11-03-2000



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

**ER SHEET** 

10150536	Y Attorney Docket Nos. 7701.0069 & 7701.0074		
To the Honorable Commissioner of Patents and Trademarks. P	<del>-</del>		
1. Name(s) of conveying party(ies): 10 · 3 · 00	Name(s) and address(es) of receiving party(ies):		
Advanced Clearing, Inc.			
[] Individual(s) [] Association	Name: Ameritrade Holding Corporation		
[ ] General Partnership [ ] Limited Partnership [X] Corporation	Address: 4211 S. 102 <sup>nd</sup> Street Omaha, Nebraska 68127		
Other:	3		
Additional name(s) of conveying party(ies) attached? [] Yes [X] No	[ ] Individual(s) [ ] Association		
3. Nature of conveyance:	[] General Partnership [] Limited Partnership		
[X] Assignment [] Merger	[X] Corporation of Delaware [] Other:		
[X] Assignment [] Merger [] Security Agreement [] Change of Name	If assignee is not domiciled in the United States, a domestic		
Other:	representative designation is attached: [] Yes [X] No		
Execution Date: September 12, 2000	(Designation must be a separate document from Assignment)		
	Additional name(s) & address(es) attached? [] Yes [X] No		
4. Application number(s) or registration number(s):			
A. Trademark Application No.(s)	B. Trademark Registration No.(s)		
	2,032,385 2,011,336		
Additional numbers attached? [] Yes [X] No			
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and registrations involved: 1		
Name: Julia Anne Matheson	7. Total fee (37 CFR 3.41): \$ 65.00		
	[ ] Enclosed [X] Authorized to be charged to deposit account [ ] Authorized to be charged to deposit account only		
Address: Finnegan, Henderson, Farabow,			
Garrett & Dunner, L.L.P.	if fee is deficient		
1300 I Street, N.W.	8. Deposit account number:		
Washington, D.C. 20005-3315	06-0916		
DO NOT USE THIS SPACE			
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Julia Anne Matheson     September 28, 2000       Name of Person Signing     Signature			
Total number of pages including cover sheet, attachments, and document: 3			
CERTIFICATE OF MAILING			
hereby certify that this correspondence is being deposited with the United States Postal Service addressed to: Honorable Commissioner of Patents and Trademarks, Washington, D.C. BOX ASSIGNMENTS.			
Dates September 28, 2000 Signed: Loly M. V.			
72000 GT0N1: 0000016: 060916 3002385			

40.00 CH 25.00 CF

**TRADEMARK REEL: 002166 FRAME: 0854** 

## **ASSIGNMENT**

This Assignment Agreement ("Agreement") is entered by and between Advanced Clearing, Inc., a Nebraska corporation with its principal place of business at 4211 S. 102<sup>nd</sup> Street, Omaha, Nebraska 68127 ("Assignor") and Ameritrade Holding Corporation, a Delaware Corporation with its principal place of business at 4211 S. 102<sup>nd</sup> Street, Omaha, Nebraska 68127 ("Assignee").

WHEREAS, Assignor is the owner of the marks identified in Exhibit A, namely, AMERITRADE and AMERITRADE, INC. and of the U.S. trademark registrations therefor, which marks cover "Securities brokerage services" in International Class 36 (collectively referred to herein as "the Marks"); and

WHEREAS, Assignee wishes to acquire the Marks.

ADVANCED CLEARING, INC.

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns to Assignee all its right, title, and interest in and to the Marks, together with the goodwill of the business associated with the Marks, and the right to sue and recover for past infringements, dilution, and other violations.

.01 001	CORPORATION
By: Junt Halvorson	By: S. Tetu Culito
Name: KURT D. HALVORSON	Name: J. Peter Ricketts
Title: TRESIDENT	Title: Vice Chairman
Date: 9/12/00	Date: 9/(2/00

**AMERITRADE HOLDING** 

TRADEMARK
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## **EXHIBIT A**

MARK	REGISTRATION NUMBER	REGISTRATION DATE
AMERITRADE	2,032,385	January 21, 1997
AMERITRADE, INC.	2,011,336	October 29, 1996

TRADEMARK
RECORDED: 10/03/2000 REEL: 002166 FRAME: 0856