

FORM PTO-1594 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)

NWP 12-17-99 RE

01-05-2000

SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

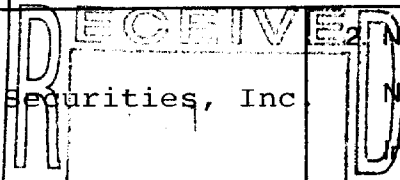


Tab settings

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Attached original documents or copy thereof

To the Honorable Commissioner of E.



1. Name of conveying party(ies): NationsBanc Montgomery Securities, Inc. [checkboxes for Individual(s), General Partnership, Corporation-State Delaware, Other] Additional name(s) of conveying party(ies) attached? [checkboxes for Yes, No]

3. Nature of conveyance: [checkboxes for Assignment, Security Agreement, Other, Merger, Change of Name] Execution Date: January 8, 1998

2. Name and address of receiving party(ies) Name: NationsBanc Montgomery Securities LLC Internal Address: Street Address: 100 N. Tryon Street City: Charlotte State: NC ZIP: 28255 [checkboxes for citizenship, Association, General Partnership, Limited Partnership, Corporation-State, Other Delaware Limited Liability Company] If assignee is not domiciled in the United States, a domestic representative designation is attached: [checkboxes for Yes, No] Additional name(s) & address(es) attached? [checkboxes for Yes, No]

4. Application number(s) or patent number(s): A. Trademark Application No.(s) Appl. No. 75/405,568 - NMSGI B. Trademark Registration No.(s) SEE ATTACHED SCHEDULE "A" Additional numbers attached? [checkboxes for Yes, No]

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Martha Gayle Barber, Esq. ALSTON & BIRD LLP Internal Address: P.O. Drawer 34009 Street Address: 1211 E. Morehead Street City: Charlotte State: NC ZIP: 28234

6. Total number of applications and registrations involved: 6 7. Total fee (37 CFR 3.41): \$ 165.00 [checkboxes for Enclosed, Authorized to be charged to deposit account] 8. Deposit account number: 16-0605 (Attach duplicate copy of this page if paying by deposit account)

01/05/2000 DCORTES 00000088 75405568 01 FC:481 40.00 OP 02 FC:482 125.00 OP

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9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Martha Gayle Barber Name of Person Signing Signature Date: Dec. 13, 1999

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

TRADEMARK REEL: 002006 FRAME: 0294

SCHEDULE "A"

<u>MARK</u>	<u>REG. NO.</u>	<u>REGISTERED</u>
BETTING ON THE BIOLOGY	1,815,123	January 4, 1994
THE POWER OF GROWTH	2,113,822	November 18, 1997
MSGSI	2,140,597	March 3, 1998
HITS	2,174,155	July 21, 1998
MONTGOMERY SECURITIES 500 GROWTH STOCK INDEX	2,258,456	July 6, 1999

10/28/1999

Corporation Fact Sheet

NationsBanc Montgomery Securities, Inc. (3371)@**

Incorporated Date: 12/03/1997

Incorporated State: Delaware

Terminated Date: 12/31/1997

Reason for Termination: Merged

Registered Agent/Office: The Corporation Trust Company
Corporation Trust Center
1209 Orange Street
Wilmington, DE 19801
United States

Additional Incorporation Information: 9/98 - Verified with the state of Delaware the date of incorporation and the registered agent name/address. Delaware File #2823127.(LR)

Merger Information: 9/98 - Per the state of North Carolina, NationsBanc Montgomery Securities, Inc., North Carolina incorporated, was merged into NationsBanc Montgomery Securities, Inc., Delaware incorporated on 12/31/97.(LR)

Termination Information: ✓ 9/98 - Verified with the state of Delaware that NationsBanc Montgomery Securities, Inc., Delaware incorporated, was merged into NationsBanc Montgomery Securities LLC, established in Delaware.(LR)

NationsBank Montgomery Securities LLC

100 N Tryon Street, NC1-007-20-01

Charlotte, NC 28255

**99.9% owned by NationsBank Corporation and .1% by NB Holdings Corporation
incorporated 12/3/97 reported 12/31/97**