delivered by and among INVESTMENT TRAINING INSTITUTE - NASH, INC., a Georgia corporation (the "Assignor"); and DOVER ALPHA ACQUISITION, LLC, a Georgia limited liability company (the "Assignee"), pursuant to the Asset Purchase Agreement dated as of April 16, 1998, by and among each of the Assignor; the Assignee; Dover International, LLC, a Georgia limited liability company; and the Shareholders, as defined therein (the "Asset Purchase Agreement").

AGREEMENT

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in the Asset Purchase Agreement and other good and valuable consideration, the receipt of which is hereby acknowledged and confessed, the parties hereto agree as follows:

- 1. <u>Defined Terms</u>. Capitalized terms used herein but not defined herein shall have the meanings ascribed to them in the Asset Purchase Agreement.
- 2. <u>Assignment of Trademarks</u>. Assignor hereby assigns, conveys, transfers, and sets over unto the Assignee any and all of its rights, interest and privileges, including, but not limited to, any common law rights, in the trademarks listed on <u>Exhibit A</u> attached hereto and made a part hereof (the "Trademarks"), together with the goodwill of the business symbolized by the Trademarks, including without limitation, each of the Assignor's right, title and interest in and to (i) all income, royalties, damages and payments now or hereafter due and/or payable with respect to the Trademarks, including without limitation, charges and payments for past or future infringements thereof; (ii) the right to sue for past, present and future infringements of the Trademarks; (iii) the right to secure all renewals for any registrations of the Trademarks; and (iv) all rights corresponding thereto, as fully and entirely as the same would have been held and enjoyed by the Assignor had this Assignment not been made.
- 3. <u>Filing</u>. The Commissioner of Patents and Trademarks is hereby requested to record this Assignment to the Assignee.
- 4. <u>Further Assurance</u> Assignor agrees that, upon request by the Assignee and without further consideration, it will execute all lawful papers, make all rightful oaths and generally assist Assignee in perfecting and recording title to the Trademarks. The Assignee shall bear all responsibility and expense for preparing and recording any instrument of assignment or transfer, any fee or tax levied thereon, and all prosecution and maintenance costs incurred with respect to the Trademarks.

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5. <u>Counterparts</u>. This Assignment is executed pursuant to the Asset Purchase Agreement and may be simultaneously executed in counterparts, each of which as so executed shall be deemed to be an original and such counterparts together shall constitute one and the same instrument.

IN WITNESS WHEREOF, each of the Assignors and the Assignee have duly executed this Assignment as of this 5th day of May, 1998.

INVESTMENT TRAINING INSTITUTE-NASH, INC.

Its:

DOVER ALPHA ACQUISITION, LLC

By:

Harris D. Hobby, President

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STATE OF GEORGIA) COUNTY OF FULTON) On this 5th day of May, 1998, before me personally appeared
say that he is the, to me known, who, being by me duly sworn, did depose and of INVESTMENT TRAINING INSTITUTE - NASH, INC., a Georgia corporation (the "Corporation"), and that he as such officer, being authorized to do so, executed the foregoing instrument for and on behalf of the Corporation.
IN WITNESS WHEREOF, I hereunto set my hand and official seal.
Notary Public, Fulton County, Georgia My commission expires: My Commission Expires June 5, 2000
STATE OF GEORGIA) COUNTY OF FULTON)

My commission expires: Notary Public, Fulton County, Georgia My Commission Expires June 5, 2000

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

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Exhibit "A"

Trademarks, etc.

- I. Unregistered trade names:
 - (1) Investment Training Institute, Inc.
 - (2) Investment Training Institute-Nash, Inc.
 - (3) ITI, Inc.
 - (4) ITI-Nash, Inc.
 - **(5)** ITI
 - (6) ITI-Nash
- II. Unregistered Trademark (logo):
 - (1) ITI
- III. Registered trademark in the name of Investment Training Institute-Nash, Inc.
 - (1) POWERPAK 7, No. 2,016,255, effective 11/12/96 see attached
- IV. Registered service mark in the name of Investment Training Institute-Nash, Inc.
 - (1) SAFE SELLING, No. 2,086,284, effective 8/5/97 see attached
- V. Application for service mark registration in the name of Investment Training Institute-Nash, Inc.
 - (1) WRAPAROUND 7, filed 12/22/97 see attached
- VI. List of ITI-Nash courses (unregistered):
 - (1) SERIES 6 Investment Company/Variable Contracts
 Limited Representative
 - (2) SERIES 7 General Securities Representative
 - (3) SERIES 22 Direct Participation Programs Limited Representative
 - (4) SERIES 42 Registered Options Limited Representative Exam
 - (5) SERIES 52 Municipal Securities Representative
 - (6) SERIES 62 Corporate Securities Limited Representative
 - (7) SERIES 4 Registered Options Principal
 - (8) SERIES 8 General Securities Sales Supervisor
 - (9) SERIES 24 General Securities Principal
 - (10) SERIES 26 Investment Company Products/Variable Contracts Limited Principal
 - (11) SERIES 27 Financial and Operations Principal
 - (12) SERIES 28 Introducing Broker/Dealer Financial and Operations Principal

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- (13) SERIES 39 Direct Participation Programs Limited Principal
- (14) SERIES 53 Municipal Securities Principal
- (15) SERIES 3 National Commodity Futures Examination
- (16) SERIES 11 Assistant Representative Order Processing
- (17) SERIES 31 Futures Managed Funds Examination
- (18) SERIES 63 Uniform Securities Agent State Law Examination
- (19) SERIES 65 Uniform Investment Adviser Law Examination
- (20) SERIES 66 Combined State Law Examination