U.S. Department of Commerce FORM PTO-1618A 05-16-2001 Patent and Trademark Office **TRADEMARK** OMB 0651-0027 FORM COVER SHEET TRADEMARKS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) Submission Type Conveyance Type X X | Assignment License New Resubmission (Non-Recordation) Security Agreement **Nunc Pro Tunc Assignment** Document ID # **Effective Date** <u>Day</u> Merger **Correction of PTO Error** Reel # Frame # **Change of Name Corrective Document** Frame # Reel # Other **Conveying Party** Mark if additional names of conveying parties attached **Execution Date** Month Day Year River Bay Statutory Trust Name 01 **Formerly Association Limited Partnership** Corporation Individual General Partnership Statutory Trust X Other Connecticut Citizenship/State of Incorporation/Organization Receiving Party Mark if additional names of receiving parties attached Institutional Distributors, Inc. DBA/AKA/TA Composed of P.O. Box 1787 Address (line 1) Address (line 2) 49501 MI **Grand Rapids** Address (line 3) State/Country If document to be recorded is an **Limited Partnership** assignment and the receiving party is General Partnership Individual not domiciled in the United States, an appointment of a domestic **Association** representative should be attached. X Corporation (Designation must be a separate document from Assignment.) Other Citizenship/State of Incorporation/Organization Michigan FOR OFFICE USE ONLY 00000080 1445379 01/30/2001 GTON11 40.00 OP 01 FC:481 25.00 OP is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and 02 FC:482 rubilic burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the documents are garding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS. Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

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	epresentative Name and Address Enter for the first Receiving Party only.					
Name						
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Correspond	lent Name and Address Area Code and Telephone Number					
Name ,	Hillary H. Hughes, Esq.					
Address (line 1)	Sonnenschein Nath & Rosenthal					
Address (line 2)	1221 Avenue of the Americas					
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Address (line 3)	New York, New York 10020					
Address (line 4)	Enter the total number of pages of the attached conveyance document # 3					
Pages	Enter the total number of pages of the attached conveyance document # 3 including any attachments.					
Trademark .	Application Number(s) or Registration Number(s) Mark if additional numbers attached					
	Trademark Application Number of the Registration Number (DO NOT ENTER BOTH numbers for the same property). Registration Number(s) Registration Number(s)					
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	of Payment.					
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number:						
Authorization to charge additional fees: Yes No No						
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ASSIGNMENT OF TRADEMARKS (FEDERAL)

This Assignment of Trademarks ("Assignment") is made as of January <u>4</u>, 2001 by River Bay Statutory Trust, a Connecticut Statutory Trust ("Seller"), to Institutional Distributors, Inc., a Michigan corporation ("Purchaser").

RECITALS

- 1. Seller and Purchaser have entered into an Asset Purchase Agreement, dated as of December 29, 2000 (the "Purchase Agreement"), which provides, among other things, for the acquisition by Purchaser of certain assets of Seller.
- 2. Seller is the exclusive owner of all of the right, title, and interest in and to the trademarks listed on Exhibit A hereto (the "Marks").
- 3. Seller desires to transfer and assign to Purchaser all of its rights, title, and interest in and to the Marks and the business good will symbolized thereby.

<u>AGREEMENT</u>

NOW, THEREFORE, for the consideration specified in the Purchase Agreement, and other good and valuable consideration, the receipt of which is hereby acknowledged, Seller does hereby grant, bargain, sell, and assign to Purchaser all right, title to, and interest in, the Marks, and the goodwill of the business symbolized thereby, together with all claims for profits, damages, and equitable relief by reason of past infringement of the Marks by any party or parties.

For the consideration set forth above, Seller for itself, its successors and assigns hereby covenants and agrees that, at any time and from time to time forthwith upon the written request of Purchaser, Seller will do, execute, acknowledge, and deliver or cause to be done, executed, acknowledged, and delivered, each and all of such further acts, deeds, assignments, transfers, conveyances, powers of attorney, and assurances as may reasonably be required by Purchaser in order to assign, transfer, set over, convey, assure, and confirm unto and vest in Purchaser, its successors and assigns, title to the Marks.

IN WITNESS WHEREOF, Seller has executed this Assignment of Trademarks (Federal) as of the date first written above.

RIVER BAY STATUTORY TRUST, by First Union National Bank, not in its individual capacity, but solely as Trustee

Бу:

Name: V

W. Jeffrey

Title:

Vice President

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Exhibit A

to

Assignment of Trademarks (Federal)

<u>Trademark</u>	<u>Jurisdiction</u>	<u>Status</u>	Registration No.	Registration <u>Date</u>
Harvest Time and Logo	United States	Registered	1445379	June 30, 1987
Healthflex	United States	Registered	2051754	April 15, 1997

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ACKNOWLEDGEMENT

STATE OF CONNECTICUT	,	ss:	Hartford
COUNTY OF HARTFORD	,		

On January 2, 2001, before me personally came W. Jeffrey Kramer, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS MY HAND AND OFFICIAL SEAL.

[seal]

NNIFER MANSKI
NOTARY PUBLIC
COMMISSION EXPIRES SEP. 30, 2001

Janiger L. Chanski

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RECORDED: 01/26/2001