

3635-4001

U.S. DEPT OF COMMERCE
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05-10-1999

Patent and Trademark Office



by thereof.

To the Honorable Commissioner of Patents and Trademarks: Please

101032626

Name and address of receiving party:

1. Submission Type

- New
- Resubmission (Non-Recordation)
Document ID #
- Correction of PTO Error
Reel # _____ Frame # _____
- Corrective Document
Reel # _____ Frame # _____

Name: Seligman Advisors, Inc.

DBA/AKA/TA: _____

Address: 100 Park Avenue

City: New York State: NY Zip: 10017

- Individual Corporation
- Association Limited Partnership
- General Partnership Other

Citizenship/State of Incorporation:

Delaware

3. Name of Conveying Party:

Name: J. & W. Seligman & Co. Incorporated **4-23-99**

Formerly: _____ MONTH DAY YEAR

- Individual(s) Association 4/21/99
Execution Date
- General Partnership Limited Partnership
- Corporation Other _____

Citizenship/State of Incorporation/Organization:
Delaware

Additional name(s) of conveying parties attached? Yes No

Additional name(s) of receiving parties attached? Yes No

4. Conveyance Type:

- Assignment Merger License
 - Security Agreement Change of Name Nunc Pro Tunc Assignment
 - Other _____
- Effective Date: _____ (if nunc pro tunc assignment)

If assignee is not domiciled in the United States, a domestic representative designation is attached:
 Yes No

(Designations must be a separate document from Assignment)

5. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark registration No.(s)

2,098,224

Additional numbers attached? Yes No

6. Name and address of party to whom correspondence concerning document should be mailed (and Domestic Representative Address, if applicable):

Name: Tony V. Pezzano, Esq.
MORGAN & FINNEGAN, L.L.P.

Address: 345 Park Avenue
City: New York State: NY Zip: 10154
Area Code and Telephone No.: 212 415-8598

7. Total number of applications and registrations involved: 1

8. Total fee (37 CFR 3.41): \$ _____

- Enclosed
- Authorized to be charged to deposit account

9. Deposit account number:

13-4500

Authorization to charge additional fees Yes No

FOR OFFICE USE ONLY

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein

Janis Nici
Name of Person Signing

4-23/99
Date

Total amount of pages: 1
in cover sheet

Mail Documents to Commissioner of Patents and Trademarks, Box Assignments, Washington DC 20231

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05/10/1999 0000024 134500 40.00 CH

TRADEMARK
REEL: 001899 FRAME: 0237

ASSIGNMENT

WHEREAS J. & W. Seligman & Co. Incorporated, a Delaware corporation (hereinafter "Assignor"), of 100 Park Avenue, New York, NY, 10017, has adopted, used and is the owner of trademark registration no. 2,098,224 for the mark SELIGMAN TIME HORIZON MATRIX.

WHEREAS Seligman Advisors, Inc., a Delaware corporation (hereinafter "Assignee"), of 100 Park Avenue, New York, NY, 10017, is desirous of acquiring said mark, said registration No. 2,098,224 therefor and the goodwill associated therewith;

NOW THEREFORE for good and valuable consideration, receipt of which is hereby acknowledged, said Assignor does hereby assign to Assignee all right, title and interest in and to the said mark and registration, together with the goodwill of the business symbolized by the mark and the right to bring action for past infringement of the mark.

J. & W. SELIGMAN & CO. INCORPORATED

Dated: APRIL 21, 1999

By: Brian T. Zino
 Name: BRIAN T. ZINO
 Title: PRESIDENT

On this 21st day of April, before me appeared Brian T. Zino, the person who signed this instrument, who acknowledged that he signed it as a free act on his behalf.

Janice Carter
 Notary Public

JANICE CARTER
 Notary Public, State of New York
 No. 31-404648
 Qualified in New York County
 Certificate Filed in Kings County
 Commission Expires November 28, 2000

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RECORDED: 04/23/1999

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