

06-28-2002

Patent and Trademark Office



102138457

the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of Conveying party(ies):

Hambrecht & Quist California

6-18-02

2. Name and address of receiving party(ies):

Name: J.P. Morgan Chase & Co.
Street Address: 270 Park Avenue
City: New York State: New York ZIP: 10017

Individual(s) citizenship _____
 Association _____
 General Partnership _____
 Limited Partnership _____
 Corporation Delaware
 Other _____

Additional name(s) of conveying party(ies) attached? Yes No

If assignee is not domiciled in the United States, a domestic representative is attached: Yes No

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name

Other-Record to correct Assignor in COVER SHEET AND DOCUMENT previously recorded on Reel 002392, Frame 0397



06-18-2002

U.S. Patent & TMO/TM Mail Rpt Dt. #74

Execution Date: October 17, 2001

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,489,642 H&Q
2,372,827 H&Q

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence should be mailed:

Name: Collard & Roe, P.C.
Internal Address: _____

Street Address: 1077 Northern Boulevard

City: Roslyn State: NY ZIP: 11576

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41): \$ 65.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 03-2468

06/28/2002 6TOM11 00000035 032468 1489642

DO NOT USE THIS SPACE

01 FC:481 40.00 CH
02 FC:482 25.00 CH

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stewart J. Bellus

Name of Person Signing

Stewart J. Bellus

Signature

June 18, 2002

Date

Total number of pages comprising cover sheet: 6

10-31-2001



101890977

TRADEMARKS ONLY
and Trademarks: Please record the attached original



To the

10-25-2001

U.S. Patent & TMO/TM Mail Rpt Dt. #26

10-25-01

1. Name of Conveying party(ies):

Hambrecht & Quist Group

2. Name and address of receiver

Name: J.P. Morgan Chase & Co.
Street Address: 270 Park Avenue
City: New York State: New York ZIP: 10017

Individual(s) citizenship _____
 Association _____
 General Partnership _____
 Limited Partnership _____
 Corporation Delaware
 Other _____

Individual _____ Association _____
 General Partnership _____ Limited Partnership _____
 Corporation (California) _____
 Other _____

Additional name(s) of conveying party(ies) attached? Yes No

If assignee is not domiciled in the United States, a domestic representative is attached: Yes No

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment _____ Merger _____
 Security Agreement _____ Change of Name _____
 Other _____

Execution Date: October 17, 2001

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,489,642 H&Q
2,372,827 H&Q

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence should be mailed:

Name: Collard & Roe, P.C.
Internal Address: _____
Street Address: 1077 Northern Boulevard
City: Roslyn State: NY ZIP: 11576

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41): \$ 65.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 03-2468

The PTO did not receive the following listed item(s) two fee

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stewart J. Bellus

S. Bellus

October 22, 2001

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 3



ASSIGNMENT 6/17/02

10-25-2001

U.S. Patent & TMO/TM Mail Rpt Dt. #26

JM

CALIFORNIA

WHEREAS, HAMBRECHT & QUIST GROUP (the "ASSIGNOR"), a corporation organized and existing under the laws of California, and having a business address of 1 Bush Street, San Francisco, California 94104, developed, owns and uses the trademarks appearing in the attached schedule (the "MARKS"), and owns the U.S. Registrations for the MARKS (the "REGISTRATIONS"); and

WHEREAS, J.P. MORGAN CHASE & CO. (the "ASSIGNEE"), a corporation organized and existing under the laws of Delaware, and having a business address 270 Park Avenue, New York, New York 10017, desires to acquire the MARK, the REGISTRATION and past common law causes of action thereof;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR hereby assigned to ASSIGNEE all rights, title and interest in and to the MARK and the REGISTRATION, together with the goodwill of the business connected with the MARK and the REGISTRATION, and symbolized by the MARK and the REGISTRATION. ASSIGNOR further assigned to ASSIGNEE the right to sue for past common law causes of action.

HAMBRECHT & QUIST GROUP

By:

Steven U. M... H... a
Name: STEVEN U. M... H... a
Title: Officer - ASSISTANT SECRETARY

Date:

Oct 17, 2001