

FORM PTO-1594
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RE
12-17-99

01-05-2000



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Y

Tab settings

To the Honorable Commissioner of F

101236470

Attached original documents or copy thereof.

1. Name of conveying party(ies):

NationsBanc Montgomery Securities LLC

- Individual(s)
- General Partnership
- Corporation-State
- Other Delaware Limited Liability Company

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Banc of America Securities LLC

Internal Address: _____

Street Address: 100 North Tryon Street

City: Charlotte State: NC ZIP: 28255

- Individual(s) citizenship _____
- Association _____
- General Partnership _____
- Limited Partnership _____
- Corporation-State _____
- Other Delaware Limited Liability Company

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

Execution Date: May 17, 1999

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

Appl. No. 75/405,568 - NMSGI
Appl. No. 75/428,019 - WWW.PRIMEBROKER.COM

Additional numbers attached? Yes No

B. Trademark Registration No.(s)

SEE ATTACHED SCHEDULE "A"

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Martha Gayle Barber, Esq.
ALSTON & BIRD LLP
Internal Address: P.O. Drawer 34009

Street Address: 1211 E. Morehead Street

City: Charlotte State: NC ZIP: 28234

6. Total number of applications and registrations involved: 8

7. Total fee (37 CFR 3.41).....\$ 215.00

- Enclosed
- Authorized to be charged to deposit account

If additional fees are needed, please use:

8. Deposit account number:

16-0605

(Attach duplicate copy of this page if paying by deposit account)

01/05/2000 DEBATES 00000007 75405568

DO NOT USE THIS SPACE

01 FC:481 40.00 DP
01 FC:482 75.00 DP

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Martha Gayle Barber
Name of Person Signing

Martha Gayle Barber
Signature

Dec. 13, 1999
Date

Total number of pages including cover sheet, attachments, and document: 6

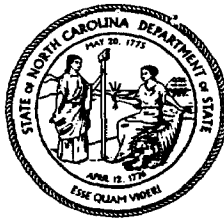
Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

TRADEMARK
REEL: 002006 FRAME: 0228

SCHEDULE "A"

<u>MARK</u>	<u>REG. NO.</u>	<u>REGISTERED</u>
BETTING ON THE BIOLOGY	1,815,123	January 4, 1994
THE POWER OF GROWTH	2,113,822	November 18, 1997
MSGSI	2,140,597	March 3, 1998
HITS	2,174,155	July 21, 1998
MONTGOMERY SECURITIES 500 GROWTH STOCK INDEX	2,258,456	July 6, 1999
PRIMEMONT TRADING	2,273,322	August 31, 1999

STATE OF NORTH CAROLINA



Department of The
Secretary of State

To all whom these presents shall come, Greetings:

I, **ELAINE F. MARSHALL**, Secretary of State of the State of North Carolina, do hereby certify the following and hereto attached to be a true copy of

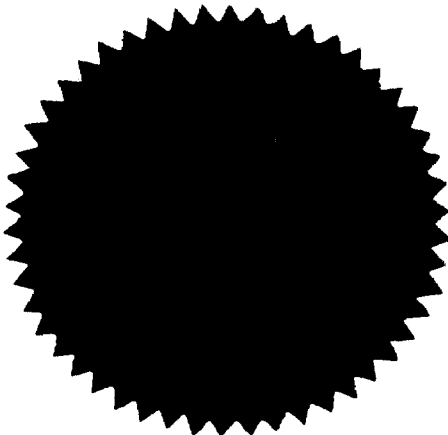
AMENDED CERTIFICATE OF AUTHORITY

OF

**NATIONSBANC MONTGOMERY SECURITIES LLC
WHICH CHANGED ITS NAME TO:
BANC OF AMERICA SECURITIES LLC**

the original of which is now on file and a matter of record in this office.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 11th day of October, 1999.



Elaine F. Marshall

Secretary of State

99:469062

State of North Carolina
Department of the Secretary of State
APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY
FOR LIMITED LIABILITY COMPANY

FILED

11:28 am
JUN 01 1999

CLAUDE F. MARSHALL
SECRETARY OF STATE
NORTH CAROLINA

Pursuant to §57C-7-05 of the General Statutes of North Carolina, the undersigned limited liability company hereby applies for an Amended Certificate of Authority to transact business in the State of North Carolina, and for that purpose submits the following statement.

1. The name of the limited liability company is: NationsBanc Montgomery Securities LLC

2. The name the limited liability company is currently using in the State of North Carolina is:
NationsBanc Montgomery Securities LLC

3. The state or country of organization is: Delaware

4. The date the limited liability company was authorized to transact business in the State of North Carolina is:
January 8, 1998

5. The changes being made are as follows:
NationsBanc Montgomery Securities LLC is changing its name from "NationsBanc Montgomery Securities LLC" to "Banc of America Securities LLC" effective May 17, 1999

6. Attached is a certificate of existence (or document of similar import), duly authenticated by the secretary of state or other official having custody of limited liability company records in the state or country of organization.

7. This application will be effective upon filing, unless a date and/or time is specified:

This the 20 day of May, 19 99

NationsBanc Montgomery Securities LLC
Name of Limited Liability Company

[Handwritten Signature]
Signature

H. David Johnson Manager
Type or Print Name and Title

NOTES:
1. Filing fee is \$50. This application and one exact or conformed copy of this application must be filed with the Secretary of State.
CORPORATIONS DIVISION 300 N. SALISBURY STREET RALEIGH, NC 27603-5909