

06-28-2002

Patent and Trademark Office

To the Honorable Commissioner of Patents



102138458

attached original documents or copy thereof.

1. Name of Conveying party(ies):

Hambrecht & Quist California

6-18-02

Individual Association
 General Partnership Limited Partnership
 Corporation (California)
 Other

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: J.P. Morgan Chase & Co.
Street Address: 270 Park Avenue
City: New York State: New York ZIP: 10017

Individual(s) citizenship _____
 Association _____
 General Partnership _____
 Limited Partnership _____
 Corporation Delaware
 Other _____

If assignee is not domiciled in the United States, a domestic representative is attached: Yes No

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other



06-18-2002

U.S. Patent & TMO/TM Mail Rpt Dt. #74

Execution Date: June 17, 2002

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,831,567 HAMBRECHT & QUIST
2,368,683 HAMBRECHT & QUIST

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence should be mailed:

Name: Collard & Roe, P.C.
Internal Address: _____

Street Address: 1077 Northern Boulevard

City: Roslyn State: NY ZIP: 11576

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41): \$ 65.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 03-2468

06/28/2002 6TOM11 00000036 032468 1831567

DO NOT USE THIS SPACE

01 FC:481 40.00 CH
02 FC:482 25.00 CH

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stewart J. Bellus
Name of Person Signing

[Signature]
Signature

June 18, 2002
Date

Total number of pages comprising cover sheet: 2

TRADEMARK ASSIGNMENT

WHEREAS, Hambrecht & Quist California, a California corporation with its principal place of business at One Bush Street, San Francisco, California 94104 ("Assignor"), has adopted, owns and is using the Mark HAMBRECHT & QUIST (the "Mark") in connection with various goods and/or services;

WHEREAS, Assignor owns the following federal Registrations for the Mark on the Principal Register of the United States Patent and Trademark Office for the goods and/or services identified therein:

<u>Registration No.</u>	<u>Registration Date</u>
1,831,567	April 19, 1994
2,368,683	July 18, 2000

WHEREAS, J.P. Morgan Chase & Co., a Delaware corporation with its principal place of business at 270 Park Avenue, New York, New York 10017 ("Assignee"), desires to acquire the Mark and the above-referenced Registrations;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee all right, title and interest in and to the Mark, together with the goodwill of the business symbolized by the Mark, and the registrations thereof.

HAMBRECHT & QUIST CALIFORNIA

By: Steven N. Machtinger

Name: Steven N. Machtinger

Title: General Counsel, Secretary

Dated effective as of: June 17, 2002