

10-07-1999

TRADEMARK  
Docket No. 3635-4003

IN



101163605

AND TRADEMARK OFFICE  
ON COVER SHEET

9-30-99  
MRD

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Sir:

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Please record the attached original documents or copies thereof.

1. Submission Type:

- New
- Resubmission (Non-Recordation) - Document ID# \_\_\_\_\_
- Correction of PTO Error: Reel # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document: Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

2. Name Of Conveying Party(ies):

Name: Seligman Marketing, Inc.

Formerly \_\_\_\_\_

Execution Date (Month/Day/Year) January 17, 1991

- Individual(s)
- General Partnership
- Corporation
- Association
- Limited Partnership
- Other \_\_\_\_\_

Citizenship/State of Incorporation Delaware

Additional name(s) conveying party(ies) attached?  Yes  No

3. Name and address of receiving party(ies):

Name: Seligman Financial Services, Inc.

DBA/AKA/TA: \_\_\_\_\_

Address: 100 Park Avenue

City New York State NY ZIP 10017

- Individual(s)
- Association
- General Partnership
- Limited Partnership
- Corporation-
- Other

Citizenship/State of Incorporation Delaware

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FC:481

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REEL: 001971 FRAME: 0455

If assignee is not domiciled in the U.S., a domestic representative designation is attached:  Yes  No  
(Designation must be a separate document from Assignment).

Additional name(s) & address(es) attached?  Yes  No

4. Conveyance Type:

- |   |  |
|---|--|
| <input type="checkbox"/> Assignment         | <input type="checkbox"/> Merger                    |
| <input type="checkbox"/> Security Agreement | <input checked="" type="checkbox"/> Change of Name |
| <input type="checkbox"/> License            | <input type="checkbox"/> Nunc Pro Tunc Assignment  |
| <input type="checkbox"/> Other              |  |

Effective Date: \_\_\_\_\_ (if nunc pro tunc assignment)

5. Application Number(s) or Registration Number(s):

- Trademark Application No.(s) \_\_\_\_\_
- Trademark registration No.(s) 872,771 \_\_\_\_\_

Additional numbers attached?  Yes  No

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Tony V. Pezzano, Esq. c/o Morgan & Finnegan L.L.P.

Internal Address: \_\_\_\_\_

Street Address: 345 Park Avenue

City New York State New York ZIP 10154

Area Code and Telephone Number (212) 415-8598

7. Total number of applications and registrations involved: 1

8. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

9. Deposit Account Number: 13-4500. Authorization to charge additional fees to deposit account:  Yes  No

10. Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein*

<u>Tony V. Pezzano</u>	<u></u>	<u>9/30/99</u>
Name of Person Signing	Signature	Date

Total amount of pages in cover sheet: 3

FORM: REC-TM.NY  
Rev. 10/04/94

# State of Delaware

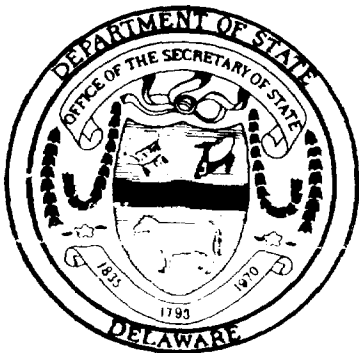


## Office of Secretary of State

I, MICHAEL HARKINS, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF SELIGMAN MARKETING, INC. FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF JANUARY, A.D. 1991, AT 10 O'CLOCK A.M.

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91 JAN 22 11:03 J



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*Michael Harkins*  
Michael Harkins, Secretary of State

AUTHENTICATION: 2927713

DATE: 01/18/1991

BK110070030

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 10:00 AM 01/18/1991  
721018030 - 852987

CERTIFICATE OF AMENDMENT  
OF  
RESTATED CERTIFICATE OF INCORPORATION

\* \* \* \* \*

Seligman Marketing, Inc. (the "Corporation"), a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of the Corporation, by the unanimous written consent of its members filed with the minutes of the Board, adopted a resolution proposing and declaring advisable the following amendment to the Restated Certificate of Incorporation of the Corporation and recommended to the sole shareholder the consideration for approval thereof. The resolution setting forth the proposed amendment is as follows:

RESOLVED, that the Restated Certificate of Incorporation of Seligman Marketing, Inc. be amended by changing Article I thereof so that, as amended, said Article shall be and read as follows:

"The name of the Corporation (hereinafter called the Corporation) is Seligman Financial Services, Inc."

SECOND: That thereafter, pursuant to a resolution of the Board of Directors, the sole shareholder of the Corporation by unanimous written consent filed with the minutes of meetings of the sole shareholder, approved the amendment.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the Corporation has caused this certificate to be signed by Donald R. Pitti, its President, and attested by Vito Graziano, its Secretary, this seventeenth day of January 1991.

SELIGMAN MARKETING, INC.

By: Donald R Pitti  
President

ATTEST:

By: Vito Graziano  
Secretary