RECORDATION FORM COVER SHEET DOCKET NO. 1861 08-09-1999 THE BUILD FROM THE POST WHEN THE FROM THE FORM THE FROM T **VERCE** TRADEMARKS ONLY Form PTO-1594 rk Office To the Assistant C cord the attached original documents or cor 08-03-1999 U.S. Patent & TMOfc/TM Mail Ropt Dt. #54 101112173 1. Name of conve Name and L Trade Last Securities Ltd. TradeCa. Name: Tradecast Ltd. Internal Address: Suite 880 ☐ Individual(s) □ Association Street Address: 3355 W. Alabama ☐ General Partnership X Texas Limited Partnership ☐ Corporation-State <u>Texas</u> City: Houston \_\_\_\_ State: <u>Texas</u> Zip: 77098 □ Other \_ Additional name(s) of conveying party(ies) attached? ☐ Yes 

No ☐ Individual(s) citizenship\_\_\_\_\_ □ Association\_ ☐ General Partnership X Limited Partnership Texas 3. Nature of conveyance: X Confirmatory □ Corporation-State\_ Assignment □ Merger □ Other\_\_ ☐ Security Assignment ☐ Change of Name If assignee is not domiciled in the United States, a domestic ☐ Other \_\_\_\_\_ representative designation is attached: ☐ Yes ☐ No (Designations must be a separate document from Assignment) Execution Date: Additional name(s) & address(es) attached? ☐ Yes ☐ No 4. Application number(s) or registration number(s): A. Trademark Application No.(s) B. Trademark registration No.(s) 75/627,174 Additional numbers attached? ☐ Yes ☐ No Name and address of party to whom correspondence 6. Total number of applications and concerning document should be mailed: registrations involved: \_1\_ Name: Constance Gall Rhebergen Internal Address: FELSMAN, BRADLEY, VADEN, GUNTER & DILLON, LLP 7. Total fee (37 CFR 1.21(h)......\$ 40.00 One Riverway, Suite 1100 X Enclosed Houston, Texas 77056 X Authorized to charge any additional fees due to deposit account Street Address: Same 8. Deposit Account Number: Felsman Bradley Vaden Gunter & Dillon, LLP 06-0580 (1862JB-31357) City: ZIP: (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Constance Gall Rhebergen Name of Person Signing Signature

OMB No. 0651-0011 (exp. 4/94)

08/06/1999 NTHAI1 00000159 75627174

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Total number of pages including cover sheet, attachments, and documents: 2

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I hereby certify that this correspondence is being deposited with Federal Express in an envelope addressed to: Commissioner of Patents and Trademarks, 2900 Crystal Drive, Arlington, Virginia 22202, on 

Constance G. Rhebergen, Registration No. 41,267

Name of Applicant, Assignee, or Registered Representative

Signature

ate of Signature

## CONFIRMATORY ASSIGNMENT

WHEREAS, TradeCast Securities, Ltd., a Texas Limited Partnership, whose general partner is TradeCast Management Corp., a Texas Corporation, having a business address of 3355 W. Alabama, Suite 880, Houston, Texas 77098, had the exclusive right, title and interest in and to the following pending trademark application:

Serial No.MarkDate Filed75/627,174TRADECAST ONLINE1/26/1999

WHEREAS, TradeCast Ltd., a Texas limited partnership, having a business address of 3355 W. Alabama, Suite 880, Houston, Texas 77098 acquired the entire right, title and interest in and to said pending application and the goodwill associated therewith:

NOW, THEREFORE, in recognition of goods and valuable consideration received, TradeCast Securities, Ltd. does confirm the prior assignment to TradeCast Ltd. of all rights, title, and interest in and to the mark and the pending application, together with the goodwill of the business associated with the mark and the right to recover any damages for infringements, if any.

TradeCast Securities, Ltd.

ву:

Name: James F. Howell

Title: CEO of TradeCast Management Corp. the general partner of TradeCast

Securities, Ltd.

Date: 7/16/99