FORM PTO-1618A Expires 08/30/99 OMB 0851-0027

MB4.2

05-24-2000

SANDARA NA MARANA NA MARANA NA MARANA

U.S. Patent & TMOfc/TM Mail Rcpt Dt. #64

U.S. Department of Commerce Patent and Trademark Office TRADEMARK

06-05-2000



101373662

RECORDATION FORM COVER SI TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached J. Submission Type Conveyance Type **Assignment** License X I New Resubmission (Non-Recordation) **Nunc Pro Tunc Assignment** Security Agreement Document ID # **Effective Date** Month Day Year Merger **Correction of PTO Error** Frame # Reel # Change of Name **Corrective Document** Name Change and Conversion Frame # Other Reel # Conveying Party Mark if additional names of conveying parties attached **Execution Date** Month Day Year 12131995 Name J. C. Bradford & Co., L.P. **Formerly** General Partnership | X | Limited Partnership Corporation Association Individual Other X | Citizenship/State of Incorporation/Organization **Receiving Party** Mark if additional names of receiving parties attached Name J. C. Bradford & Co., L.L.C. DBA/AKA/TA J. C. Bradford & Co. Composed of 300 Commerce Street Address (line 1) Address (line 2) 37201 Address (line 3) Nashville State/Country Zip Code If document to be recorded is an Limited Partnership Individual General Partnership assignment and the receiving party is not domiciled in the United States, an Corporation **Association** appointment of a domestic representative should be attached. limited liability company (Designation must be a separate X Other document from Assignment.) Citizenship/State of Incorporation/Organization FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Peckage 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

FORM PTO-1 Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office TRADEMARK					
	epresentative Name and Address Enter for the first Receiving Pa	rty only.					
Name [
Address (line 1)							
A -1-1							
Address (line 2)							
Address (line 3)							
Address (line 4)							
Correspond	ent Name and Address Area Code and Telephone Number (615) 313-3	327					
Name [Robert P. Felber, Jr.						
Address (line 1)	Yopp & Sweeney, PLC						
Address (line 2)	Suite 1100						
Address (line 3)	414 Union Street						
	Nashville, Tennessee 37219						
Address (line 4)							
Pages	Enter the total number of pages of the attached conveyance document including any attachments.	# 6					
Trademark Application Number(s) or Registration Number(s) Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the came property). Trademark Application Number(s) Registration Number(s)							
1140	1885269 1880872						
	1877980						
	1880873						
Number of F	The state of the s						
Fee Amoun		.00					
Method o Deposit A	f Payment: Enclosed $oxed{X}$ Deposit Account $oxed{L}$						
(Enter for p	ayment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: #						
	Authorization to charge additional fees: Yes	No					
	and Signature						
To the	ne best of my knowledge and belief, the foregoin g information is frue and correct an thed copy is a true copy of the original document. Charges to deposit account are a	d any authorized, as					
	cated herein.						
Robert P	. Felber, Jr. COUL May						
Name	of Person Signing Signature	Date Signed					

3034 33de

Sign of the second

\$5 DEE 13 PH 3: 15

ARTICLES OF CONVERSION
OF

SECRETARY OF STATE

J.C. BRADFORD & CO., L.P.

TO J.C. BRADFORD & CO., L.L.C.

The undersigned general partners of J.C. Bradford & Co., L.P. (the "Limited Partnership"), acting under the Tennessee Limited Liability Company Act, Tennessee Code Annotated, Section 48-201-101, et.seq. (the "Act"), hereby adopt the following Articles of Conversion for conversion of such limited partnership to a limited liability company under the Act (the "LLC"):

ARTICLE I

The terms and conditions of the conversion of the Limited Partnership to the LLC have been approved by al of the Partners of the Limited Partnership.

ARTICLE II

The name and principal business address of the Limited Partnership are J.C. Bradford & Co., L.P., 330 Commerce Street, Nashville, Tennessee 37201.

ARTICLE III

The following below-listed persons are the General Partners of the Limited Partnership:

LUNE Name

Name

James C. Bradford, Jr.

R. Patrick Shepherd

W. Lucas Simons

Barry Poiston

C. Taxon Malott

Allan L. Erb

Marvin Alton Ross

John Felber

James Ronald Scott

James S. Frazer, III

Louis B. Todd, Jr.

Douglas Kitchen

Randall Ray Harness

Mark Garrett

Michael R. Shea

Eric L. Broder

James Avent, Jr.

Jeff Powell

Murray R. Hatcher

Mitchell Johnson

Victor Ptak

Park B. Smith

Frank F. Venable, Jr.

ARTICLE IV

At the date and time of the conversion of the Limited Partnership to LLC, there are 200 members of the LLC.

ARTICLE V

The name of the LLC is J.C. Bradford & Co., L.L.C.

ARTICLE VI

The street address and zip code of the initial registered office of the LLC shall be 330 Commerce Street, Nashiville, Davidson County, Tennessee 37201. The name of the LLC's initial registered agent at its initial registered office is R. Patrick Shepherd.

ARTICI E VII

The LLC shall have the power and authority to carry on any business permitted by, and to have and exercise all of the powers and rights conferred by, the Act as amended from time to time or any successor provisions thereto.

ARTICLE VIII

The LLC shall be managed by a Board of Governors. All powers shall be exercised by or under the authority of, and the business and affairs of the LLC shall be managed by or under the direction of, the Board of Governors of the LLC. The number of governors and their terms shall be as specified in the Operating Agreement of the LLC.

ARTICLE IX

The street address and zip code of the principal executive office of the LLC and the county in which the principal executive office is located is 330 Commerce Street, Nashville, Davidson County, Tennessee 37201.

ARTICLE X

The LLC shall have the power and authority to expel a member.

3

313134 3249

95 000 13 84 3:15

ARTICLE XI

The conversion of the Limited Partnership to the LLC shall be effective and the existence of the LLC shall begin as of midnight December 31, 1995.

ARTICLE XII

The duration of the LLC shall be limited to a specific term commencing from the effective date of these Articles of Conversion and ending on December 31, 2005.

ARTICLE XIII

The members of the LLC shall not have preemptive rights.

ARTICLE XIV

The Operating Agreement of the LLC may specify that less than all of the events listed in Section 48-245-101 of the Act shall constitute dissolution events and that such events are applicable on to those members serving on the Board of Governors

TRADEMARK REEL: 002082 FRAME: 0988

4

7D34 3250

S. IENECENTO

ARTICLE XV

The LLC shall be deemed for all purposes the same entity as the Lineal Partnership.

[ILEY OATIOL

Datest: Obedenber 135 1/1995.

James C. Bradford, Jr., individually and as attorney-in-fact for each of the Partners pursuant to the power of attorney granted to him as Senior Partner in Article XII of the Partnership Agreement of J.C. Bradford & Co., L.P.

STATE OF TENNESSEE COUNTY OF DAVIDSON

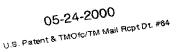
SWORN TO AND SUBSCRIBED BEFORE ME, this 13 day of Dember, 1995, before me personally appeared James C. Bradford, Jr. known to me to be the same who executed the foregoing instrument on behalf of the Partners of J.C. Bradford L.P. and acknowledged that he executed the same as the free act and deed of the General Limited artners. Witness my hand this 13 day of December, 1995.

Haren D. Ugaren Notary Public

My Commission Expires:

March 27, 194





YOPP & SWEENEY, PLC

ATTORNEYS

BANK OF AMERICA PLAZA, SUITE 1100 414 UNION STREET

NASHVILLE, TENNESSEE 37219

TELEPHONE (615) 313-3300 FACSIMILE (615) 313-3310 COPY

Direct Dial: (615) 313-3327 E-Mail: rfelber@tys.com

May 23, 2000 VIA U.S. EXPRESS MAIL

Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231 EU771020529US

RE:

ROBERT P. FELBER, JR.

Recordation Form Cover Sheet (Our Ref: 0619.200189)

Dear Sir or Madam:

Enclosed for recordation with your office please find a completed and signed Recordation Form Cover Sheet Trademarks Only (Form PTO 1618A) and the attached copy of the Articles of Conversion of J.C. Bradford & Co., L.P. to J.C. Bradford & Co., L.L.C., as filed with the Tennessee Secretary of State on December 13, 1995.

We are also enclosing a check in the amount of \$115.00 made payable to the "Commissioner of Patents and Trademarks" in payment of the recordation fee for the enclosed documents [i.e., $(\$40.00 \times 1) + (\$25 \times 3) = \$115.00$].

Should you have questions regarding the enclosed materials, please contact the undersigned at (615) 313-3327.

Very truly yours

Robert P. Felber, Jr

RPF/mlc Enclosures

cc: James G. Ramsey, Esq. (w/encl.)

{0376494.1}



POST OFFICE TO ADDRESSEE

E11221/0502524112

	CETM		ביושט	-03E 103
ORIGIN (POSTAL USE	ONLY)			>
PO ZIP Code	Day of Delivery	Flat Rate Envelope		Ş
	Next Second		211100	C Soo
ate in	- Next - Second	Postage	ASHVILLE, A	_
Mo. Dav Year	12 Noon 3 PM	s	SEE REVERSE SIDE FOR	Oustomer Comer
ime In	Military	Return Receipt Fee	SERVICE GUATRANT BEZAND	<u> </u>
] AM 🗆 PM	2nd Day 3rd Day		l	<u> </u>
/eight	Int'l Alpha Country Code	COD Fee Insurance Fee	INSURANCE COVERAGE LIMI	TS C
lbs. ozs.			GPS - 37219	
o Delivery Weekend Holiday	Acceptance Clerk Initials	Total Postage & Fees	Je Bradfold Co	:
		\$	J. P. Janes J. Commission of the Commission of t	*!
CUSTOMER USE ONL ETHOD OF PAYMENT:	- Ү			m
deral Agency Acct. No. or stal Service Acct. No. FROM: (PLEASE PRINT)	PHONE ()	NO DELIVER	Chattered Signature	
Γ		7	TO: (PLEASE PRINT) PHONE ()	°
	e sandanti y Ali y A Colomby y Ali		Garante di territori del compando de la compando del compando de la compando del compando de la	្រាក់ ខេត្ត ដែល ល
- 14 G W	The Bell of Advisory Laboratory and the Control of States		In the first of the control of the c	0
tati www.	mani katang and saka Mani katang mengalah dalah dalah Magan Menanda dalah dalah		enterface and the control of the control of the	0 5
tati www.	The state of the Control of the		1	6 2
tati www.	The state of the Control of the	•	1	0 5 2 9 0
tati www.	The state of the Control of the	٠.	1	L S S O

Label 11-B July 1997

/ENDOR 011053

MEMBERS NEW YORK STOCK EXCHANGE, INC. MEMBERS S.I.P.C.

CHECK NO. 528901

ADTM	0280865	280865		05-22-00	115 00	4 M ps. A.
APIN	0280865	200003		05-22-00	115.00	115.00
					•	
					· .	
						en e
						en e
				A Company of the Comp	A Section of the Control of the Cont	は、八を寮電 第二次の選手 第二次の第二次の第二次の第二次の第二次の第二次の第二次の第二次の第二次の第二次の
						The second secon
				เลือง โดย เป็นเรื่อง เมื่อง หลุ่ม เขาะลูกเรื่อง เพื่อเกี่ยวก็ได้ "ชาวาคละสมาธิบันคอดสมาธิบันคอดสมาธิบัน "ชาวาร์วง เป็นไม่ ของ เบอร์ เบาะลูกเรื่อง เป็นเป็น ซึ่งเป็น		
* - 1.2 ·			and the second s	and the second s	「かくか あいいい こうかい かいかい 2000 2000 1200 1200 1200 1200 1200 120	A STATE OF THE SECOND
			The state of the s	The second secon	A CONTROL OF THE CONT	
	ing in The Common of the Commo		্ৰা প্ৰত্যুগৰ কৰিব কৰিব কৰিব কৰিব কৰিব কৰিব কৰিব কৰি	And the second of the second o		
			ila — apakanana ila ana ana ana ana ana ana ana ana ana a		Proposition of the state of the	
			ALL STATES	The state of the s	Profit of the first state of the state of th	
A Gran	er Taller van State (1997) State (1997) State (1997) State (1997) State (1997)	The state of the s	A STATE OF THE STA		And the second of the second o	A COLUMN TO THE PARTY OF THE PA
and the state of t		And the second s	The second secon	Company of the Compan		
ter til som syderalle Samer i terlingssom som Til det sigger og syde			The second secon		の事業を持ちている。日本では、	
andres () and a Compart to a sign Compart to a sign	house the same of	The second secon	と	WACK TO A COLUMN TO THE TAXABLE PROPERTY OF THE PARTY OF		
Transfer in		The state of the s				
and the same of the public	a. 五字 (1) " 图 " 字子"	[1996][145] 及其基本。	· · · · · · · · · · · · · · · · · · ·	がまた。1997年 - 1997年 - 1997年 - 1997年 日前1日 - 1997年 -		
Property of		Control of the contro	فيرها للق المالحقة عليه به المسيد إلا	The property series and		



CHECK NO. 528901

First Union Shelbyville, TN

<u>87-142</u> 641

One hundred fifteen DOLLARS and no cents PAY

TO THE ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS 2900 CRYSTAL DRIVE

ARLINGTON

THE 3ACK OFFTHS DOCUMENT CONTROL MAISTRICH WATERMARK - HOLD THE MANGLE TO VIEW

#00528901# #064101424#2079900061123#RADEMARK

REEL: 002082 FRAME: 0992

Secretary of State Corporations Section James K. Polk Building, Suite 1800 Nashville, Tennessee 37243-0306

ISSUANCE DATE: 05/17/2000 REQUEST NUMBER: 00138111

FILE/REGISTRATION DATE: 10/06/1989

STATUS: ACTIVE CONTROL NUMBER: 0220814 JURISDICTION: TENNESSEE

ROBERT P. FELBER YOPP & SWEENEY 114 UNION STREET NASHVILLE, TN 37219

REQUESTED BY: ROBERT P. FELBER YOPP & SWEENEY 414 UNION STREET NASHVILLE, TN 37219

I, RILEY C DARNELL, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT

"J.C. BRADFORD & CO., L.L.C."

WAS FORMED OR QUALIFIED TO DO BUSINESS IN THE STATE OF TENNESSEE ON THE ABOVE DATE, AND THAT THE ATTACHED DOCUMENT(S) WAS/WERE FILED IN THIS OFFICE ON THE DATE(S) AS BELOW INDICATED.

REFERENCE NUMBER

3084-3246

DATE FILED

FILING TYPE

12/13/1995 LLC CONVERSION

FILING ACTION
NAM DUR STK PRN OFC AGT INC MAL FYC

FOR: REQUEST FOR COPIES

ON DATE: 05/17/00

FEES

FROM: TUKE YOPP & SWEENEY PLC (414 UNION ST) 414 UNION ST SUITE 1100 NASHVILLE, TN 37219-0000

RECEIVED:

\$20.00

\$0.00

TOTAL PAYMENT RECEIVED: \$20.00

RECEIPT NUMBER: 00002689489 ACCOUNT NUMBER: 00237228

SS-4458

RECORDED: 05/24/2000

RILEY C. DARNELL SECRETARY OF STATE

TRADEMARK

REEL: 002082 FRAME: 0993