

08-09-2000



101426599

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

7-31-00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID #
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

Conveyance Type

- Assignment License
 - Security Agreement Nunc Pro Tunc Assignment
 - Merger Change of Name
 - Other
- Effective Date
Month Day Year

Conveying Party

Mark if additional names of conveying parties attached

Name Execution Date
Month Day Year

Formerly

- Individual General Partnership Limited Partnership Corporation Association
- Other
- Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

- Individual General Partnership Limited Partnership If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)
- Corporation Association
- Other

Citizenship/State of Incorporation/Organization

08/08/2000 MTHAI1 0000093 1880873

FOR OFFICE USE ONLY

01 FC:481 40.00 DP
02 FC:482 100.00 DP

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 002117 FRAME: 0598

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

#

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)			Registration Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1880873"/>	<input type="text" value="1880872"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1885269"/>	<input type="text" value="2173552"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1877980"/>	<input type="text"/>	<input type="text"/>

Number of Properties Enter the total number of properties involved.

#

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment: Enclosed Deposit Account

Deposit Account
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John J. Burke
Name of Person Signing



Signature

7/26/00
Date Signed

**ASSIGNMENT OF TRADEMARKS
BETWEEN
J.C. BRADFORD & CO., L.L.C.
AND
PAINWEBBER INCORPORATED**

WHEREAS, J.C. BRADFORD & CO., L.L.C., a limited liability company of the State of Tennessee, with offices located at 330 Commerce Street, Nashville, Tennessee 37201 (hereinafter called the "Assignor"), has adopted and used in its business, the trademarks identified in Schedule "A" attached hereto; and

WHEREAS, PAINWEBBER INCORPORATED, a corporation of the State of Delaware, with offices located at 1285 Avenue of the Americas, New York, New York (hereinafter called the "Assignee") is desirous of acquiring *all right, title and interest* in and to said marks, including the registration thereof, that portion of the business associated therewith and the attendant goodwill symbolized by those marks:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged:

Assignor does hereby assign, transfer and set over unto said Assignee all of Assignor's rights, title and interest in and to the said trademarks, trademark registrations and any renewals thereof, in and to all goodwill symbolized by and associated with the business conducted under said trademarks and any part thereof, all income, royalties, judgments and payments now or hereafter due to **J.C. BRADFORD & CO., L.L.C.** or payable in respect thereto, and in and to all causes of action, either in law or equity, for past, present or future infringement based on said trademarks which have been or could be asserted by **J.C. BRADFORD & CO., L.L.C.**

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be signed and

become effective on the date set forth below.

PAINWEBBER INCORPORATED

By: [Signature]
Name: [Signature]
Title: SVP
Date: 7/25/00

J.C. BRADFORD & CO., L.L.C.

By: [Signature]
Name: R. Patrick Shepherd
Title: General Counsel
Date: 7/20/00

STATE OF TENNESSEE)
)ss.
COUNTY OF Davidson)

I certify that on July 20, 2000, R. Patrick Shepherd personally came before me, and this person acknowledged under oath, to my satisfaction, that:

- a) this person is General Counsel of **J.C. BRADFORD & CO., L.L.C.**;
- b) this person is the attesting witness to the signing of this document by the proper corporate officer who is _____, _____ of the corporation;
- c) this document was signed and delivered by the corporation as its voluntary act duly authorized by a proper resolution of its Board of Directors;
- d) this person knows the proper seal of the corporation which was affixed to this document; and
- e) this person signed this proof to attest to the truth of these facts.

Signed and sworn before me on
this 20 day of July, 2000.

Cynthia H. Hinton
Notary Public

SCHEDULE A

<u>Trademark(s)</u>	<u>Registration</u>	<u>Forum</u>
BRADFORD TRUST COMPANY	1,880,873	Federal
J.C. BRADFORD & CO.	1,885,269	Federal
INVESTTEAM	1,877,980	Federal
BRADFORD INVESTMENT MANAGEMENT	1,880,872	Federal
BRADFORD CAPITAL MANAGEMENT	2,173,552	Federal