FORM PTO-1618A Expires 06/30/99 OMB 0651-0027

06-13-2000

U.S. Department of Commerce Patent and Trademark Office TRADEMARK

# 101379726

# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

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4	TRADEMARKS ONLY  O: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).				
1	Submission Type	Conveyance Type			
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0	Resubmission (Non-Recordation) Document ID #	Security Agreement Nunc Pro Tunc Assignment  Effective Date			
M	Correction of PTO Error	Merger Month Day Year			
	Reel # Frame #	Change of Name			
	Corrective Document Reel # Frame #	X Other Name Change and Conversion			
	Conveying Party	Mark if additional names of convenies and			
	Execution Date Month Day Year  12131995				
	Name J. C. Bradford & Co., L.P.	12131993			
	Formerly				
	Individual General Partnership X Limited Partnership Corporation Associatio				
	X Citizenship/State of Incorporation/Organization TN				
Ī	Receiving Party  Mark if additional names of receiving parties attached  Name  J. C. Bradford & Co., L.L.C.  DBA/AKA/TA  J. C. Bradford & Co.				
	Composed of				
	Address (line 1) 300 Commerce Street				
	Address (line 2)				
	Address (line 3) Nashville	TN 37201			
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	Individual General Partnership	State/Country  If document to be recorded is an assignment and the receiving party is			
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	Individual General Partnership	Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached.  (Designation must be a separate			
	Individual General Partnership Corporation Association  X Other limited liability company  X Citizenship/State of Incorporation/Organizate	Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)			
06/12	Individual General Partnership Corporation Association  X Other limited liability company  X Citizenship/State of Incorporation/Organizat	Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)			

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Correspondent Name and Address Area Code and Telephone Number (615) 313-3327					
Name	Robert P. Felber, Jr.		$\overline{}$		
Address (line 1)	Yopp & Sweeney, PLC		_		
Address (line 2)	Suite 1100				
Address (line 3)	414 Union Street				
Address (line 4)	Nashville, Tennessee 37219				
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Fee Amount		sted (37 CFR 3.41): \$ 115.00			
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To the best of my knowledge and belief, the foregoing information is frue and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.					
	Felber, Jr.	May 13, 2000			
Name o	of Person Signing S	ignature Date Signed			

**Secretary of State Corporations Section** James K. Polk Building, Suite 1800 Nashville, Tennessee 37243-0306

ISSUANCE DATE: 05/17/2000 REQUEST NUMBER: 00138111

FILE/REGISTRATION DATE: 10/06/1989

STATUS: ACTIVE CONTROL NUMBER: 0220814 JURISDICTION: TENNESSEE

ROBERT P. FELBER YOPP & SWEENEY 414 UNION STREET NASHVILLE, TN 37219 REQUESTED BY: ROBERT P. FELBER YOPP & SWEENEY 414 UNION STREET NASHVILLE, TN 37219

I, RILEY C DARNELL, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT

"J.C. BRADFORD & CO., L.L.C."

WAS FORMED OR QUALIFIED TO DO BUSINESS IN THE STATE OF TENNESSEE ON THE ABOVE DATE, AND THAT THE ATTACHED DOCUMENT(S) WAS/WERE FILED IN THIS OFFICE ON THE DATE(S) AS BELOW INDICATED.

REFERENCE NUMBER

DATE FILED

FILING TYPE

FILING ACTION

12/13/1995 LLC CONVERSION 3084-3246

NAM DUR STK PRN OFC AGT INC MAL FYC

FOR: REQUEST FOR COPIES

ON DATE: 05/17/00

FEES

FROM: TUKE YOPP & SWEENEY PLC (414 UNION ST)

414 UNION ST

SUITE 1100 NASHVILLE, TN 37219-0000

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SS-4458

RILEY C. DARNELL SECRETARY OF STATE

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ARTICLES OF CONVERSION OF

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J.C. BRADFORD & CO., L.P.

J.C. BRADFORD & CO., L.L.C.

The undersigned general partners of J.C. Bradford & Co., L.P. (the "Limited Partnership"), acting under the Tennessee Limited Liability Company Act, Tennessee Code Annotated, Section 48-201-101, et seq. (the "Act"), hereby adopt the following Articles of Conversion for conversion of such limited partnership to a limited liability company under the Act (the "LLC"):

#### **ARTICLE I**

The terms and conditions of the conversion of the Limited Partnership to the LLC have been approved by al of the Partners of the Limited Partnership.

## **ARTICLE II**

The name and principal business address of the Limited Partnership are J.C. Bradford & Co., L.P., 330 Commerce Street, Nashville, Tennessee 37201.

## **ARTICLE III**

The following below-listed persons are the General Partners of the Limited Partnership:

Name

Name

James C. Bradford, Jr.

R. Patrick Shepherd

W. Lucas Simons

**Barry Polston** 

C. Taxon Malott

Allan L. Erb

Marvin Alton Ross

John Felber

**James Ronald Scott** 

James S. Frazer, III

Louis B. Todd, Jr.

Douglas Kitchen

Randall Ray Harness

Mark Garrett

Michael R. Shea

Eric L. Broder

James Avent, Jr.

Jeff Powell

Murray R. Hatcher

Mitchell Johnson

Victor Ptak

Park B. Smith

Frank F. Venable, Jr.

#### **ARTICLE IV**

At the date and time of the conversion of the Limited Partnership to LLC, there are 200 members of the LLC.

### **ARTICLE V**

The name of the LLC is J.C. Bradford & Co., L.L.C.

## **ARTICLE VI**

The street address and zip code of the initial registered office of the LLC shall be 330 Commerce Street, Nashville, Davidson County, Tennessee 37201. The name of the LLC's initial registered agent at its initial registered office is R. Patrick Shepherd.

#### ARTICLE VII

The LLC shall have the power and authority to carry on any business permitted by, and to have and exercise all of the powers and rights conferred by, the Act as amended from time to time or any successor provisions thereto.

# **ARTICLE VIII**

The LLC shall be managed by a Board of Governors. All powers shall be exercised by or under the authority of, and the business and affairs of the LLC shall be managed by or under the direction of, the Board of Governors of the LLC. The number of governors and their terms shall be as specified in the Operating Agreement of the LLC.

#### **ARTICLE IX**

The street address and zip code of the principal executive office of the LLC and the county in which the principal executive office is located is 330 Commerce Street, Nashville, Davidson County, Tennessee 37201.

#### ARTICLE X

The LLC shall have the power and authority to expel a member.

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# ARTICLE XI

The conversion of the Limited Partnership to the LLC shall be effective and the existence of the LLC shall begin as of midnight December 31, 1995.

#### **ARTICLE XII**

The duration of the LLC shall be limited to a specific term commencing from the effective date of these Articles of Conversion and ending on December 31, 2005.

#### **ARTICLE XIII**

The members of the LLC shall not have preemptive rights.

# **ARTICLE XIV**

The Operating Agreement of the LLC may specify that less than all of the events listed in Section 48-245-101 of the Act shall constitute dissolution events and that such events are applicable on to those members serving on the Board of Governors

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**ARTICLE XV** 

The LLC shall be deemed for all purposes the same entity as the Limital Partnership.

Dated: December 635 1/1995

James C. Bradford, Jr., individually and as attorney-in-fact for each of the Partners pursuant to the power of attorney granted to him as Senior Partner in Article XII of the Partnership Agreement of J.C. Bradford & Co., L.P.

STATE OF TENNESSEE COUNTY OF DAVIDSON

SWORN TO AND SUBSCRIBED BEFORE ME, this 13 day of Remilier, 1995, before me personally appeared James C. Bradford, Jr. known to me to be the the same who executed the foregoing instrument on behalf of the Partners of J.C. Bradford P. P. and scknowledged that he executed the same as the free act and deed of the Genetical Limited artners. Witness my hand this 13 day of December, 1995.

Marin D. Ularez Notary Public

My Commission Expires:

(March 27, 199

TRADEMARK REEL: 002085 FRAME: 0977

RECORDED: 05/23/2000