

09-17-2002

Patent and Trademark Office



102222049

To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of Conveying party(ies):

Hambrecht & Quist California

8-5-02

5

- Individual  Association
- General Partnership  Limited Partnership
- Corporation (California)
- Other

Additional name(s) of conveying party(ies) attached? Yes  No

2. Name and address of receiving party(ies):

Name: J.P. Morgan Chase & Co.  
Street Address: 270 Park Avenue  
City: New York State: New York ZIP: 10017

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation Delaware
- Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative is attached:  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: June 17, 2002

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,831,569 HAMBRECHT & QUIST

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence should be mailed:

Name: Collard & Roe, P.C.  
Internal Address: \_\_\_\_\_

Street Address: 1077 Northern Boulevard

City: Roslyn State: NY ZIP: 11576

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41): ..... \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 03-2468

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stewart J. Bellus

Name of Person Signing

Signature

August 5, 2002

Date

Total number of pages comprising cover sheet: 2

TRADEMARK ASSIGNMENT

WHEREAS, Hambrecht & Quist California, a California corporation with its principal place of business at 560 Mission Street, San Francisco, California 94105 (“Assignor”), has adopted, owns and is using the Mark HAMBRECHT & QUIST (the “Mark”) in connection with various goods and/or services;

WHEREAS, Assignor owns the following federal Registration for the Mark on the Principal Register of the United States Patent and Trademark Office for the goods and/or services identified therein:

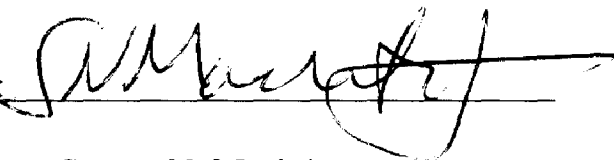
Registration No.  
1,831,569

Registration Date  
April 19, 1994

WHEREAS, J.P. Morgan Chase & Co., a Delaware corporation with its principal place of business at 270 Park Avenue, New York, New York 10017 (“Assignee”), desires to acquire the Mark and the above-referenced Registration;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee all right, title and interest in and to the Mark, together with the goodwill of the business symbolized by the Mark, and the registration thereof.

HAMBRECHT & QUIST CALIFORNIA

By: 

Name: Steven N. Machtinger

Title: General Counsel and Secretary

Date: August 2, 2002

Effective Nunc Pro Tunc: June 17, 2002