



04-02-2001

Attorney's Docket No. A0682/2004 (PCL)

U.S. Patent & TMO's/TM Mail Rpt Dt. #70

# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

04-27-2001



FORM PTO-1594  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101694947

To the Commissioner for Trademarks: Please record the attached original documents or copy thereof.

*MRS*  
*4-2-01*

<p>1. Name of conveying party(ies): <b>Autocon Industries, Inc.</b></p> <p><input type="checkbox"/> Individual(s)                      <input type="checkbox"/> Association  <input type="checkbox"/> General Partnership            <input type="checkbox"/> Limited Partnership  <input checked="" type="checkbox"/> Corporation-Minnesota  <input type="checkbox"/> Other _____</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of conveyance:</p> <p><input type="checkbox"/> Assignment                              <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement                  <input type="checkbox"/> Change of Name  <input checked="" type="checkbox"/> Other Stock Purchase Agreement</p> <p>Execution Date: July 30, 1997</p>	<p>2. Name and address of receiving party(ies)</p> <p>Name:                      <b>United States Filter Corporation</b>  Internal Address:  Street Address:    <b>40-004 Cook Street</b>                                   <b>Palm Desert, CA 92211</b></p> <p><input type="checkbox"/> Individual(s) citizenship _____  <input type="checkbox"/> Association _____  <input type="checkbox"/> General Partnership _____  <input type="checkbox"/> Limited Partnership _____  <input checked="" type="checkbox"/> Corporation-Delaware _____  <input type="checkbox"/> Other _____</p> <p>If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> yes <input checked="" type="checkbox"/> no  (Designations must be a separate document from assignment)  Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>4. Application number(s) or registration number(s):</p> <p>A. Trademark Application No.(s)</p>	<p>B. Trademark Registration No.(s) 998,849</p>
<p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	

<p>5. Name and address of party to whom correspondence Concerning document should be mailed:</p> <p>Name: Peter C. Lando  Address: WOLF, GREENFIELD &amp; SACKS, P.C.  Federal Reserve Plaza  600 Atlantic Avenue  Boston, MA 02210</p>	<p>6. Total number of applications and registrations involved:..... [ 1 ]</p> <p>7. Total fee (37 CFR 3.41).....\$ 40.00  <input type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Please charge Deposit Account No. 500214</p>
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DO NOT USE THIS SPACE

9. Statement and signature  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Peter C. Lando                      *Peter C. Lando*                      30 March 2001  
Name    Signature    Date

Total number of pages including cover sheet, attachments, and document: [ 7 ]

Mail documents to be recorded with required cover sheet information to:  
Box Assignment, Commissioner for Trademarks, Arlington, VA 22202-3513

**STOCK PURCHASE AGREEMENT**  
**BY AND AMONG**  
**UNITED STATES FILTER CORPORATION,**  
**U.S. FILTER WASTEWATER GROUP, INC.,**  
**NEWTON H. FISK,**  
**ROY C. BAKER**  
**AND**  
**AUTOCON INDUSTRIES, INC.**

July 30, 1997

Stock Purchase Agreement ("Agreement"), dated as of the 30th day of July, by and among Newton H. Fisk, an individual ("Fisk"), Roy C. Baker, an individual ("Baker"), UNITED STATES FILTER CORPORATION, a Delaware corporation ("USF"), U.S. FILTER WASTEWATER GROUP, INC., a Delaware corporation and a wholly-owned subsidiary of USF ("Buyer"), and AUTOCON INDUSTRIES, INC., a Minnesota corporation ("Company"). Newton H. Fisk and Roy C. Baker are hereinafter individually referred to as "Seller" and collectively referred to as "Sellers."

Sellers desire to sell all of the issued and outstanding shares of capital stock of the Company, consisting of 8,482 shares of common stock (the "Company Shares") to Buyer, and Buyer desires to purchase the Company Shares, on the terms and subject to the conditions set forth below. In consideration of the representations, warranties, covenants and agreements contained herein, Sellers, Buyer and the Company, each intending to be legally bound hereby, agree as set forth below.

**3.20 Intellectual Property Rights.** The attached Schedule 3.20 discloses all of the trademark and service mark rights, applications and registrations, trade names, fictitious names, service marks, logos and brand names, copyrights, copyright applications, letters patent, patent applications and licenses of any of the foregoing owned or used by the Company in or applicable to the Business. The Company has the entire right, title and interest in and to, or has the exclusive perpetual royalty-free right to use, the intellectual property rights disclosed on the attached Schedule 3.20 and, to the knowledge of Sellers and the Company, all other processes, know-how, show-how, formulae, trade secrets, inventions, discoveries, improvements, blueprints, specifications, drawings, designs, and other proprietary rights used in or necessary for the Business ("Intellectual Property"), free and clear of all Encumbrances. The attached Schedule 3.20 separately discloses all Intellectual Property under license. To the knowledge of Sellers and the Company, the Intellectual Property is valid and not the subject of any interference, opposition, reexamination or cancellation. To the knowledge of Sellers or the Company, no Person is infringing upon nor has any Person misappropriated any Intellectual Property. To the knowledge of Sellers and the Company, the Company is not infringing upon the intellectual property rights of any other Person.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

SELLERS:

NEWTON H. FISK:

*Newton H. Fisk*

ROY C. BAKER:

*Roy C. Baker*

THE COMPANY:

AUTOCON INDUSTRIES, INC.

By: *Newton H. Fisk*  
Name: NEWTON H. FISK  
Title: C. E. O.

UNITED STATES FILTER CORPORATION

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

BUYER:

U.S. FILTER WASTEWATER GROUP, INC.

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

\* \* \*

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

SELLERS:

NEWTON H. FISK:

\_\_\_\_\_

ROY C. BAKER:

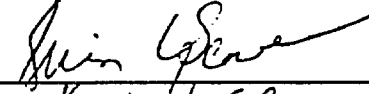
\_\_\_\_\_

THE COMPANY:

AUTOCON INDUSTRIES, INC.

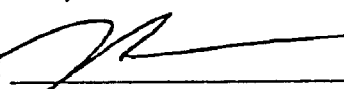
By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

UNITED STATES FILTER  
CORPORATION

By:   
Name: Kevin L Sprunk  
Title: Vice President

BUYER:

U.S. FILTER WASTEWATER  
GROUP, INC.

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

\* \* \*

**Schedule 3.20 Intellectual Property Rights**

1. The Attachment 3.20 identifies all the Active and Expired Marks of the Company. The Company has no copyright applications, letters patent, patent applications or licenses.
2. Intellectual property used under license includes miscellaneous "off the counter" software licenses for use of office computer systems.
3. See also the software licenses listed on Schedule 3.13.
4. Employees of the Company may have created copyrightable material in the ordinary course of the business of the Company. No attempts have been made to copyright such materials.

AUTOCON MARKS

	<u>Active Marks</u>	<u>USA</u>	<u>Active Marks</u>	<u>Canada</u>
MICROCAT	8/22/89	1,552, 615	4/20/90	368, 037
AUTOCON (new logo)	1/5/88	1,471, 243		
AUTOCON	11/19/74	998, 849		
AUTOCON	7/16/74	988, 378	2/23/82	125, 585
AUTOCON	11/12/74	998, 327		
PROBOTROL	4/25/67	827, 847		
AUTOCON	11/9/49**	826, 850		
REACTOSPEED	11/10/64	779, 791		
GEO-GRAPHIC PANEL	3/30/65	787, 517		
DUOTROL	9/15/64	776, 906		
TANKTROL	7/9/63	752, 431		
BUBBLTROL	7/9/63	752, 430		
PLANPAK	10/22/57	653, 348		
TELESTEP	8/7/56	632, 202		

\*\* Ammended to change date of first use from 4/4/67 to 11/9/49 by notice dated 4/4/95

Expired Marks

UNIFLEX	Expired	1, 257, 595
SUPER-TROL	Expired	922, 545
AUTO-MOD	Expired	860, 509
TEL-EYE	Expired	802, 677



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U.S. Patent & TMO/TM Mail Rpt Dt. #70

Attorney Docket No. A0682/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
TRADEMARK EXAMINING OPERATION

Owner: United States Filter Corporation  
Business Address: 40-004 Cook Street  
and Situs: Palm Desert, CA 92211  
Registration No.: 998,849  
Issued: November 19, 1974  
Mark: AUTOCON  
Class No.: 41, 42

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Assignment, Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202-3513, on the 30<sup>th</sup> day of March, 2001.

Peter C. Lando

Box Assignment  
Commissioner for Trademarks  
Arlington, VA 22202-3513

Sir:

Transmitted herewith are the following documents:

- Stock Purchase Agreement from Autocon Industries, Inc. to United States Filter Corporation, dated July 30, 1997
- Trademark Assignment Recordation Form Cover Sheet (Form PTO-1594)
- Return receipt postcard

Please charge all applicable fees to Deposit Account No. 500214. A duplicate of this sheet is enclosed. If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

Respectfully submitted,

Peter C. Lando  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500



IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

SELLERS:

NEWTON H. FISK:

\_\_\_\_\_

ROY C. BAKER:

\_\_\_\_\_

THE COMPANY:

AUTOCON INDUSTRIES, INC.

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

UNITED STATES FILTER CORPORATION

By: *Kevin L Sprake*  
Name: KEVIN L SPRAKE  
Title: VICE PRESIDENT

BUYER:

U.S. FILTER WASTEWATER GROUP, INC.

By: *[Signature]*  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

\* \* \*